



This meeting has been noticed according to the Brown Act rules. This agenda was posted on Thursday, September 9, 2021.

Administrative Committee

Members:

Karen Paull, Chair
Amy Anderson
Safwat Malek

Alternate:

Alvin Edwards

Staff Contact:

Suresh Prasad
Sara Reyes

AGENDA

**Administrative Committee
of the Monterey Peninsula Water Management District**

Monday, September 13, 2021, 2:00 PM, Virtual Meeting

Pursuant to Governor Newsom's Executive Orders N-29-20 and N-33-20, and to do all we can to help slow the spread of COVID-19 (coronavirus), meetings of the Monterey Peninsula Water Management District Board of Directors and committees will be conducted with virtual (electronic) participation only using Zoom.

Join the meeting at:

<https://us06web.zoom.us/j/83612527720?pwd=c0htZWVGNmNrRzhHWnVWRE5lUzhndz09>

Or access the meeting at: <https://zoom.us/>

Webinar ID: 836 1252 7720

Meeting password: 09132021

Participate by phone: (669) 900-9128

For detailed instructions on connecting to the Zoom meeting see page 2 of this agenda.

Call to Order/Roll Call

Additions / Corrections to Agenda

Comments from Public – *The public may comment on any item within the District's jurisdiction. Please limit your comments to three minutes in length.*

Action Items – *Public comment will be received. Please limit your comments to three (3) minutes per item.*

1. Consider Adoption of August 9, 2021, Committee Meeting Minutes
2. Consider Extension of Cooperative Agreement with the United States Geological Survey for Streamflow Gaging in Water Year 2022
3. Consider Authorizing the General Manager and/or Designee to Execute a Contract with Maggiora Brothers Drilling, Inc. to Destroy Monitoring Well Fort Ord 9 Shallow for an Amount not to exceed \$25,000
4. Consider Recommending Authorization of Expenditure of Funds Not to Exceed \$120,000 for Additional Legal Services by Rutan + Tucker Related to the Acquisition of the Monterey Water System (Measure J)
5. Consider Recommending Authorization of Expenditure of Funds Not to Exceed \$230,000 for Additional Appraisal and Cost of Service Analysis by Raftelis Related to the Acquisition of the Monterey Water System (Measure J)
6. Consider Recommending Authorization of Expenditure of Funds Not to Exceed \$50,000 for Additional Real Estate Appraisal Services by Chris Carneghi MAI Related to the Acquisition of the Monterey Water System (Measure J)
7. Consider Recommending Authorization of Expenditure of Funds Not to Exceed \$28,000 for Survey Services by Psomas Related to the Acquisition of the Monterey Water System (Measure J)
8. Authorize Expenditure for Network Security Assessment

After staff reports have been distributed, if additional documents are produced by the District and provided to the Committee regarding any item on the agenda, they will be made available at 5 Harris Court, Building G, Monterey, CA during normal business hours. In addition, such documents may be posted on the District website at www.mpwmd.net. Documents distributed at the meeting will be made available in the same manner.

9. Consider Adoption of Treasurer's Report for June 2021
10. Consider Approval of Fourth Quarter Fiscal Year 2020-2021 Investment Report

Informational Items - Public comment will be received. Please limit your comments to three (3) minutes per item.

11. Report on Activity/Progress on Contracts Over \$25,000
12. Status Report on Measure J/Rule 19.8 Phase II Spending

Discussion/Other Items - Public comment will be received. Please limit your comments to three (3) minutes per item.

13. Review Fourth Quarter Legal Services Activity Report for Fiscal Year 2020-2021
14. Review Draft September 20, 2021 Board Meeting Agenda

Suggest Items to be Placed on Future Agendas

Adjournment

Upon request, MPWMD will make a reasonable effort to provide written agenda materials in appropriate alternative formats, or disability-related modification or accommodation, including auxiliary aids or services, to enable individuals with disabilities to participate in public meetings. MPWMD will also make a reasonable effort to provide translation services upon request. Submit requests by noon on Friday, September 10, 2021 to sara@mpwmd.net or call 831-658-5610. Additionally, requests can be sent to Joel Pablo at joel@mpwmd.net or 831-658-5652.

Instructions for Connecting to the Zoom Meeting

NOTE: If you have not used Zoom previously, when you begin connecting to the meeting you may be asked to download the app. If you do not have a computer, you can participate by phone.

Begin: Within 10 minutes of the meeting start time from your computer click on this link:
<https://us06web.zoom.us/j/83612527720?pwd=c0htZWVGNmNrRzhHWnVWRE51Uzhndz09>
or paste the link into your browser.

DETERMINE WHICH DEVICE YOU WILL BE USING (PROCEED WITH ONE OF THE FOLLOWING INSTRUCTIONS)

USING A DESKTOP COMPUTER OR LAPTOP

1. In a web browser, type: <https://www.zoom.us>
2. Hit the enter key
3. At the top right-hand corner, click on "Join a Meeting"
4. Where it says "Meeting ID", type in the Meeting ID# above and click "Join Meeting"
5. Your computer will begin downloading the Zoom application. Once downloaded, click "Run" and the application should automatically pop up on your computer. (If you are having trouble downloading, alternatively you can connect through a web browser – the same steps below will apply).
6. You will then be asked to input your name. It is imperative that you put in your first and last name, as participants and attendees should be able to easily identify who is communicating during the meeting.
7. From there, you will be asked to choose either ONE of two audio options: Phone Call or Computer Audio:

COMPUTER AUDIO

1. If you have built in computer audio settings or external video settings – please click "Test Speaker and Microphone".
2. The client will first ask "Do you hear a ringtone?" •If no, please select "Join Audio by Phone".
•If yes, proceed with the next question:

3. The client will then ask “Speak and pause, do you hear a replay?” •If no, please select “Join Audio by Phone”
•If yes, please proceed by clicking “Join with Computer Audio”

PHONE CALL

1. If you do not have built in computer audio settings or external video settings – please click “Phone Call”
2. Dial one of the numbers listed below using a phone. Select a phone number based on your current location for better overall call quality.

+1 669-900-9128 (San Jose, CA)	+1 253-215-8782 (Houston, TX)
+1 346-248-7799 (Chicago, IL)	+1 301-715-8592 (New York, NY)
+1 312-626-6799 (Seattle, WA)	+1 646-558-8656 (Maryland)

3. Once connected, it will ask you to enter the Webinar ID No. and press the pound key.
4. It will then ask you to enter your participant ID number and press the pound key.
5. You are now connected to the meeting.

USING AN APPLE/ANDROID MOBILE DEVICE OR SMART PHONE

1. Download the Zoom application through the Apple Store or Google Play Store (the application is free).
2. Once download is complete, open the Zoom app.
3. Tap “Join a Meeting”
4. Enter the Meeting ID number
5. Enter your name. It is imperative that you put in your first and last name, as participants and attendees should be able to easily identify who is communicating during the meeting.
6. Tap “Join Meeting”
7. Tap “Join Audio” on the bottom left hand corner of your device
8. You may select either ONE of two options: “Call via Device Audio” or “Dial in”

DIAL IN

1. If you select “Dial in”, you will be prompted to select a toll-free number to call into.
2. You may select any of the numbers listed below:

+1 669-900-9128 (San Jose, CA)	+1 253-215-8782 (Houston, TX)
+1 346-248-7799 (Chicago, IL)	+1 301-715-8592 (New York, NY)
+1 312-626-6799 (Seattle, WA)	+1 646-558-8656 (Maryland)

3. The phone will automatically dial the number and input the Webinar Meeting ID No. and your Password.
4. Do not hang up the call, and return to the Zoom app
You are now connected to the meeting.

Presenting Public Comment

Receipt of Public Comment – the Chair will ask for comments from the public on all items. Limit your comment to 3 minutes.

- (a) Computer Audio Connection: Select the “raised hand” icon. When you are called on to speak, please identify yourself.
 - (b) Phone audio connection **with** computer to view meeting: Select the “raised hand” icon. When you are called on to speak, press *6 to unmute yourself and please identify yourself.
- Phone audio connection only: Press *9. Wait for the clerk to unmute your phone and then identify yourself and provide your comment. Press *9 to end the call.

Submit Written Comments

If you are unable to participate via telephone or computer to present oral comments, you may also submit your comments by e-mailing them to comments@mpwmd.net with one of the following subject lines "PUBLIC COMMENT ITEM #" (insert the item number relevant to your comment) or "COMMENTS FROM THE PUBIC". Comments must be received by noon on Monday, September 13, 2021. Comments submitted **by noon** will be provided to the committee members and compiled as part of the record of the meeting.

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ADMINISTRATIVE COMMITTEE

1. CONSIDER ADOPTION OF AUGUST 9, 2021 COMMITTEE MEETING MINUTES

Meeting Date: September 13, 2021

From: David J. Stoldt,
General Manager

Prepared By: Sara Reyes

SUMMARY: Draft minutes of the August 9, 2021 Administrative Committee meeting are attached as **Exhibit 1-A**.

RECOMMENDATION: The Committee should review the minutes and adopt them by motion.

EXHIBIT

1-A Draft Minutes of August 9, 2021 Committee Meeting



EXHIBIT 1-A

DRAFT MINUTES Monterey Peninsula Water Management District Administrative Committee August 9, 2021

Call to Order

The virtual meeting was called to order at 2:00 PM via Zoom.

Committee members present: Karen Paull, Chair
Amy Anderson (arrived at 2:02 PM)
Safwat Malek

Committee members absent: None

District staff members present: David Stoldt, General Manager
Suresh Prasad, Administrative Services Manager/Chief Financial Officer
Thomas Christensen, Environmental Resources Manager
Larry Hampson, District Engineer
Jonathan Lear, Water Resources Manager
Stephanie Locke, Water Demand Manager
Sara Reyes, Sr. Office Specialist

District Counsel present: Fran Farina with De Lay and Laredo

Additions / Corrections to Agenda: None

Comments from the Public: None

Items on Board Agenda for August 16, 2021

1. Consider Adoption of July 12, 2021 Committee Meeting Minutes

On a motion by Anderson and second by Malek, the minutes of the July 12, 2021, meeting were approved on a roll call vote of 3 – 0 by Anderson, Malek and Paull.

2. Consider Expenditure of Funds for Consultant Services for Upgrades at the Sleepy Hollow Steelhead Rearing Facility

On a motion by Anderson and second by Paull, the committee voted to recommend that the Board authorize the General Manager to enter into an agreement with Tetra Tech for consultant services in an amount not-to-exceed \$61,338 and approve a 10% contingency (approximately \$6,100) for additional consultant work. The motion was approved by a roll call vote of 3 – 0 by Malek, Anderson and Paull.

3. Consider Purchase of Spare Replacement Parts for Sleepy Hollow Steelhead Rearing Facility UV Unit

On a motion by Paull and second by Malek, the committee voted to recommend that the Board authorize the General Manager to purchase UV unit replacement parts for the Sleepy Hollow Facility at an approximate cost of \$9,000. The motion was approved by a roll call vote of 3 – 0 by Anderson, Malek ,and Paull.

4. Consider Adoption of Resolution No. 2021-11 – Amending Fees and Charges Table – Rule 60

On a motion by Paull and second by Anderson, the committee voted to recommend that the Board Adopt Resolution 2021-11, A Resolution of the Board of Directors of the Monterey Peninsula Water Management District Amending Rule 60, Fees and Charges Table with amendments proposed by staff. The motion was approved by a roll call vote of 3 – 0 by Malek, Paull and Anderson.

5. Consider Recommending Approval of the Watermater Master Service Agreement

On a motion by Paull and second by Malek, the committee received the Draft Master Services Agreement between MPWMD and the Watermaster for Hydrologic Monitoring and Database Services and recommended that the Board approve the agreement. The motion was approved by a roll call vote of 3 – 0 by Anderson, Malek and Paull.

6. Review Draft August 16, 2021 Board Meeting Agenda

General Manager Stoldt reported that additional Action Items will be added to the agenda. Additionally, the Item 5 on the August 9, 2021 Administrative Committee meeting will be added to the agenda. No changes were made by the committee.

Suggest Items to be Placed on Future Agendas

No items were presented.

Adjournment

The meeting adjourned at 3:18 PM.

ADMINISTRATIVE COMMITTEE

2. CONSIDER EXTENSION OF COOPERATIVE AGREEMENT WITH THE UNITED STATES GEOLOGICAL SURVEY FOR STREAMFLOW GAGING IN WATER YEAR 2022

Meeting Date:	September 13, 2021	Budgeted:	Yes
From:	Dave Stoldt General Manager	Program/ Line Item No.:	2-5-1 A
Prepared By:	Greg James	Cost Estimate:	\$16,890

General Counsel Approval: N/A

Committee Recommendation: The Administrative Committee reviewed this item on September 13, 2021 and recommended_____.

CEQA Compliance: This action does not constitute a project as defined by the California Environmental Quality Act Guidelines section 15378.

SUMMARY: The United States Geological Survey (USGS) operates two streamflow gaging stations on the Carmel River:

- (1) Carmel River at Robles del Rio (No. 11143200) and
- (2) Carmel River near Carmel (No. 11143250).

The upper or "Robles" gage is immediately downstream of Esquiline Bridge (River Mile 14.4) and the lower or "Carmel" gage is immediately downstream of Via Mallorca Bridge (River Mile 3.6). The Monterey Peninsula Water Management District (District) relies on the flow data from these two stations to support ASR operations and both gages are named in the water rights associated with ASR diversions. It should be noted that the Robles del Rio station is funded by the Monterey County Water Resources Agency.

RECOMMENDATION: The Administrative Committee should recommend that the Board authorize the General Manager to execute the agreement with the USGS providing cooperative investigation of the water resources within the District for Water Year (WY) 2022 for an amount not-to-exceed \$16,890.

BACKGROUND: The District has funded a cooperative water resources program with the USGS to monitor Carmel River streamflow since the late 1980s. Other than the District, the USGS is the only other independent agency that monitors continuous Carmel River streamflow. The Carmel station provides a long-term streamflow record that began in 1962. The USGS streamflow data provide a valuable cross check for the District's streamflow data when verifying the daily, annual, and peak flows that occur on the Carmel River. The USGS Carmel River streamflow data also support the District's implementation of Aquifer Storage and Recovery (ASR) operations in the Seaside Groundwater Basin in that the data are utilized in real-time to assist in scheduling when to commence or cease injection, given current trends in streamflow conditions. In addition, as a

cooperator with the USGS, the District has access to purchase equipment from the USGS Hydrologic Instrumentation Facility and keep informed of advancements in instrumentation.

IMPACT TO STAFF/RESOURCES: The District's share for continuation of streamflow monitoring at the Carmel River near Carmel station for WY 2022 (October 1, 2021 - September 30, 2022) is \$16,890, as indicated on **Exhibit 2-A**.

EXHIBIT

2-A Joint Funding Agreement for Water Year 2022

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United States Department of the Interior

U.S. GEOLOGICAL SURVEY
California Water Science Center
6000 J Street, Placer Hall
Sacramento, CA 95819

August 31, 2021

Mr. David Stoldt, General Manager
Monterey Peninsula Water Management District
Post Office Box 85
Monterey, California 93942-0085

Subject: Carmel River near Carmel Gage, Joint Funding Agreement, Water Year 2022

Dear Mr. Stoldt:

This letter confirms discussions between our respective staffs, concerning the continuation of the cooperative water resources program between the Monterey Peninsula Water Management District (District) and the U.S. Geological Survey (USGS) for the period October 1, 2021 to September 30, 2022.

The proposed program and associated costs are as follows:

<u>Station number and name</u>	<u>District Funds</u>	<u>USGS Funds</u>	<u>Total Funds</u>
11143250 Carmel River near Carmel	\$16,890	\$7,340	\$24,230
TOTAL	\$16,890	\$7,340	\$24,230

Total cost of the proposed program is \$24,230. Cost to the District is \$16,890, and subject to the availability of Federal matching funds, the USGS will provide \$7,340.

Attached is Joint Funding Agreement (JFA) 22ZGJFA14300, e-signed by our agency, for your approval. If you are in agreement with this proposed program, please return the fully executed electronically signed copy to CAgageADMIN@usgs.gov (preferred) or send one fully executed paper copy to the address in the letter head. Work performed with funds from this agreement will be conducted on a fixed-price basis. Billing for this agreement will be rendered annually.

The USGS is required to have an agreement in place prior to any work being performed on a project. We request that a fully executed JFA be returned prior to October 1, 2021. If it is not received by October 1, we will be required to suspend operations until an agreement is received.

If you have any questions concerning this program, please contact Anthony Guerriero, in our Santa Cruz Field Office, at (831) 460-7494. If you have any administrative questions, please contact Helen Houston, at hhouston@usgs.gov.

Sincerely,

ERIC REICHARD Digitally signed by ERIC
REICHARD
Date: 2021.08.31 13:24:47
-07'00'

Eric Reichard
Director, USGS California Water Science Center

Enclosure
22ZGJFA14300

**Form 9-1366
(May 2018)**

**U.S. Department of the Interior
U.S. Geological Survey
Joint Funding Agreement
FOR
Water Resource Investigations**

**Customer #: 600000949
Agreement #: 22ZGJFA14300
Project #: ZG00GZV
TIN #: 94-2535586**

Fixed Cost Agreement YES[X] NO[]

THIS AGREEMENT is entered into as of the October 1, 2021, by the U.S. GEOLOGICAL SURVEY, California Water Science Center, UNITED STATES DEPARTMENT OF THE INTERIOR, party of the first part, and the Monterey Peninsula Water Mgmt. Dist. party of the second part.

1. The parties hereto agree that subject to the availability of appropriations and in accordance with their respective authorities there shall be maintained in cooperation Water Resource Investigations (per attachment), herein called the program. The USGS legal authority is 43 USC 36C; 43 USC 50, and 43 USC 50b.

2. The following amounts shall be contributed to cover all of the cost of the necessary field and analytical work directly related to this program. 2(b) include In-Kind-Services in the amount of \$0.00

- (a) \$7,340 by the party of the first part during the period October 1, 2021 to September 30, 2022
- (b) \$16,890 by the party of the second part during the period October 1, 2021 to September 30, 2022
- (c) Contributions are provided by the party of the first part through other USGS regional or national programs, in the amount of: \$0

Description of the USGS regional/national program:

- (d) Additional or reduced amounts by each party during the above period or succeeding periods as may be determined by mutual agreement and set forth in an exchange of letters between the parties.
- (e) The performance period may be changed by mutual agreement and set forth in an exchange of letters between the parties.

3. The costs of this program may be paid by either party in conformity with the laws and regulations respectively governing each party.

4. The field and analytical work pertaining to this program shall be under the direction of or subject to periodic review by an authorized representative of the party of the first part.

5. The areas to be included in the program shall be determined by mutual agreement between the parties hereto or their authorized representatives. The methods employed in the field and office shall be those adopted by the party of the first part to insure the required standards of accuracy subject to modification by mutual agreement.

6. During the course of this program, all field and analytical work of either party pertaining to this program shall be open to the inspection of the other party, and if the work is not being carried on in a mutually satisfactory manner, either party may terminate this agreement upon 60 days written notice to the other party.

7. The original records resulting from this program will be deposited in the office of origin of those records. Upon request, copies of the original records will be provided to the office of the other party.

8. The maps, records or reports resulting from this program shall be made available to the public as promptly as possible. The maps, records or reports normally will be published by the party of the first part. However, the party of the second part reserves the right to publish the results of this program, and if already published by the party of the first part shall, upon request, be furnished by the party of the first part, at cost, impressions suitable for purposes of reproduction similar to that for which the original copy was prepared. The maps, records or reports published by either party shall contain a statement of the cooperative relations between the parties. The Parties acknowledge that scientific information and data developed as a result of the Scope of Work (SOW) are subject to applicable USGS review, approval, and release requirements, which are available on the USGS Fundamental Science Practices website (<https://www.usgs.gov/about/organization/science-support/science-quality-and-integrity/fundamental-science-practices>).

Water Resource Investigations

9. Billing for this agreement will be rendered annually. Invoices not paid within 60 days from the billing date will bear Interest, Penalties, and Administrative cost at the annual rate pursuant the Debt Collection Act of 1982, (codified at 31 U.S.C. § 3717) established by the U.S. Treasury.

USGS Technical Point of Contact

Name: Anthony Guerriero
Supervisory Hydrologic Technician
Address: 2885 Mission Street
Santa Cruz, CA 95060
Telephone: (831) 460-7494
Fax: (831) 427-4475
Email: aguerrie@usgs.gov

Customer Technical Point of Contact

Name: David Stoldt
General Manager
Address: Post Office Box 85
Monterey, CA 93942-0085
Telephone: (831) 658-5600
Fax:
Email:

USGS Billing Point of Contact

Name: Helen Houston
Budget Analyst
Address: 2730 N. Deer Run Road
Carson City, NV 89701
Telephone: (775) 887-7605
Fax: (775) 887-7629
Email: hhouston@usgs.gov

Customer Billing Point of Contact

Name: Greg James
Associate Hydrologist
Address: Post Office Box 85
Monterey, CA 93942
Telephone: (831) 659-2543
Fax:
Email: james@mpwmd.net

U.S. Geological Survey
United States
Department of Interior

Monterey Peninsula Water Mgmt. Dist.

ERIC
REICHARD

Digitally signed by ERIC REICHARD
Signature
Date: 2021.08.31 13:25:26 -07'00'
By _____ Date: 08/31/2021

Name: Eric Reichard
Title: Director, USGS California Water Science Center

Signatures

By _____ Date: _____

Name:
Title:

By _____ Date: _____

Name:
Title:

By _____ Date: _____

Name:
Title:

ADMINISTRATIVE COMMITTEE

3. CONSIDER RECOMMENDING TO THE BOARD TO CONTRACT WITH MAGGIORA BROTHERS DRILLING FOR THE AMOUNT OF \$25,000 TO DESTROY MONITOR WELL FORT ORD 9 SHALLOW

Meeting Date:	September 13, 2021	Budgeted:	No
From:	David J. Stoldt General Manager	Program/ Line Item:	Hydrologic Monitoring
Prepared By:	Jonathan Lear	Cost Estimate:	\$25,000

General Counsel Review: N/A

Committee Recommendation: The Administrative Committee reviewed this item on September 13, 2021 and recommended _____.

CEQA Compliance: This action does not constitute a project as defined by the California Environmental Quality Act Guidelines section 15378.

SUMMARY: FO-09 Shallow, a coastal monitoring well in the Seaside Basin, has recently been identified as compromised due to a failure of the well casing that is allowing saltier water from the shallow zone to mix with groundwater in the Paso Robles Aquifer. Monterey County Health Department has identified this as cross-contamination between aquifer zones and has agreed on a destruction plan for FO-09 Shallow that will stop the cross-contamination of the Paso Robles Aquifer and preserve FO-09 Deep. The District completed a sealed solicitation for public bids to complete this work and Maggiora Brothers was identified as the sole bidder. With permission from the Board, District staff will award the bid and contract to Maggiora Brothers.

RECOMMENDATION: The Administrative Committee should recommend that the Board authorize the General Manager to contract with Maggiora Brothers in the amount not to exceed \$25,000 to destroy FO-09 Shallow.

BACKGROUND: On 7/12/21 District opened a public bid for the destruction work. The bid closed on 8/11/21 with the District receiving one bid from Maggiora Brothers for the amount not to exceed \$25,000 as a lump sum to complete the work to destroy FO-09 Shallow. This work was not expected and was not budgeted when preparing the FY 2021-2022 budget. The cost incurred to destroy FO-09 Shallow will be added to the Hydrologic Monitoring line during the mid-year budget adjustment in January, 2022.

EXHIBIT

3-A Maggiora Brothers Bid Submission to Destroy FO-09 Shallow

THE AMERICAN INSTITUTE OF ARCHITECTS



AIA Document A310

Bid Bond

KNOW ALL MEN BY THESE PRESENTS, that we Maggiora Bros. Drilling, Inc.
595 Airport Blvd., Watsonville, CA 95076
as Principal, hereinafter called the Principal, and Hudson Insurance Company
100 William Street, 5th Floor, New York, NY 10038
a corporation duly organized under the laws of State of DE

as Surety, hereinafter called the Surety, are held and firmly bound unto

Monterey Peninsula Water Management District

5 Harris Ct Bldg G, Monterey, CA 93940

as Obligee, hereinafter called the Obligee, in the sum of

Ten Percent of Amount Bid

Dollars (\$ 10%),

for the payment of which sum well and truly to be made, the said Principal and the said Surety, bind ourselves, our heirs,
executors, administrators, successors and assigns, jointly and severally, firmly by these presents.

WHEREAS, the Principal has submitted a bid for

Monitoring Well FO-09 Shallow Destruction

NOW, THEREFORE, if the Obligee shall accept the bid of the Principal and the Principal shall enter into a Contract with
the Obligee in accordance with the terms of such bid, and give such bond or bonds as may be specified in the bidding or
Contract Documents with good and sufficient surety for the faithful performance of such Contract and for the prompt
payment of labor and material furnished in the prosecution hereof, or in the event of the failure of the Principal to enter
such Contract and give such bond or bonds, if the Principal shall pay to the Obligee the difference not to exceed the penalty
hereof between the amount specified in said bid and such larger amount for which the Obligee may in good faith contract
with another party to perform the Work covered by said bid, then this obligation shall be null and void, otherwise to remain
in full force and effect.

Signed and sealed this 5th of August, 2021.

Janette Grayson (Witness)

Maggiora Bros. Drilling, Inc. (Principal) (Seal)

BY: [Signature] - Secretary (Title)

see notary attached (Witness)

Hudson Insurance Company (Surety) (Seal)

BY: [Signature] Catherine A. Pinney (Title) Attorney-in-Fact

CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT

CIVIL CODE § 1189

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

State of California)
County of Sonoma)

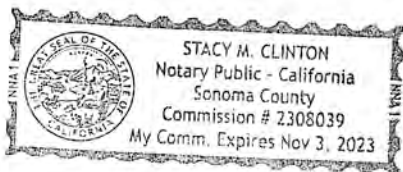
On August 5, 2024 before me, Stacy M. Clinton, Notary Public
Date Here Insert Name and Title of the Officer

personally appeared Catherine A. Pinney
Name(s) of Signer(s)

who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.



Signature [Handwritten Signature]
Signature of Notary Public

Place Notary Seal Above

OPTIONAL

Though this section is optional, completing this information can deter alteration of the document or fraudulent reattachment of this form to an unintended document.

Description of Attached Document

Title or Type of Document: _____ Document Date: _____
Number of Pages: _____ Signer(s) Other Than Named Above: _____

Capacity(ies) Claimed by Signer(s)

Signer's Name: _____
 Corporate Officer — Title(s): _____
 Partner — Limited General
 Individual Attorney in Fact
 Trustee Guardian or Conservator
 Other: _____

Signer Is Representing: _____

Signer's Name: _____
 Corporate Officer — Title(s): _____
 Partner — Limited General
 Individual Attorney in Fact
 Trustee Guardian or Conservator
 Other: _____

Signer Is Representing: _____



POWER OF ATTORNEY

KNOW ALL MEN BY THESE PRESENTS: That HUDSON INSURANCE COMPANY, a corporation of the State of Delaware, with offices at 100 William Street, New York, New York, 10038, has made, constituted and appointed, and by these presents, does make, constitute and appoint

Catherine A. Pinney

of the state of California

its true and lawful Attorney(s)-in-Fact, at New York, New York, each of them alone to have full power to act without the other or others, to make, execute and deliver on its behalf, as Surety, bonds and undertakings given for any and all purposes, also to execute and deliver on its behalf as aforesaid renewals, extensions, agreements, waivers, consents or stipulations relating to such bonds or undertakings provided, however, that no single bond or undertaking shall obligate said Company for any portion of the penal sum thereof in excess of the sum of Ten Million Dollars (\$10,000,000.00).

Such bonds and undertakings when duly executed by said Attorney(s)-in-Fact, shall be binding upon said Company as fully and to the same extent as if signed by the President of said Company under its corporate seal attested by its Secretary.

In Witness Whereof, HUDSON INSURANCE COMPANY has caused these presents to be of its Senior Vice President thereunto duly authorized on this 30th day of October, 20 17 at New York, New York.



HUDSON INSURANCE COMPANY

Attest. Dina Daskalakis Corporate Secretary

By Michael P. Cifone Senior Vice President

STATE OF NEW YORK COUNTY OF NEW YORK. SS.

On the 30th day of October, 20 17 before me personally came Michael P. Cifone to me known, who being by me duly sworn did depose and say that he is a Senior Vice President of HUDSON INSURANCE COMPANY, the corporation described herein and which executed the above instrument, that he knows the seal of said Corporation, that the seal affixed to said instrument is such corporate seal, that it was so affixed by order of the Board of Directors of said Corporation, and that he signed his name to the same in like order.

(Notarial Seal)



ANN M. MURPHY Notary Public, State of New York No. 01MU6067553 Qualified in Nassau County Commission Expires December 10, 2021

STATE OF NEW YORK COUNTY OF NEW YORK

CERTIFICATION

The undersigned Dina Daskalakis hereby certifies:

That the original resolution, of which the following is a true and correct copy, was duly adopted by unanimous written consent of the Board of Directors of Hudson Insurance Company dated July 27th, 2007, and has not since been revoked, amended or modified:

"RESOLVED, that the President, the Executive Vice Presidents, the Senior Vice Presidents and the Vice Presidents shall have the authority and discretion, to appoint such agent or agents, or attorney or attorneys-in-fact, for the purpose of carrying on this Company's surety business, and to empower such agent or agents, or attorney or attorneys-in-fact, to execute and deliver, under this Company's seal or otherwise, bonds obligations, and recognizances, whether made by this Company as surety thereon or otherwise, indemnity contracts, contracts and certificates, and any and all other contracts and undertakings made in the course of this Company's surety business, and renewals, extensions, agreements, waivers, consents or stipulations regarding undertakings so made; and

FURTHER RESOLVED, that the signature of any such Officer of the Company and the Company's seal may be affixed by facsimile to any power of attorney or certification given for the execution of any bond, undertaking, recognizance, contract of indemnity or other written obligation in the nature thereof or related thereto, such signature and seal when so used whether heretofore or hereafter, being hereby adopted by the Company as the original signature of such officer and the original seal of the Company, to be valid and binding upon the Company with the same force and effect as though manually affixed."

THAT the above and foregoing is a full, true and correct copy of Power of Attorney issued by said Company, and of the whole of the original and that the said Power of Attorney is still in full force and effect and has not been revoked, and furthermore that the Resolution of the Board of Directors, set forth in the said Power of Attorney is now in force.

In witness the hand of the undersigned and the seal of said Corporation this 5th day of August, 20 21

By Dina Daskalakis Corporate Secretary



The undersigned has examined the location of the proposed work and/or is familiar with the Specifications and the local conditions in the place where the work is to be done.

The undersigned has checked carefully all the above figures and understands that the District shall not be responsible for any errors or omissions on the part of the undersigned in making up this bid.

The undersigned understands that the District reserves the right to reject any or all bids, and to waive any irregularities or informalities in bids received. Award shall be made which, in the judgement of the District, is to the best interest of the District. It is agreed that this bid may not be withdrawn within a period of 90 days after the date set for the opening thereof subject to the provisions of California Public Contract Code Sections 5100-5107.

In accordance with the Construction Specifications, the undersigned further agrees to so plan the work and prosecute it with such diligence that said work shall be commenced within 10 days after issuance of the notice to proceed, and the work shall be completed by November 30, 2018.

The undersigned agrees, if awarded the contract, that there shall be paid by the undersigned and all subcontractors under him, to all laborers, workmen, and mechanics employed in the execution of such contract or any subcontract thereunder, not less than the general prevailing rate of per diem wages, and rates for overtime and legal holidays in the locality in which the work is to be performed, as established by the State Director of the Department of Industrial Relations.

The undersigned or their subcontractors currently possess and agree to maintain valid **Contractor's Licenses** issued by the State of California necessary to prosecute the work.

Bidder:	Maggiora Bros. Drilling, Inc.	Tax I.D. Number:	94-1635647
Business Address:	595 Airport Blvd. Watsonville, CA 95076		
List all Contractor's License No.:	249957(C-57)		
Telephone:	(831)724-1338	e-mail:	watsonville@maggiorabros.com
By:	Michael F. Maggiora	Dated:	8/9/2021
Title:	Corporate Secretary		

This form must be submitted with the bid for the bid to be responsive.

BID FORM

All labor, materials, services, tools, equipment, services and whatever else is required to perform all work in accordance with the requirements in the Call for Bids, and all documents incorporated by reference in the Call for Bids, for construction of the following:

Monitoring Well FO-09 Shallow Destruction

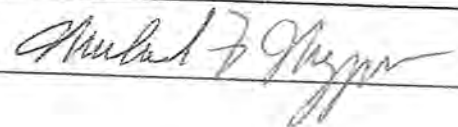
The bid will be a lump sum price inclusive all work and materials.

Bid Price: \$25,000.00

Bid Price in words: Twentyfivethousand dollars

Drilling/ Pump Contractor Name: Maggiora Bros. Drilling, Inc.

Drilling/Pump Contractor's License No. C57-249957

Signature of Authorized Representative: 

This form must be submitted with the bid for the bid to be responsive.

Upon award, this Bid Form shall become a part of the final contract.

SUBCONTRACTOR'S DESIGNATION FORM

In accordance with the State of California Public Contract Code Sections 4100-4114, the Subletting and Subcontracting Fair Practices Act, each bid shall set forth for each subcontractor who will perform work or labor or render service to Contractor in or about the construction of the work in an amount in excess of one-half of one percent (1/2%) of Contractor's Total Bid Price:

- a) the name and the location of the place of business,
- b) the California contractor license number,
- c) the public works contractor registration number, and
- d) the portion of the work which will be done as a percentage of Contractor's Total Bid Price.

Notwithstanding the foregoing, if the work involves streets and highways, then Contractor shall list each subcontractor who will perform work or labor or render service to Contractor in or about the work in an amount in excess of one-half of one percent (1/2%) of Contractor's Total Bid Price or \$10,000, whichever is greater. No additional time shall be granted to provide the below requested information.

If a Contractor fails to specify a Subcontractor for any portion of the work to be performed under the Contract, on or about the construction of the project, in excess of one-half of one percent (1/2%) of Contractor's total Bid, Contractor shall be deemed to have agreed to perform such portion, using Contractor's own resources and employed personnel and Contractor shall not be permitted to sub-contract that portion of the work, except under the conditions set forth in Section 4107 of the Government Code of the State of California.

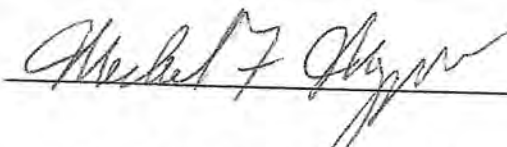
The prime contractor shall list only one subcontractor for each portion as is defined by the prime contractor in Contractor's bid.

Subcontractor Name <p style="text-align: center;">Not Applicable</p>	Subcontractor Address
California Contractor License Number	Public Works Contractor Registration Number
Description of Work to be done by Subcontractor	% of Work to be done by Subcontractor

Subcontractor Name Not Applicable	Subcontractor Address
California Contractor License Number	Public Works Contractor Registration Number
Description of Work to be done by Subcontractor	% of Work to be done by Subcontractor

Subcontractor Name	Subcontractor Address
California Contractor License Number	Public Works Contractor Registration Number
Description of Work to be done by Subcontractor	% of Work to be done by Subcontractor

Name of Bidder Maggiore Bros. Drilling, Inc.

Signature 

Name & Title Michael F. Maggiore, Corporate Secretary

Dated 8/9/2021

SUBCONTRACTOR'S EXPERIENCE QUALIFICATIONS

The subcontractor has been engaged in the contracting business, under the present business name for _____ years. Experience in work of a nature similar to that covered in the bid extends over a period of _____ years.

The subcontractor has never failed to satisfactorily complete a contract awarded to him, except as follows:

NA

The subcontractor shall list projects meeting the pertinent Contractor's Experience Qualifications in the following table for the bid to be considered responsive:

Year	Project Location and Contracting Firm/ Agency	Contract amount (\$)	Provide Name and Telephone Number of Person(s) That Can Be Contacted Regarding Work
	NA		

Please attach additional sheet(s) as needed.

Bidder Maggiora Bros. Drilling, Inc.

Signed *[Signature]*

Title Corporate Secretary

Date 8/9/2021

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BID ACKNOWLEDGEMENT

Monterey Peninsula Water Management District
 5 Harris Court Bldg G., Monterey, CA 93940 (Monterey County)
 or
 P.O. Box 85, Monterey, CA 93942-0085

Pursuant to the foregoing Notice Inviting Sealed Bids, the undersigned Bidder hereby proposes and binds itself by the Monterey Peninsula Water Management District (MPWMD), under this Bid, to execute in accordance with such award, a contract of which this Bid and the Plans and Specifications, which include Special Conditions and all Addenda, shall be a part, to furnish any and all labor, materials, equipment, and services necessary for satisfactory performance and completing the work set forth in said documents within the time hereinafter sent forth and at the prices named in this bid.

Upon award, the Bid Form shall become a part of the final contract.

The undersigned Bidder certifies the following:

- Bidder has examined the location of the proposed work and/or is familiar with the Specifications, which include Special Conditions and all Addenda, and the local conditions in the place where the work is to be done.
- Bidder has examined and carefully studied the Call for Bids, the other related data identified in the Call for Bids, and the following Addenda, receipt of which is hereby acknowledged:

Addenda (BIDDER MUST FILL IN or include addenda with bid)

No. _____	Dated _____	No Addenda
No. _____	Dated _____	
No. _____	Dated _____	
No. _____	Dated _____	
No. _____	Dated _____	

- Bidder has carefully checked all of the figures shown in its Bid Form and understands that MPWMD shall not be responsible for any errors or omissions on the part of the undersigned in making up this bid.
- Bidder has carefully reviewed the accuracy of all statements in this Bid and attachments hereto.
- Bidder understands that MPWMD reserves the right to reject any or all bids, and to waive any irregularities or informalities in bids received. Award shall be made which, in the

judgement of MPWMD, is to the best interest of MPWMD. It is agreed that this bid may not be withdrawn within a period of 90 days after the date set for the opening thereof subject to the provisions of California Public Contract Code Sections 5100-5107.

- Bidder has examined and is fully familiar with all of the provisions of the Contract Documents and any addenda thereto.

Bidder agrees, if awarded the contract, Bidder and all subcontractors shall pay to all laborers, workpersons, and mechanics employed in the execution of such contract or any subcontract thereunder, not less than the general prevailing rate of per diem wages, and rates for overtime and legal holidays in the locality in which the work is to be performed, as established by the State Director of the Department of Industrial Relations.

Bidder and subcontractors currently possess and agree to maintain valid **Contractor's Licenses** issued by the State of California necessary to prosecute the work.

Bidder:	Maggiara Bros. Drilling, Inc.	Tax I.D. Number:	94-1635647
Business Address:	595 Airport Blvd. Watsonville, CA 95076		
List all Contractor's License No.:	C57-249957; 9/30/2021		
Telephone:	(831)724-1338	e-mail:	watsonville@maggiarabros.com
By (signature):			
Name (printed):	Michael F. Maggiara		
Title:	Secretary	Dated:	8/9/2021

This form must be completed submitted with the bid for the bid to be responsive.

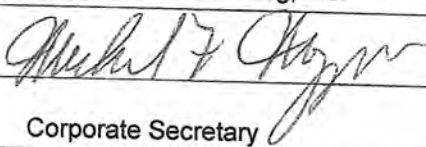
The Bidder has been engaged in the contracting business, under the present business name for 59 years. Experience in work of a nature similar to that covered in the bid extends over a period of 50 years.

The bidder, as a contractor, has never failed to satisfactorily complete a contract awarded to him, except as follows:

The Bidder as Prime Contractor shall list projects meeting Contractor's Experience Qualifications in the following table for the bid to be considered responsive:

Year	Project Location and Contracting Firm/ Agency	Contract amount (\$)	Provide Name and Telephone Number of Person(s) That Can Be Contacted Regarding Work
	See attached List of Representative Projects		

Please attach additional sheet(s) as needed.

Bidder Maggiara Bros. Drilling, Inc.
 Signed 
 Title Corporate Secretary
 Date 8/9/2021
 Public Works Contractor Registration Number 10000026157

MAGGIORA BROS. DRILLING, INC.

DRILLING CONTRACTORS - PUMP SALES & SERVICE
CALIFORNIA CONTRACTOR'S LICENSE NO. 249957

Corporate Office
595 Airport Blvd.
Watsonville, CA 95076

Tel: (831) 724-1338
Tel: (800) 728-1480
Fax: (831) 724-3228

LIST OF REPRESENTATIVE PROJECTS **Partial List – Additional References Available Upon Request**

Name: San Jose Water Company
Location: Cupertino, CA
Owner: San Jose Water Company
Owner Contact: Ryan Yelinek, PE – 408-918-7365
Construction Manager – Michael F. Maggiora – 831-724-1338
Project Description – Mann Well Destruction 12" X 1250' well destruction
Final Cost of Project - \$59,225.00
Completion: 03-09-21

Name: City of Stockton
Location: Stockton, CA
Owner: City of Stockton
Owner Contact: Stephen Kenning – 209-937-8700
Construction Manager – Michael F. Maggiora – 831-724-1338
Project Description – Destruction of Wells 1, 9, 11 & 16, Project No. M18004
Final Cost of Project - \$484,849.00
Completion Date: 01-31-21
Liquidated Damages: None

Name: Monterey County Water Resources Agency
Location: Monterey County, CA
Owner: Various Property Owners
Owner Contact: Manuel Saaverdra – 831-755-4860
Construction Manager – Michael F. Maggiora – 831-724-1338
Project Description 2019 CSIP Well Destruction Project
Final Cost of Project - \$298,892
Completion Date: 09-11-20
Liquidated Damages: None

Name: Pure Water Monterey – Project No. 218106
Location: Seaside, CA
Owner: Pure Water Monterey
Owner Contact: Maureen Hamilton – 831-658-5652
Construction Manager: Michael F. Maggiora – 831-724-1338
Project Description: Reverse Rotary well construction 24" casing X 635 feet, monitoring wells to 700 feet, 500 HP turbine well pumps, and direct rotary 4" monitoring wells to 900 feet.
Final Cost of Project - \$4,116,962.47
Completion Date: 09-01-20
Liquidated Damages: None

SECURITY FOR COMPENSATION CERTIFICATION

TO: MONTEREY PENINSULA WATER MANAGEMENT DISTRICT

I am aware of the provisions of Section 3700 of the Labor Code of the State of California which require every employer to be insured against liability for worker's compensation or to undertake self-insurance in accordance with the provisions of that Code, and I will comply with such provisions before commencing the performance of the work of this Contract:

8/9/2021

Date

(Signature of Bidder)



Business Address:

595 Airport Blvd. Watsonville, CA 95076

Place of Residence:

(This certification must be executed by the successful bidder prior to the award of Contract.)

FAIR EMPLOYMENT PRACTICES CERTIFICATION

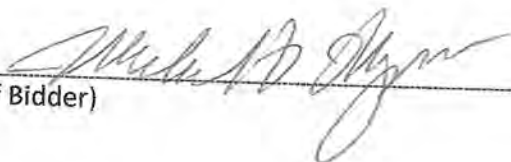
TO: MONTEREY PENINSULA WATER MANAGEMENT DISTRICT

The undersigned, in submitting a bid for performing the following work by Contract, hereby certifies that the undersigned shall meet the standards of affirmative compliance with Fair Employment Practices requirements of the special provisions contained herein:

8/9/2021

Date

(Signature of Bidder)



Business Address:

595 Airport Blvd. Watsonville, CA 95076

Place of Residence:

(This certification must be executed by the successful bidder prior to the award of Contract.)

NONCOLLUSION AFFIDAVIT

State of California _____)
 _____) ss.
 County of Santa Cruz)

Maggiora Bros. Drilling, Inc.

Being first duly sworn, deposes and says that the undersigned is Secretary of the party making the foregoing bid; that the bid is not made in the interest of, or on behalf of, any undisclosed person, partnership, company, association, organization, or corporation; that bid is genuine and not collusive or sham; that the bidder has not directly or indirectly induced or solicited any other bidder to put in a false or sham bid, and has not directly or indirectly colluded, conspired, connived, or agreed with any bidder or anyone else to put in a sham bid, or that anyone shall refrain from bidding; that the bidder has not in any manner, directly or indirectly, sought by agreement, communication, or conference with anyone to fix the bid price of the bidder or any other bidder, or to fix any overhead, profit, or cost element of the bid price, or of that of any other bidder, or to secure any advantage against the public body awarding the contract of anyone interested in the proposed contract; that all statements contained in the bid are true, and, further, that the bidder has not, directly or indirectly, submitted its bid price or any breakdown thereof, or the contents thereof, or divulged information or data relative thereto, or paid, and will not pay, any fee to any corporation, partnership, company association, organization, bid depository, or to any member or agent thereof to effectuate a collusive or sham bid.



 Signature

Michael F. Maggiora

 Date 8/9/2021

The title of the affidavit provides that it is "to be executed by bidder and submitted with the bid."

DRUG-FREE WORKPLACE CERTIFICATION

The District is committed to maintaining a work environment free from the influence of alcohol and drugs in keeping with the spirit and intent of the Drug-Free Workplace Acts of 1988 and 1990. Illegal drugs in the workplace are a danger to all of us. They impair health, promote crime, lower productivity and quality, and undermine public confidence in the work we do. The use of any controlled substances is inconsistent with the behavior expected of our employees, contractors, and subcontractors. It subjects all employees, contractors, and subcontractors, as well as visitors to our facilities and work site, to unacceptable safety risks and undermines the District's ability to operate effectively and efficiently. In this connection, any location at which Monterey Peninsula Water Management District business is conducted, whether on District property or at any other site, is declared to be a drug-free workplace. This means that:

1. All employees, contractors, and subcontractors are absolutely prohibited from engaging in the unlawful manufacture, distribution, dispensation, possession, sale, or use of a controlled substance in the workplace or while engaged in District business off our premises. Violation of this policy by contractors or subcontractors could result in termination of the contract for their services.
2. Employees, contractors, and subcontractors have the right to know the dangers of drug abuse in the workplace, the Monterey Peninsula Water Management District's policy about it, and what help is available to combat drug problems.
3. Any employee, contractor, or subcontractor convicted of violating a criminal drug statute in this agency's workplace must inform the District of such conviction (including pleas of guilty and nolo contendere) within five (5) days of its occurrence. Failure to do so by a contractor or subcontractor could result in termination of the contract for their services. By law, the District will notify the federal contracting officer within ten (10) days of receiving any notice of such a conviction.

ALL CONTRACTORS AND SUBCONTRACTORS ARE ASKED TO ACKNOWLEDGE THAT THEY HAVE READ THE ABOVE POLICY AND AGREE TO ABIDE BY IT IN ALL RESPECTS. BY LAW, THIS ACKNOWLEDGEMENT AND AGREEMENT ARE REQUIRED OF YOU AS A CONDITION OF ENTERING INTO THIS AGREEMENT.



Signature of responsible party

Michael F. Maggiora, Corporate Secretary

Name and title of responsible party

8/9/2021

Date signed

ADMINISTRATIVE COMMITTEE

4. CONSIDER RECOMMENDING AUTHORIZATION OF EXPENDITURE OF FUNDS NOT TO EXCEED \$120,000 FOR ADDITIONAL LEGAL SERVICES BY RUTAN + TUCKER RELATED TO THE ACQUISITION OF THE MONTEREY WATER SYSTEM (MEASURE J)

Meeting Date:	September 13, 2021	Budgeted:	No
From:	David J. Stoldt, General Manager	Program/ Line Item No.:	Services & Supplies Professional Fees
Prepared By:	David J. Stoldt	Cost Estimate:	\$120,000

General Counsel Review: N/A

Committee Recommendation: The Administrative Committee reviewed this item on September 13, 2021 and recommended _____.

CEQA Compliance: This action does not constitute a project as defined by the California Environmental Quality Act Guidelines Section 15378.

SUMMARY: Rutan + Tucker has been the District's eminent domain attorneys working on the acquisition of the California American Water Company (Cal-Am) Monterey Water System since December 2018. Delays and multiple Cal-Am letters in the LAFCO process, have resulted more review and work product by the firm. There is \$34,900 remaining in their budget and July and August invoices have not been reflected.

The potential acquisition is just now readying itself for finalizing the "findings" of public necessity and, if the District Board decides it wishes to move forward, a bonafide offer to purchase, a District Resolution of Necessity, and a condemnation action – all requiring legal acumen. It is recommended that Rutan + Tucker's budget be increased by \$120,000 to prepare for such activities.

RECOMMENDATION: The Administrative Committee should recommend that the Board authorize expenditure of funds not to exceed \$120,000 for additional legal services by Rutan + Tucker related to the acquisition of the Monterey Water System (Measure J).

IMPACT TO DISTRICT RESOURCES: Such Measure J related expenses have not been budgeted and will come from District reserves.

EXHIBITS

None

ADMINISTRATIVE COMMITTEE

5. CONSIDER RECOMMENDING AUTHORIZATION OF EXPENDITURE OF FUNDS NOT TO EXCEED \$230,000 FOR ADDITIONAL APPRAISAL AND COST OF SERVICE ANALYSIS BY RAFTELIS RELATED TO THE ACQUISITION OF THE MONTEREY WATER SYSTEM (MEASURE J)

Meeting Date:	September 13, 2021	Budgeted:	No
From:	David J. Stoldt, General Manager	Program/ Line Item No.:	Services & Supplies Professional Fees
Prepared By:	David J. Stoldt	Cost Estimate:	\$230,000

General Counsel Review: N/A

Committee Recommendation: The Administrative Committee reviewed this item on September 13, 2021 and recommended _____.

CEQA Compliance: This action does not constitute a project as defined by the California Environmental Quality Act Guidelines Section 15378.

SUMMARY: Raftelis has been the District’s financial consultant working on the acquisition of the California American Water Company (Cal-Am) Monterey Water System since January 2019. In November 2019 the firm completed the Preliminary Valuation and Cost of Service Analysis Report determining that an acquisition of the System is financially feasible. Raftelis provided additional work on rate impacts and formal appraisal work that was ready to go in October 2020. Unfortunately, delays in the LAFCO process have resulted the appraisal work becoming “stale” and in need of updating. Additional cost of service analysis and rate impact analysis will likely also be needed to inform the District Board’s decision to move forward. There is \$14,440 remaining in their budget and July and August invoices have not been reflected.

Raftelis scope of services (redacted) is attached as **Exhibit 5-A**. The proposed budget is just under \$230,000.

RECOMMENDATION: The Administrative Committee should recommend that the Board authorize expenditure of funds not to exceed \$230,000 for additional appraisal and cost of service analysis by Raftelis related to the acquisition of the Monterey Water System (Measure J).

IMPACT TO DISTRICT RESOURCES: Such Measure J related expenses have not been budgeted and will come from District reserves.

EXHIBIT

5-A Raftelis Proposed Scope of Services and Budget

August 13, 2021

NOTE: Some items redacted due to attorney-client privilege

David C. Laredo
District Council
Monterey Peninsula Water Management District
606 Forest Avenue
Pacific Grove, CA 93950

Subject: Scope of Services and Budget for Formal Appraisal and Rate Study

Dear Mr. Laredo:

In accordance with your request, we are providing this supplemental scope and budget to update the formal appraisal of the Monterey Water System, currently owned and operated by California-American Water Company (CAW), and to update the water rate study for this system. Descriptions of our anticipated scope of services and budget estimate for this effort are provided below.

Task 1 - Appraisal

This task consists of finalizing the appraisal of the Monterey Water System, and includes the following subtasks:

- a. *Information Gathering and Review.* Raftelis will gather, review, and evaluate relevant additional information associated with the Monterey Water System that is made available by CAW or the California Public Utilities Commission (CPUC), or through other publicly available sources. As part of information gathering and review effort, we will prepare formal information requests, as appropriate, and work with the District to obtain access to the Monterey Water System facilities in order to complete a visual system inspection.
- b. *Appraisal Update.* Based on the additional information gathered and reviewed, Raftelis will prepare an appraisal of the system as of an updated specified date. The analysis will include completing refinements to our prior valuation analysis. We have assumed that the District will also secure an updated real estate appraisal of real estate associated with the Monterey Water System which was previously provided to the District. We will incorporate the real estate appraiser's updated valuation results into our analysis.
- c. *Appraisal Report.* Raftelis will prepare an appraisal report consistent with the Uniform Standards of Professional Appraisal Practice (USPAP) and other industry guidelines.
- a. *Meetings.* Raftelis will participate in meetings with the District and the District's deal team. We have assumed participating in two meetings in Monterey, one associated with

the system facilities inspection, and another to discuss the appraisal report and the bona fide offer. We have assumed other meetings will be held via teleconference on an as-needed basis.

- d. *Bona Fide Offer Assistance.* Raftelis will assist the District in preparing a bona fide offer for the Monterey Water System, including consideration of which regulatory assets and asset additions should be included in the offer.

Task 2 – Water Rate Study

This task consists of completing an updated water rate study to (1) identify and evaluate water rate alternatives that would be allowed under California Proposition 218, and (2) forecast water rates under the alternatives for comparison with CAW’s existing water rates as established in its most recent General Rate Case. Completion of this task assumes the receipt of detailed customer information from CAW. Specifically, this task will entail the following:

- b. Information Gathering and Review: Raftelis will prepare a data request to gather additional water consumption and cost data that may be available from CAW or the CPUC as part of the ongoing rate case. Upon receipt of this additional information, we will review, analyze and incorporate it into the water rate projections, as described below.
- c. Financial Plan: Raftelis will update the “cost of service” analysis that was completed for the District previously to show the cost differential between public and private ownership, reconfirm the inputs and assumptions based on any additional information that is made available, and then identify the forecasted yearly revenue needs to cover O&M, capital improvements, reserves and debt service payments related to the acquisition of the Monterey Water System that will be used in the water rate calculations. In completing the financial plan, we will rely upon operating and capital cost estimates prepared by the District’s other consultants (e.g., Close & Associates and Jacobs).
- d. Cost of Service Analysis: We will complete a revised preliminary customer class level cost of service analysis, which will provide the cost-rate nexus needed to meet Proposition 218 requirements, including for tiered water rates. [REDACTED]
[REDACTED]
[REDACTED]
[REDACTED]
- e. Rate Design: We will prepare water rate structure alternatives that meet Proposition 218 requirements for District review. Based on input from the District and data availability, we will complete a rate analysis for approximately two rate structure alternatives. [REDACTED]
[REDACTED]
[REDACTED]

[REDACTED]

[REDACTED] In the case of limited customer consumption data, we will rely upon our professional experience and typical industry customer peaking factors to complete the preliminary rate design.

- f. Residential Water Bill Comparisons. We will compare the proposed water rate structure options to current water rates under CAW ownership if CAW leaves the steep tiers in place, or if CAW implements the proposed tiered rate structure. We will also prepare a rate comparison of the current CAW rates and proposed District rates with the rates of nearby water agencies.
- g. [REDACTED]
- h. Rate Study Report. We will prepare a formal rate study report that summarizes rate study results. Draft and final versions of the report will be prepared.
- i. Meetings. We have assumed two meetings under this task. Once we have all or most of the data and have reviewed it, we will schedule a meeting with the District to discuss the financial plan assumptions, potential rate structures, and data gaps. This meeting will be held in parallel with a system facility inspection. The second meeting will be held to discuss the draft rate study results held with the District once the financial plan and rate structure is substantially complete. The purpose of this meeting is to review the financial plan and draft rates with the District. We have assumed other meetings will be held via teleconference on an as-needed basis.

Budget and Schedule

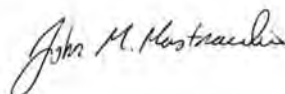
Raftelis proposes to complete this scope of services on a time-and-expense basis for a not-to-exceed amount of \$226,475 in accordance with our standard 2021 billing rates. We anticipate completing this scope of services on or about March of 2022.

Thank you for the opportunity to continue to provide support to the District regarding this important effort. If you have any questions or need any additional information, please do not hesitate to contact either John or me.

Sincerely,



**William Stannard, PE
Chairman**



**John M. Mastracchio, CFA
Vice President**

ADMINISTRATIVE COMMITTEE

6. CONSIDER RECOMMENDING AUTHORIZATION OF EXPENDITURE OF FUNDS NOT TO EXCEED \$50,000 FOR ADDITIONAL REAL ESTATE APPRAISAL SERVICES BY CHRIS CARNEGHI MAI RELATED TO THE ACQUISITION OF THE MONTEREY WATER SYSTEM (MEASURE J)

Meeting Date:	September 13, 2021	Budgeted:	No
From:	David J. Stoldt, General Manager	Program/ Line Item No.:	Services & Supplies Professional Fees
Prepared By:	David J. Stoldt	Cost Estimate:	\$50,000

General Counsel Review: N/A

Committee Recommendation: The Administrative Committee reviewed this item on September 13, 2021 and recommended _____.

CEQA Compliance: This action does not constitute a project as defined by the California Environmental Quality Act Guidelines Section 15378.

SUMMARY: Chris Carneghi MAI has been the District's real estate appraiser working on the acquisition of the California American Water Company (Cal-Am) Monterey Water System since June 2020. Mr. Carneghi evaluated all of the identified Cal-Am real estate assets within the proposed acquisition and his formal appraisal work was ready to go in October 2020. Unfortunately, delays in the LAFCO process have resulted the appraisal work becoming "stale" and in need of updating. Additional analysis will be required to update the work and incorporate into Raftelis' overall appraisal.

The update will likely take two to three months. The fee would be billed on an hourly basis the same as last time. It is difficult to know the time that would be involved since some reinspection will be necessary along with any new comparables that can be found. There is just under \$44,000 left in Mr. Carneghi's budget. The addition of \$50,000 to the budget should be more than sufficient to meet all the real estate appraisal needs before the start of trial preparation/testimony. We would continue under the same authorization letter signed by David Laredo in June 2020.

RECOMMENDATION: The Administrative Committee should recommend that the Board authorize expenditure of funds not to exceed \$50,000 for additional real estate appraisal services by Chris Carneghi MAI related to the acquisition of the Monterey Water System (Measure J).

IMPACT TO DISTRICT RESOURCES: Such Measure J related expenses have not been budgeted and will come from District reserves.

EXHIBITS

None

ADMINISTRATIVE COMMITTEE

7. CONSIDER RECOMMENDING AUTHORIZATION OF EXPENDITURE OF FUNDS NOT TO EXCEED \$28,000 FOR SURVEY SERVICES BY PSOMAS RELATED TO THE ACQUISITION OF THE MONTEREY WATER SYSTEM (MEASURE J)

Meeting Date:	September 13, 2021	Budgeted:	No
From:	David J. Stoldt, General Manager	Program/ Line Item No.:	Services & Supplies Professional Fees
Prepared By:	David J. Stoldt	Cost Estimate:	\$28,000

General Counsel Review: N/A

Committee Recommendation: The Administrative Committee reviewed this item on September 13, 2021 and recommended _____.

CEQA Compliance: This action does not constitute a project as defined by the California Environmental Quality Act Guidelines Section 15378.

SUMMARY: The District provided detailed maps in its LAFCO application of the 58 parcels in Yankee Point and Hidden Hills proposed to be annexed as part of the potential acquisition of the California American Water Company (Cal-Am) Monterey Water System. However, detailed legal descriptions for both annexations must be prepared in conformance with the State Board of Equalization Mapping Requirements dated August 1, 2005. For that purpose, a licensed surveyor is required. Psomas was recommended for this task by our outsourced GIS professional and the exchange of GIS files and information has been facilitated by that prior relationship. The District has used Psomas for other purposes such as construction management and has had a very good relationship with the firm.

Psomas' proposal and budget are attached in **Exhibit 7-A**. The State Board of Equalization mapping requirements are included in that Exhibit.

RECOMMENDATION: The Administrative Committee should recommend that the Board authorize expenditure of funds not to exceed \$28,000 for survey services related to the acquisition of the Monterey Water System (Measure J).

IMPACT TO DISTRICT RESOURCES: Such Measure J related expenses have not been budgeted and will come from District reserves.

EXHIBIT

7-A Psomas Proposal and Budget

P S O M A S

Balancing the Natural and Built Environment

August 19, 2021

Mr. David J Stoldt, General Manager
**MONTEREY PENINSULA WATER
MANAGEMENT DISTRICT**
5 Harris Court – Bldg. G
Monterey, CA 93940
Phone: 831.658.5651

Re: Proposal to prepare land descriptions and exhibits for the Yankee Point Annexation and Hidden Hills Annexation to District's service area.

(submitted via email by Brian Bullock on August 19, 2021)

Dear Mr. Stoldt:

Per your request, Psomas is submitting this proposal to prepare a land description and exhibit for the annexation of a portion of Yankee Point Acres. This annexation includes Lots 1-37 of Yankee Point Acres, shown on the attached Exhibits "A1" and "A2" and the area known as Hidden Hills depicted on the attached Exhibit "A3".

The exhibits and descriptions for both annexations will be prepared in conformance with the State Board Mapping Requirements dated August 1, 2005 and shown on Exhibit "B".

It is understood that the District will provide a copy of the current land description of the District boundaries. This would include the initial description and the description (and exhibit) of any annexations that have been added to the District prior to these proposed annexations.

Attached is our proposed scope of services, fee and schedule for this project (Attachment 1). If you have any questions, please contact me by email at bullock@psomas.com or phone 916.788.4834. Thank you for contacting Psomas for this project opportunity!

Sincerely,

P S O M A S

Brian E. Bullock, PLS
Senior Project Manager

1075 Creekside Ridge Dr.,
Suite 200
Roseville, CA 95610

Tel 916.788.8122
www.Psomas.com

ATTACHMENT 1 SCOPE OF SERVICES

Prepare a Land Descriptions and Exhibits
for the Yankee Point Annexation and the Hidden Hills Annexation
to the Monterey Peninsula Water Management District,
Monterey, CA

August 19, 2021

Psomas proposes to perform the following services:

1. **Research and review** existing documents of client-supplied descriptions and exhibits for the District as well as existing record documents to be utilized in the preparation of the annexation exhibits and descriptions.
2. **Perform record calculations** of the exterior boundary of the lands to be annexed to the District as well as those portions of the existing District boundary to which the annexations will adjoin. The Yankee Point annexation consist of Lots 1-37 of Yankee Point Acres as the lots are shown on attached Exhibits "A1" and "A2". The lands to be included in the Hidden Hills annexation are shown on Exhibit "A3". Field surveys are excluded from this scope.
3. **Preparation of the annexation exhibits and land descriptions** in conformance to the State Board of Equalization Requirements. (attached hereto as Exhibit "B")
4. Psomas will submit the descriptions and exhibits to the District for the initial review and comment. Once any edits or changes have been made, the annexation package will be ready to be submitted to Monterey County LAFCO and the State Board of Equalization for review and processing.

SCHEDULE & FEES: To begin our tasks on this project, Psomas will need a signed contract, record descriptions of the District, previous annexation documents in the area of this proposed annexation, electronic files (AutoCAD and/or GIS files) of the District's boundaries, and a written notice to proceed.

Total lump sum fee for the described services is \$25,900, *excluding application and review fees*. Excepting events beyond Psomas' control, we will submit the descriptions and exhibits to the District four (4) weeks from receipt of the above materials and written notice to proceed from the District.

DELIVERABLES:

This proposal includes the following deliverables:

1. One hard copy of the description and exhibit stamped and signed by the licensed land surveyor in charge.
2. Digital package of the materials required for submittal to the State Board.



SCALE: 1/4" = 100 FT.

CARMEL HIGHLANDS
241-29

TAX CODE AREA

COUNTY OF MONTEREY
ASSESSOR'S MAP
BOOK 243 PAGE 13

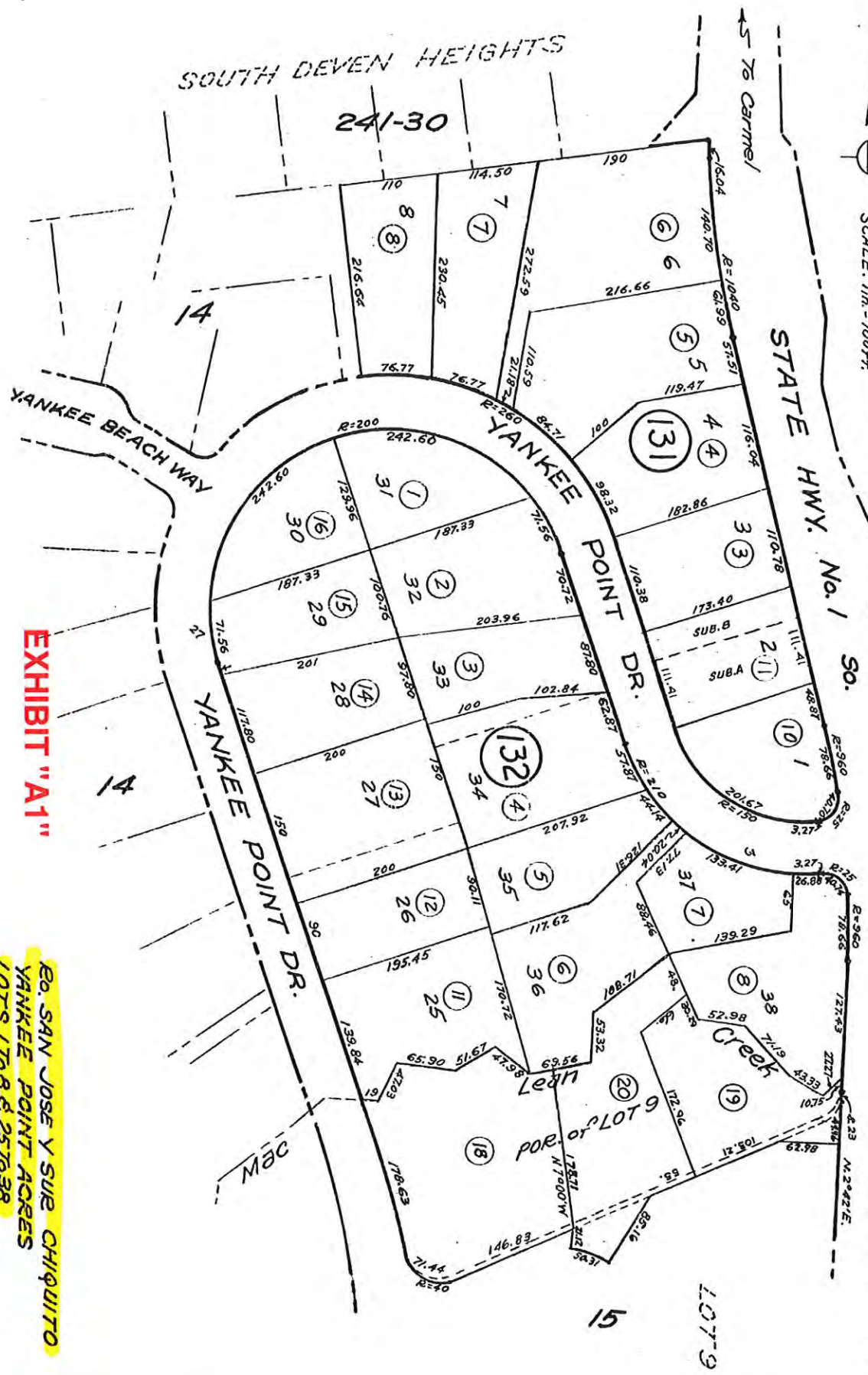
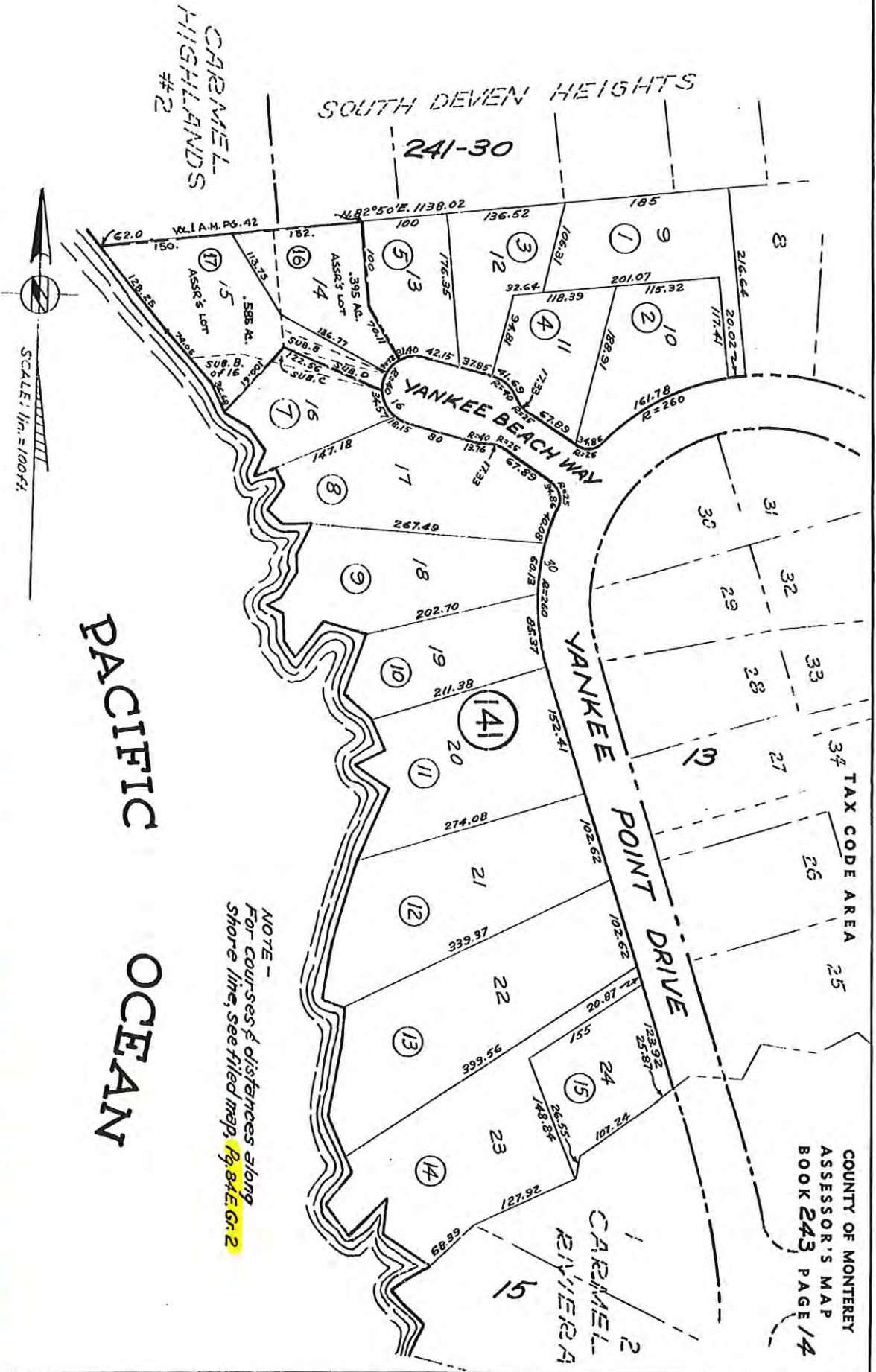


EXHIBIT "A1"

Eo. SAN JOSE Y SURE CHIQUITO
YANKEE POINT ACRES
LOTS 1768 & 257638
ALSO, PORTION OF LOT 9 WEST OF HWY.

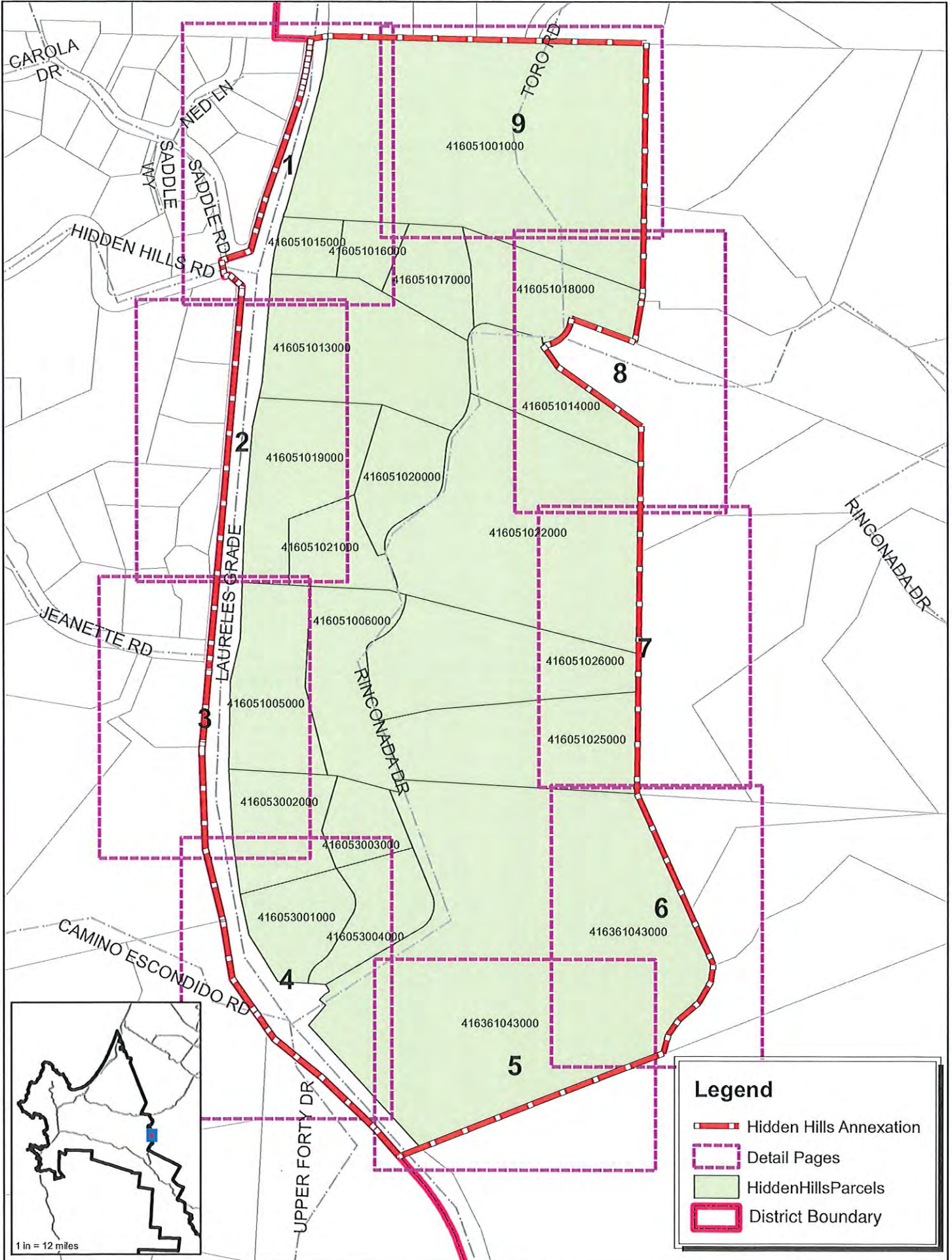
COUNTY OF MONTEREY
ASSESSOR'S MAP
BOOK 243 PAGE 14







NOTE -
For courses & distances along
Shore line, see filed maps, Pg. 84E, G-2

EXHIBIT "A2"

Re. SAN JOSE Y SUE CHIQUITO
YANKEE POINT ACRES
LOTS- 9 TO 24



Legend

-  Hidden Hills Annexation
-  Detail Pages
-  HiddenHillsParcels
-  District Boundary

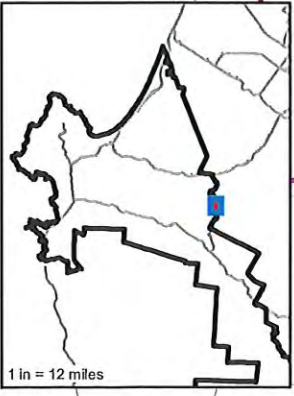


EXHIBIT "B"

State Board Mapping Requirements

Map(s)

It is strongly recommended that all maps submitted to the Board be filed in electronic/digital form. Digital information will not be shared without the permission of the applicant.

Maps submitted as part of the jurisdictional boundary change filing shall conform to the following specifications:

Map Documents:

1. All maps shall be professionally and accurately drawn or copied. Rough sketches or pictorial drawings will not be accepted. Assessor's parcel maps will not be accepted as a substitute for the project map.
2. Original or copies of the same size project map must be submitted. Reduced maps are not acceptable and will be rejected.
3. A vicinity map shall be included. The vicinity map shall show the location of the project area in relationship to a larger geographic area that includes major streets and highways or other physical features.
4. Any portion of an existing district boundary in close proximity to the project area shall be shown and identified.
5. Every map must clearly show all existing streets, roads and highways with their current names that are within and adjacent to the project area. Additionally, every map shall indicate each township and range, section lines and numbers, or ranchos that are in proximity of the project area.
6. Every map shall bear a scale and a north arrow. The **point of beginning** shall be clearly shown and match the written geographic description.
7. The boundaries of the project area shall be distinctively delineated on each map without masking any essential geographic or political features. The boundaries of the project area must be the most predominant line on the map. Boundary lines that are delineated by a line that exceeds 1.5 millimeter in width shall be rejected. The use of graphic tape or broad tip marking pens to delineate the boundary is not acceptable.
8. All dimensions needed to plot the boundaries must be given on the map of the project area. Each map shall have **numbered courses matching the written geographic description**. Index tables may be utilized.
9. All parcels within the project area that touch the new boundary shall be clearly labeled with the assessor's parcel number. Interior parcels that do not touch the boundary need not be identified on the map.

10. If the project area has an interior island(s) of exclusion or the boundary has a peninsula of exclusion (or inclusion), that area(s) should be shown in an enlarged drawing. This drawing should be of sufficient size and scale to allow TASS to plot the boundary without difficulty.
11. When it is necessary to use more than one map sheet to show the boundaries of the project area, the sheet size should be uniform. A small key map giving the relationship of the several sheets shall be furnished. Match lines between adjoining sheets must be used. While the geography on adjoining sheets may overlap, the project boundaries must stop at the match lines. TASS has standardized the D size (24" x 36") map sheet, but will accept larger or smaller map sizes depending on the size and complexity of the individual single area(s).

Digital Maps:

Maps that are filed electronically shall conform to the same requirements as described in this section under map documents (Items 1 through 11 above). Additional items for digital maps are as follows:

Required files -- The disk or CD shall contain only the following files:

- a. Map/drawing file(s) using AutoCAD.dwg format in vector format:
 - Plotting: The map drawing file shall have the same appropriate borders, legends, title blocks, signature block and any necessary information that is required for a manually drawn map.
 - Scale: The drawing shall be at real-world scale.
 - Layers: A listing of the layers and their definitions shall be included in the "read_me" file.
 - File Format: File shall be in vector format only. Raster files, raster-vector hybrid, .pdf, tiff, .pcx, .eps, .gif, .jpeg or any other image formats will not be accepted.
 - Compressed Files: Files shall be uncompressed; compressed files will not be accepted.
- a. A text file labeled "read_me" listing:
 - The name, address, and phone number of the agency/special district
 - County name and city or district name
 - Project/short title of the action
 - Name, address and phone number of office that prepared the map file
 - List of files on the disk or CD
 - Map projection and datum
 - Layer definitions
 - Sheet size
 - Plotting scale
 - Date of creation
- a. Labels: The disk or CD must have a label that identifies:
 - The agency and/or special district submitting the map
 - Name of the project/short title
 - County name(s)
 - Date of creation

ADMINISTRATIVE COMMITTEE

8. AUTHORIZE EXPENDITURE FOR NETWORK SECURITY ASSESSMENT

Meeting Date:	September 13, 2021	Budgeted:	Yes
From:	David J. Stoldt, General Manager	Program/ Line Item No.	Information Technology
Prepared By:	Suresh Prasad	Cost Estimate:	\$15,000

Committee Recommendation: The Administrative Committee reviewed this item on September 13, 2021 and recommended _____.

CEQA Compliance: This action does not constitute a project as defined by the California Environmental Quality Act Guidelines Section 15378.

SUMMARY: Due to recent surge in ransomware activities around the world, staff seeks authorization to complete a Network Security Assessment (NSA) study that could potentially identify security gaps in the District's Information Technology (IT) network infrastructure. The study will be conducted by DeVeera Inc., the District current IT consultant. Quote from DeVeera and a detailed Scope of Work for NSA is attached.

Upon completion, result of the findings and recommendations will be shared with the Board.

RECOMMENDATION: The Administrative Committee should recommend approval of expenditures not-to-exceed \$15,000 to complete the Network Security Assessment. This authorization includes \$3,000 in contingency amount.

IMPACT TO STAFF/RESOURCES: The FY 2021-2022 Information Technology budget includes funds for this study.

BACKGROUND: The District's IT Infrastructure supports all facets of District's computing needs including e-mail, Data Storage, Network and Data Security, Water Demand Database Application, GIS Application and Storage, Web Hosting, Financial Applications, SQL server databases and numerous other needs.

It is extremely important for the District to maintain its IT systems and address any security vulnerability that may exist within the system. DeVeera Inc. has been the District's IT consultant for past 2 years and fully understands the District IT network infrastructure. The NSA consultant utilized by DeVeera has been in this business for two decades and his credentials are attached to the detailed Scope of Work.

EXHIBIT

8-A DeVeera Quote & Scope of Work



We make IT easy.™

From: Mike Onorato
DeVeera Inc.
5 Mandeville Ct.
Suite 100
Monterey, CA 93940

(831) 240-4703
mike@deveera.com

Prepared for: Suresh Prasad
Monterey Peninsula Water Management District
5 Harris CT
Building G
Monterey, CA 93940
United States
(831) 658-5600
suresh@mpwmd.net

Quantity	Description	Unit Price	Ext. Price
1.00	Network Security Assessment using CIS Protocol: Refer to Scope of Work Attachment	\$12,000.00	\$12,000.00
		Subtotal:	\$12,000.00
		Tax:	\$0.00
		Total:	\$12,000.00

Signature: _____

Date: _____

1 Introduction

1.1 Background

An information security assessment is a measurement of the security posture of a system or organization. The security posture is the way information security is implemented. Security assessments are risk-based assessments, due to their focus on vulnerabilities and impact. Security assessments rely on three main assessment methods that are inter-related. Combined, the three methods can accurately assess the Technology, People, and Process elements of security.

In the light of the recent ransomware attacks, Monterey Peninsula Water Management District has requested an evaluation of the security posture of the data and systems and processes that are currently being used by the organization in order to become more resilient to such attacks and prepare a better response such an event should occur.

1.2 Objectives

A security assessment is performed to identify the current security posture of an information system or organization. The assessment provides recommendations for improvement, which allows the organization to reach a security goal that mitigates risk, and also enables the organization.

The security assessment should enable one to answer the following questions:

- What is the critical information?
- What controls are in place for information systems?
- What is the current security posture of information systems?
- Should more or less stringent countermeasures be instituted?
- What is the prioritized security roadmap to follow that addresses high-priority issues first?

1.3 Scope of Work

Scope management is the process of defining what work is required, and then making sure that all of that work, and only that work, is done. The following processes will be covered in this project management knowledge area:

I. Collect Requirements

The Collect Requirements Process is critical. Unless requirements are understood & defined, it will be very difficult for the assessment to meet these requirements, and therefore the assessment will be far from a quality assessment.

- Requirements Related to The End Result of the Security Assessment
 - Requirements derived from customer expectations about assessment results, timeline, and cost.
 - Requirements to determine how well sensitive information is protected from disclosure, or to determine how well policy is achieving its purpose
 - Requirements to use one assessment method (reviewing, examination, or testing)
- Requirements Related to How the Work is Managed
 - Adherence to an established assessment methodology used by the organization.
 - Organization requirements with which assessments must comply.
 - Roles & responsibilities for both assessment team & target organization.
 - Assessment logistics, and assessors' skills & experience.
 - Data handling requirements (data storage, transmission, removal).

II. Define Scope

The Define Scope Process is primarily concerned with what is and is not included in the security assessment and its deliverables.

- Determine the assessment sites
- Define the size and number of systems and components to be assessed
- Details about the assessment method(s) to be used are defined

Using the CIS framework, a maximum number of 20 CSC controls can be evaluated depending on the Implementation Group agreed upon. The initial assessment will cover the first 6 CSC controls (e.g. Implementation Group 1 of the CIS framework):

1. Inventory and Control of Hardware Assets
2. Inventory and Control of Software Assets
3. Continuous Vulnerability Management
4. Controlled Use of Administrative Privileges
5. Secure Configuration for Hardware and Software on Mobile Devices, Laptops, Workstations and Servers
6. Maintenance, Monitoring and Analysis of Audit Logs

The following aspects of every sub-controls will be evaluated as follows

1. whether or not the organization currently has a policy defined that indicates that they should be implementing the defined sub control
 2. whether or not the organization currently has implemented this sub control and to what degree the control has been implemented
 3. whether or not the organization currently has automated the implementation of this sub control and to what degree the control has been automated
 4. whether or not the organization is reporting this sub control to business representatives and to what degree the control has been reported
- Detailed rules of engagement are defined.
 - o specifying project progress reporting details
 - o how emergency communications will take place
 - o acceptable penetration testing times and whether they are announced or not
 - o details regarding the target organization observation of examination/testing activities performed
 - Deliverables
 - Level of Implementation for each CSC Control
 - Aggregate Scores representing the maturity levels for Policies, Controls
 - Levels of completion for each Implementation Group
 - The following ATT&CK activities will be assigned an overall score of *Low*, *Moderate*, or *High* for both *Preventive Capabilities* as well as *Detection Capabilities*
 - Initial Access
 - Execution
 - Persistence

- Privilege Escalation
 - Defense Evasion
 - Credential Access
 - Discovery
 - Lateral Movement
 - Collection
 - Command and Control
 - Exfiltration
- Project exclusions – to reduce likelihood of scope creep
 - Constraints & assumptions

III. Create Work-Breakdown-Structure (WBS) Process

A work breakdown structure is a very important tool. It increases project understanding, is deliverable-oriented, and divides the project into smaller manageable pieces. A WBS can also be used as a project communication tool. In the process of creating a WBS for a security assessment, we walk through the assessment and decompose deliverables into their smaller constituents, level by level. This is done until we reach a point where it is easy to estimate the cost, time, and resources to complete the lowest level of the structure. By completing a WBS for the security assessment, we help ensure that nothing in scope slips through the cracks, and nothing out of scope slips into the project.

IV. Verify Scope Process

The Verify Scope Process is the process of formalizing acceptance of the completed project deliverables. The deliverables are being reviewed and accepted by the customer, and not by the assessment team. The assessment team needs to first review and accept the completed deliverables before the customer reviews the deliverables for acceptance; however, the assessment team review activity is part of quality management and not scope management.

2 Tasks / Activities

The contractor shall provide proactive Risk and Vulnerability Assessment (RVA) capabilities which consists of several services available to test external and internal accessible systems, hosts, and applications in a stakeholder environment. There may be an overlap in requirements in some RVA services, however it is the specific methodology used to carry out the services in a RVA which make the services unique. The following tasks should be applied to each service:

TASK 1 – PRE ASSESSMENT PLANNING PHASE

During the pre-assessment phase, the assessment team and the organization being assessed must set expectations for each assessment and/or engagement.

SUBTASK 1.0 – Perform Initial Communication

The contractor shall work to schedule stakeholders according to their operating needs. The stakeholder organization should anticipate a two-week engagement period,

- one week being reserved for conducting interviews and evaluate systems, applications, networks, policies, and procedures to discover vulnerabilities as well as review of documentation, architecture, rule-sets, and system configurations
- Another week required for hands-on technical process that looks specifically at the organization from a system/network level to identify security vulnerabilities that exist in those systems including technical analysis of the firewalls, intrusion detection systems, and routers. As well as vulnerability scans of the customer's networks

SUBTASK 1.1 - Deliver Rules of Engagement (ROE)

The purpose of the ROE is to establish the timeframe, scope and the activity that is allowed during an engagement and establish a binding agreement between the stakeholder and the contractor.

SUBTASK 1.2 - RVA Team/Stakeholder complete ROE

When the contractor receives a signed ROE from a stakeholder, it is countersigned and returned to the stakeholders to retain for their records.

SUBTASK 1.3 - Schedule RVA

Once the ROE is signed, returned, and verified, the RVA shall be scheduled and assigned a RVA Program Lead (contractor) who contacts the stakeholder with the testing dates, and communicates the default engagement timeline.

Week 1 – passive review techniques and interviews.

Week 2 – technical analysis of the active network devices: firewalls, intrusion detection systems, and routers. Vulnerability scans of the customer's networks.

The engagement timeline will be defined by organization for each assessment as the engagement may vary based on the scope of the engagement.

SUBTASK 1.4 – Conduct RVA Pre-Assessment Meeting

The RVA Program Lead (contractor) shall reach out to the designated stakeholder POC to schedule a Pre-Assessment Meeting to cover services, scoping, targets, expectations, and other logistics.

TASK 2 - TESTING/ASSESSMENT PHASE

During the assessment phase, the contractor is actively engaged in providing the selected service offerings to the stakeholder organization. The contractor Team Lead shall work closely to communicate current status with the designated stakeholder POC to ensure the engagement activity does not impact stakeholder business operations. Any major issues discovered during the assessment, including critical external vulnerabilities, shall be immediately communicated to the stakeholder organization. Stakeholder POC shall immediately be notified if suspected

classified information is found. If inappropriately stored PII is suspected, the team shall immediately seek clarification and next actions from the POC.

SUBTASK 2.0 - Commence RVA Engagement

At the beginning of the RVA Engagement, the contractor Team Lead shall provide the stakeholder with an in-brief that describes the action plan to deliver the RVA services. The Team Members shall provide support during the in-brief, answering specific technical questions and subject matter expertise as required.

Throughout the engagement, the contractor Team Lead shall provide written, and when requested, verbal, daily status updates with the designated POC.

SUBTASK 2.1 - Complete RVA Engagement

Once the selected services are completed and the systems are effectively assessed, the contractor Team Lead shall notify the designated stakeholder POC and schedule an out-brief presentation. The contractor Team Lead shall ensure all engagement data is provided to the POC, and a working copy is securely stored and retained for developing the final report as appropriate. All test systems shall be cleansed of stakeholder data prior to completion of the testing phase, except for a consolidated primary and backup working copy of the data for reporting purposes.

TASK 4 – POST ASSESSMENT PHASE

SUBTASK 3.0 - Reporting

The contractor RVA teams shall provide reports consistent with the organization requirements. Customization of the output is applied as needed. The report delivery process is as follows:

- The contractor Team Lead shall draft a report to the stakeholder two weeks after the completion of the RVA engagement.
- The Stakeholder shall review the draft report over the next one to two weeks.
- The contractor Team Lead shall deliver the final report after any modifications required based on the review of the draft report.

SUBTASK 3.1 - Mitigation Check

Six months after the final report is delivered the contractor RVA Program Lead or Team Lead shall send a notification to the stakeholder to review the status of any recommended mitigation action from the final report.

3 Business Terms / Conditions

3.1 Change Control/Change Order

Any changes in this statement of work will be documented in writing by (company name) Project Manager and submitted for written approval. Additional hardware, software, or services can be added to this project via Change Order and related Quote, which is to be approved prior to commencement of the additional project work.

EUGEN MATEI

5 MANDEVILLE CT #100 • MONTEREY, CA 93940
831 272 4340 • EUGEN@LEYLINECONSULTING.COM

SUMMARY OF QUALIFICATIONS

Senior level IT professional with in-depth knowledge and vast hands-on engineering experience in the areas of virtualization infrastructure, systems security, networking, storage, endpoint management in fast-paced customer oriented environments. Established reputation for exceptional people skills and ability to communicate at all levels of management, employees and vendors. Demonstrated leadership and reliability in critical situations. Summary of competencies includes:

- VMware infrastructure
- Microsoft Active Directory
- McAfee Endpoint Protection
- Network switching & routing
- Microsoft SCCM
- Systems security
- Storage
- Project management
- Protecting assets

PROFESSIONAL EXPERIENCE

LEILINE CONSULTING MONTEREY, CA
Cybersecurity Consulting

2019 – PRESENT

LEAD CYBER AND INFORMATION SECURITY ARCHITECT

Executed Security Risk Assessments and consulting services as they relate to CIS and NIST compliance and risk management, Data Security Architecture, and program development/maturity.

- ❑ Proficient with risk and security frameworks, standards, and best practices (e.g. HIPAA, COBIT, NIST, CSC, and ISO 27001/2)
- ❑ Assessed projects, changes, and new designs for appropriate audit points and intelligence gathering functionality.
- ❑ Performed Information Security Risk Assessments/Analyses.
- ❑ Performed Incident Monitoring and Analyses activities.
- ❑ Reviewed new and existing systems design projects and procurement plans for compliance with standards and architectural plans.
- ❑ Ability to think holistically and identify areas of technical and non-technical risk as well as mitigation or remediation options.
- ❑ Demonstrated experience with the NIST Cybersecurity Framework and auditing security controls identified in NIST SP800-171 and NIST SP800-53A;
- ❑ Strong knowledge of security standards and fundamentals such as OWASP Top 10, CVSS, CVE
- ❑ Security knowledge on current threats, trends, and mitigations
- ❑ Experience writing technical reports/presentations and presenting to non-technical audiences.

MONTAGE HEALTH MONTEREY, CA
Acute care regional hospital

2019 – PRESENT

VIRTUALIZATION ENGINEER

Responsible for supporting complex end-to-end network and VMware solutions in a Mission Critical environment. Hands on experience with communication protocols, Cisco UCS server architectures, networking technologies, network security solutions, and VMware Horizon View solution integration. Thorough understanding and analysis of systems and systems architecture of VMware VDI hardware and solution designs in order to provide guidance and support for optimization of VDI desktops.

- ❑ Monitoring virtualized systems on a regular basis to detect abnormal conditions.
- ❑ Escalating the problems to appropriate levels of IT management and/or vendor management when not resolved in a timely manner.
- ❑ Determining business needs by evaluating existing network infrastructure and systems.
- ❑ Ensuring that all assigned systems remain at vendor supported levels.

- ❑ Developing and documenting implementation plans for the installation/maintenance/upgrade of assigned systems.
- ❑ Developing and documenting test plans and thoroughly test system changes before and after implementation.
- ❑ Coordinating installation and maintenance of related software with other technical support personnel to assure maximum systems performance and minimum downtime.
- ❑ Installing security patches to assigned systems in a timely manner.
- ❑ Documenting all processes and procedures for any tasks performed on assigned systems.
- ❑ Owning issues and exceptions and work them through to resolution.
- ❑ Producing transparent written and verbal communications.
- ❑ Prioritizing time and financial spend to maximize spend / risk reduction return.
- ❑ Ensuring expectations for delivery or resolution are met and communicated transparently to all parties, both internal and external.

SALINAS VALLEY MEMORIAL HEALTHCARE SYSTEM SALINAS, CA

2004 – 2019

Acute care regional hospital

SYSTEMS SECURITY ARCHITECT

2017 – 2019

Responsible for the majority of IT security functions, ranging from preventive security controls to activity monitoring and threat/behavior detection mechanisms. Ensuring the privacy, integrity and availability of sensitive data both at rest and in motion. Understanding business mission and aligning the security program with the strategic, operational and tactical goals of the business, in order to facilitate future growth and adoption of new technology.

- ❑ Implement, maintain, and monitor Imprivata OneSign single sign-on solution, and integrating it with VMware Horizon View VDI instant clone technology on Windows 10 Enterprise platform
- ❑ Manage and maintain VMware Horizon View VDI infrastructure to facilitate medical personnel access to critical EMR applications internally as well as externally
- ❑ Build and maintain multiple virtual desktop environments using VMware Horizon View instant clone technology, RDSH, linked clones and persistent disks
- ❑ Manage on premise enterprise virtualization environment using vSphere and vRealize
- ❑ Build and configure layer 2 and layer 3 networks, integrating Cisco networking equipment and VMware virtual distributed switch technology
- ❑ Build, configure and operate on premise Microsoft's System Center Configuration Manager, facilitating the management of Active Directory Windows endpoint systems including patch management, software deployment, hardware and software inventory, zero touch operating system deployment, and security baselining
- ❑ Manage and maintain enterprise antivirus endpoint systems using McAfee ePolicy Orchestrator
- ❑ Manage and maintain on premise enterprise email filtering cluster system provided by Proofpoint, including secure messaging
- ❑ Manage and maintain enterprise internal and external PKI certificate authorities
- ❑ Maintain, monitor, and upgrade enterprise Active Directory domain controllers and DNS service
- ❑ Conduct day-to-day operation, monitoring and maintenance of enterprise internal, perimeter and branch offices Checkpoint firewall clusters and standalone appliances.
- ❑ Install, operate and monitor JunosPulse Connect Secure appliance cluster
- ❑ Implement and enforce information systems security policies
- ❑ Maintain System Security Plans and all other system security documentation, reviewing and updating them at least annually, for all assigned systems.
- ❑ Ensure the implementation and maintenance of security controls in line with the Security Program
- ❑ Manage and control changes to the security systems, and conduct assessments on potential security implications/outcomes
- ❑ Ensure that system security requirements are addressed during all phases of the IS lifecycle
- ❑ Configure, maintain, and monitor two-factor authentication solution (Duo)
- ❑ Implement a strategy for continuous monitoring of assigned systems including: establishing system audit trails and ensuring their review; reporting all identified security findings; and initiating the periodic review of security controls

- ❑ Ensure security awareness and precautionary measures are exercised to prevent introduction and/or proliferation of malicious code or other adverse IS conditions
- ❑ Advise the System Owners regarding security considerations on various applications
- ❑ Serve as a resource for users concerning all security questions regarding assigned systems and applications
- ❑ Conduct technical evaluation of information system design, focusing on information security aspects and accreditation
- ❑ Use various information system inspection tools, to audit systems, analyze potential vulnerabilities, and identify mitigation approaches
- ❑ Perform vulnerability/risk assessment analysis to support accreditation and other program protection activities
- ❑ Coordinate with third-party vendors to find vulnerabilities and improve the overall security posture
- ❑ Review requests for software installation and conduct technical risk assessment on software implementation
- ❑ Conduct validating and deploying tasks associated with Windows OS patches and various applications patches on a regular basis
- ❑ Coordinate and track security action requests and status
- ❑ Conduct periodic assessments of systems, to ensure compliance with security requirements using NIST 800-53 framework in accordance with HIPAA rule

IT SECURITY ANALYST

2008 – 2017

- ❑ Installed, configured and centrally managed multiple branch office firewall systems facilitating access to locally hosted web ambulatory EMR system
- ❑ Responsible for installing, configuring, and maintaining extranet access systems
- ❑ Monitored uptime and resource availability of critical infrastructure equipment
- ❑ Maintained data and monitored security access
- ❑ Analyzed IT requirements and provided objective advice on the use of IT security systems
- ❑ Tested and evaluated new technologies
- ❑ Designed, analyzed and implemented efficient IT security systems
- ❑ Planned, implemented and upgraded security measures and controls
- ❑ Established plans and protocols to protect digital files and information systems against unauthorized access, modification and destruction
- ❑ Anticipated and alerted hardware and software failures based on logging analysis
- ❑ Managed and maintained network intrusion detection and prevention systems
- ❑ Recommended and installed appropriate tools and countermeasures to improve overall security posture
- ❑ Coordinated and facilitated the transmission of PII data in a secure manner to and from outside vendors and other entities.
- ❑ Created procedures to audit and alert on data changes such as updates, deletion or moving
- ❑ Reviewed organization's firewall policy periodically to ensure compliance with the security program as well as to improve system reliability, availability, serviceability, and performance.

NETWORK ENGINEER

2007 – 2008

- ❑ Provided technical support for Network Servers and software configuration for all medical and business related systems.
- ❑ Responsible for the implementation and maintenance of the vertical (single and multi-mode fiber) and horizontal (Cat 3 to Cat 6) infrastructure for both voice and data communications.
- ❑ Implemented, monitored and supported LAN and WAN with an emphasis on layers one through four of the OSI protocol stack.
- ❑ Managed change control process, documentation, TCP/IP addresses, developed and maintained topology maps and network diagrams, used in debugging and quick identification of issues.
- ❑ Developed, configured and implemented equipment of the converged technology infrastructure (voice/data).

IT SYSTEMS ADMINISTRATOR

2006 – 2007

- ❑ Monitored & maintained Microsoft SMS platform health and mitigate identified problems

- ❑ Implemented Systems Management Server to assist with mass deployment mechanisms of software patches and upgrades in Windows environment.
- ❑ Created automated software deployments and operating system zero-touch in-place installation
- ❑ Planned, tested, managed and implemented upgrades to new versions of software & hardware on endpoint desktop systems
- ❑ Performed root cause analysis & troubleshooting support of installation and deployment issues with various IT and business groups.
- ❑ Responsible for yearly true up of organization's Microsoft Volume Licensing program

IT SYSTEMS TECHNICIAN**2004 – 2006**

- ❑ Responsible for the maintenance, configuration, and reliable operation of desktop computer systems throughout the organization
- ❑ Researched and diagnosed hardware and software errors by running diagnostics, documenting problems and resolutions, prioritizing, and assessing impact of issues
- ❑ Followed standard procedures for proper escalation of unresolved issues to the appropriate internal teams
- ❑ Installed and upgraded computer components and software
- ❑ Interacted with vendors, outsourcers, and contractors
- ❑ Created and revised technical documentation
- ❑ Participated in standard Windows image development, management, QA testing, and deployment

MONTEREY PENINSULA COLLEGE MONTEREY, CA**2003 – 2008**

Education

IT TECHNOLOGIST

Responsible for the operational aspect of two computer labs (Windows and Apple OS) including application deployments, performance improvements, operating system upgrades and patches.

- ❑ Developed and implemented the use of Microsoft RIS service to assist with systems recovery from failure as well as deployment of new systems
- ❑ Built and deployed windows images using RIS server and PXE network boot
- ❑ Troubleshoot installed applications and helped when needed during classes
- ❑ Managed student accounts and respective file shares

E D U C A T I O NBS, Physics • *University of Bucharest* • Romania**T E C H N I C A L C E R T I F I C A T I O N S**

MCSE • CISSP • VCAP-DTM • VCP-DCVNV • VCP-DTMNV

International Information System Security Certification Consortium

The (ISC)² Board of Directors hereby awards

Eugen Blatei

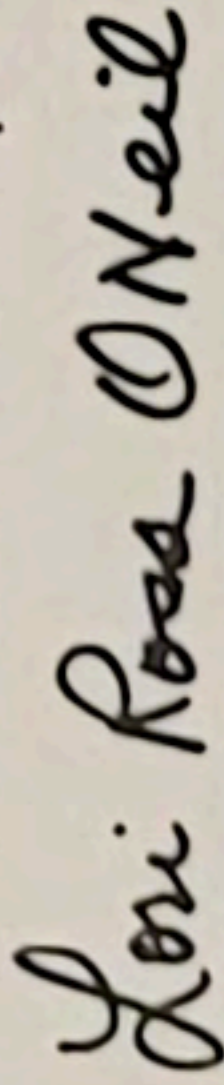
the credential of

Certified Information Systems Security Professional[®]

having met all of the certification requirements, which include the professional experience prerequisite, adoption of the (ISC)² Code of Ethics, and successful performance on the required competency examination, subject to recertification every three years, this individual is entitled to all of the rights and privileges associated with this designation, as defined in the (ISC)² Bylaws.



Dr. Kevin Charest - Chairperson



Lori Ross O'Neil - Secretary



ID# 813271

Certification Number

Aug 1, 2020 - Jul 31, 2023

Certification Cycle



Certified Since 2020

**MONTEREY PENINSULA WATER MANAGEMENT DISTRICT
TREASURER'S REPORT FOR JUNE 2021**

<u>Description</u>	<u>Checking</u>	<u>MPWMD Money Market</u>	<u>L.A.I.F.</u>	<u>Multi-Bank Securities</u>	<u>MPWME Total</u>	<u>PB Reclamation Money Market</u>
Beginning Balance	(\$1,501.58)	\$3,783,628.11	\$10,618,773.28	\$2,890,743.57	\$17,291,643.38	\$252,449.92
Fee Deposits		2,256,744.60			2,256,744.60	600,359.35
MoCo Tax & WS Chg Installment Pymt					0.00	
Interest Received				1,578.16	1,578.16	
Transfer - Checking/LAIF					0.00	
Transfer - Money Market/LAIF					0.00	
Transfer - Money Market/Checking	1,300,000.00	(1,300,000.00)			0.00	
Transfer - Money Market/Multi-Bank		(249,000.00)		249,000.00	0.00	
Transfer to CAWD					0.00	
Voided Checks					0.00	
Bank Corrections/Reversals/Errors		(450.00)			(450.00)	
Bank Charges/Other	(1,111.21)				(1,111.21)	
Credit Card Fees	(911.60)				(911.60)	
Returned Deposits	-				0.00	
Payroll Tax/Benefit Deposits	(125,602.81)				(125,602.81)	
Payroll Checks/Direct Deposits	(132,431.61)				(132,431.61)	
General Checks	(1,834,949.17)				(1,834,949.17)	
Bank Draft Payments	(12,327.01)				(12,327.01)	
Ending Balance	(\$808,834.99)	\$4,490,922.71	\$10,618,773.28	\$3,141,321.73	\$17,442,182.73	\$852,809.27

Check Report

By Check Number

Date Range: 06/01/2021 - 06/30/2021



Monterey Peninsula Water Management Dist

Vendor Number	Vendor Name	Payment Date	Payment Type	Discount Amount	Payment Amount	Number
Bank Code: APBNK -Bank of America Checking						
Payment Type: Regular						
00249	A.G. Davi, LTD	06/11/2021	Regular	0.00	395.00	39380
00767	AFLAC	06/11/2021	Regular	0.00	1,008.58	39381
01347	ARC Document Solutions, LLC	06/11/2021	Regular	0.00	100.24	39382
00253	AT&T	06/11/2021	Regular	0.00	876.64	39383
00252	Cal-Am Water	06/11/2021	Regular	0.00	155.07	39384
05370	California Secretary of State	06/11/2021	Regular	0.00	880.00	39385
00224	City of Monterey	06/11/2021	Regular	0.00	5,029.57	39386
18734	DeVeera Inc.	06/11/2021	Regular	0.00	8,325.00	39387
00072	Goodin, MacBride, Squeri & Day, LLP	06/11/2021	Regular	0.00	10,517.04	39388
08929	HDR Engineering, Inc.	06/11/2021	Regular	0.00	6,333.85	39389
00277	Home Depot Credit Services	06/11/2021	Regular	0.00	365.94	39390
05371	June Silva	06/11/2021	Regular	0.00	594.00	39391
19764	Katrina Herrmann	06/11/2021	Regular	0.00	24.08	39392
06999	KBA Docusys	06/11/2021	Regular	0.00	545.76	39393
05830	Larry Hampson	06/11/2021	Regular	0.00	843.20	39394
00222	M.J. Murphy	06/11/2021	Regular	0.00	101.70	39395
00259	Marina Coast Water District	06/11/2021	Regular	0.00	1,161.04	39396
00242	MBAS	06/11/2021	Regular	0.00	155.00	39397
00118	Monterey Bay Carpet & Janitorial Svc	06/11/2021	Regular	0.00	1,260.00	39398
00274	Monterey One Water	06/11/2021	Regular	0.00	176.41	39399
00274	Monterey One Water	06/11/2021	Regular	0.00	645,085.23	39400
13396	Navia Benefit Solutions, Inc.	06/11/2021	Regular	0.00	715.42	39401
05053	Pacific Smog	06/11/2021	Regular	0.00	39.75	39402
00036	Parham Living Trust	06/11/2021	Regular	0.00	850.00	39403
00154	Peninsula Messenger Service	06/11/2021	Regular	0.00	709.00	39404
00755	Peninsula Welding Supply, Inc.	06/11/2021	Regular	0.00	64.50	39405
18544	Psomas	06/11/2021	Regular	0.00	1,468.75	39406
00262	Pure H2O	06/11/2021	Regular	0.00	65.54	39407
04046	Safeguard Business Systems	06/11/2021	Regular	0.00	483.81	39408
01020	Sara Reyes - Petty Cash Custodian	06/11/2021	Regular	0.00	251.37	39409
04709	Sherron Forsgren	06/11/2021	Regular	0.00	961.19	39410
09351	Tetra Tech, Inc.	06/11/2021	Regular	0.00	564.22	39411
17965	The Maynard Group	06/11/2021	Regular	0.00	1,530.39	39412
00271	UPEC, Local 792	06/11/2021	Regular	0.00	997.50	39413
20230	Zoom Video Communications Inc	06/11/2021	Regular	0.00	448.69	39414
01188	Alhambra	06/18/2021	Regular	0.00	128.61	39416
00041	Denise Duffy & Assoc. Inc.	06/18/2021	Regular	0.00	2,215.70	39417
18734	DeVeera Inc.	06/18/2021	Regular	0.00	240.34	39418
21199	G3LA, LLC	06/18/2021	Regular	0.00	3,400.00	39419
19764	Katrina Herrmann	06/18/2021	Regular	0.00	19.04	39420
13431	Lynx Technologies, Inc	06/18/2021	Regular	0.00	375.00	39421
00222	M.J. Murphy	06/18/2021	Regular	0.00	206.24	39422
00117	Marina Backflow Company	06/18/2021	Regular	0.00	75.00	39423
00242	MBAS	06/18/2021	Regular	0.00	155.00	39424
19448	Monroe Stone Insurance Solutions, Inc.	06/18/2021	Regular	0.00	28.37	39425
00756	Monterey Bay Air Resources District	06/18/2021	Regular	0.00	354.00	39426
01002	Monterey County Clerk	06/18/2021	Regular	0.00	50.00	39427
00274	Monterey One Water	06/18/2021	Regular	0.00	73,793.08	39428
13396	Navia Benefit Solutions, Inc.	06/18/2021	Regular	0.00	715.42	39429
00282	PG&E	06/18/2021	Regular	0.00	4,653.27	39430
04736	Pitney Bowes Global Financial Svc, LLC	06/18/2021	Regular	0.00	392.41	39431
08925	Quinn Company	06/18/2021	Regular	0.00	1,050.00	39432
13394	Regional Government Services	06/18/2021	Regular	0.00	1,848.00	39433

EXHIBIT 9-B

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Check Report

Date Range: 06/01/2021 - 06/30/2021

Vendor Number	Vendor Name	Payment Date	Payment Type	Discount Amount	Payment Amount	Number
09989	Star Sanitation Services	06/18/2021	Regular	0.00	112.11	39434
20185	The Marketing Department, Inc.	06/18/2021	Regular	0.00	8,000.00	39435
00225	Trowbridge Enterprises Inc.	06/18/2021	Regular	0.00	292.84	39436
00269	U.S. Bank	06/18/2021	Regular	0.00	3,690.81	39437
	Void	06/18/2021	Regular	0.00	0.00	39438
00010	Access Monterey Peninsula	06/30/2021	Regular	0.00	875.00	39507
00252	Cal-Am Water	06/30/2021	Regular	0.00	78.51	39508
00252	Cal-Am Water	06/30/2021	Regular	0.00	114.20	39509
12601	Carmel Valley Ace Hardware	06/30/2021	Regular	0.00	24.19	39510
00224	City of Monterey	06/30/2021	Regular	0.00	697.75	39511
14478	Cordrey Construction Inc.	06/30/2021	Regular	0.00	8,250.00	39512
04041	Cynthia Schmidlin	06/30/2021	Regular	0.00	905.43	39513
00046	De Lay & Laredo	06/30/2021	Regular	0.00	27,916.00	39514
18734	DeVeera Inc.	06/30/2021	Regular	0.00	281.08	39515
00192	Extra Space Storage	06/30/2021	Regular	0.00	973.00	39516
00072	Goodin, MacBride, Squeri & Day, LLP	06/30/2021	Regular	0.00	2,200.00	39517
00277	Home Depot Credit Services	06/30/2021	Regular	0.00	2,540.04	39518
18723	Hopkins Technical Products, Inc.	06/30/2021	Regular	0.00	5,139.68	39519
00022	Joseph T. King	06/30/2021	Regular	0.00	5,590.00	39520
00242	MBAS	06/30/2021	Regular	0.00	155.00	39521
01196	McDonald Refrigeration, Inc.	06/30/2021	Regular	0.00	316.25	39522
00759	Mechanics Bank	06/30/2021	Regular	0.00	109,568.00	39523
01002	Monterey County Clerk	06/30/2021	Regular	0.00	50.00	39524
00274	Monterey One Water	06/30/2021	Regular	0.00	782,511.55	39525
13396	Navia Benefit Solutions, Inc.	06/30/2021	Regular	0.00	100.00	39526
13430	Premiere Global Services	06/30/2021	Regular	0.00	36.20	39527
19700	Shute, Mihaly & Weinberger LLP	06/30/2021	Regular	0.00	65,349.00	39528
09425	The Ferguson Group LLC	06/30/2021	Regular	0.00	75.70	39529
00024	Three Amigos Pest Control DBA Central Coast Exte	06/30/2021	Regular	0.00	104.00	39530
12187	Toro Petroleum Cop.	06/30/2021	Regular	0.00	467.96	39531
18737	U.S. Bank Equipment Finance	06/30/2021	Regular	0.00	871.81	39532
Total Regular:				0.00	1,810,069.07	

EXHIBIT 9-B

Check Report

Date Range: 06/01/2021 - 06/30/2021

Vendor Number	Vendor Name	Payment Date	Payment Type	Discount Amount	Payment Amount	Number
Payment Type: Bank Draft						
00266	I.R.S.	06/04/2021	Bank Draft	0.00	12,556.07	DFT0001980
00266	I.R.S.	06/04/2021	Bank Draft	0.00	2,647.86	DFT0001981
00267	Employment Development Dept.	06/04/2021	Bank Draft	0.00	5,149.15	DFT0001982
00266	I.R.S.	06/04/2021	Bank Draft	0.00	547.02	DFT0001983
00282	PG&E	06/11/2021	Bank Draft	0.00	14.55	DFT0001984
00282	PG&E	06/11/2021	Bank Draft	0.00	67.92	DFT0001985
00282	PG&E	06/11/2021	Bank Draft	0.00	23.22	DFT0001986
00282	PG&E	06/11/2021	Bank Draft	0.00	27.02	DFT0001987
00766	Standard Insurance Company	06/11/2021	Bank Draft	0.00	1,393.70	DFT0001988
18163	Wex Bank	06/11/2021	Bank Draft	0.00	924.42	DFT0001989
00266	I.R.S.	06/11/2021	Bank Draft	0.00	59.89	DFT0001990
00266	I.R.S.	06/11/2021	Bank Draft	0.00	86.14	DFT0001991
00266	I.R.S.	06/11/2021	Bank Draft	0.00	368.28	DFT0001992
00266	I.R.S.	06/18/2021	Bank Draft	0.00	12,596.69	DFT0001993
00266	I.R.S.	06/18/2021	Bank Draft	0.00	2,651.92	DFT0001994
00267	Employment Development Dept.	06/18/2021	Bank Draft	0.00	5,171.18	DFT0001995
00266	I.R.S.	06/18/2021	Bank Draft	0.00	564.22	DFT0001996
00282	PG&E	06/30/2021	Bank Draft	0.00	1,837.75	DFT0002001
00282	PG&E	06/30/2021	Bank Draft	0.00	6,414.94	DFT0002002
00221	Verizon Wireless	06/30/2021	Bank Draft	0.00	1,360.11	DFT0002003
18163	Wex Bank	06/30/2021	Bank Draft	0.00	263.38	DFT0002004
00256	PERS Retirement	06/01/2021	Bank Draft	0.00	15,909.23	DFT0002009
00769	Laborers Trust Fund of Northern CA	06/11/2021	Bank Draft	0.00	28,138.00	DFT0002010
00256	PERS Retirement	06/04/2021	Bank Draft	0.00	15,978.49	DFT0002011
00768	ICMA	06/04/2021	Bank Draft	0.00	3,650.09	DFT0002012
00768	ICMA	06/18/2021	Bank Draft	0.00	3,550.09	DFT0002013
00256	PERS Retirement	06/23/2021	Bank Draft	0.00	15,978.49	DFT0002017
Total Bank Draft:				0.00	137,929.82	

Payment Type	Bank Code APBNK		Summary	
	Payable Count	Payment Count	Discount	Payment
Regular Checks	121	83	0.00	1,810,069.07
Manual Checks	0	0	0.00	0.00
Voided Checks	0	1	0.00	0.00
Bank Drafts	39	27	0.00	137,929.82
EFT's	0	0	0.00	0.00
	160	111	0.00	1,947,998.89

EXHIBIT 9-B

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Check Report

Date Range: 06/01/2021 - 06/30/2021

Vendor Number	Vendor Name	Payment Date	Payment Type	Discount Amount	Payment Amount	Number
Bank Code: REBATES-02-Rebates: Use Only For Rebates						
Payment Type: Regular						
21303	Alan Smiley	06/30/2021	Regular	0.00	125.00	39439
19885	Alicia Suits	06/30/2021	Regular	0.00	125.00	39440
20696	Allison Morrison	06/30/2021	Regular	0.00	425.00	39441
21315	Angela Corah	06/30/2021	Regular	0.00	75.00	39442
21302	Anita Madison	06/30/2021	Regular	0.00	125.00	39443
21265	Bechtel Group Inc	06/30/2021	Regular	0.00	500.00	39444
19854	Ben Trainer	06/30/2021	Regular	0.00	500.00	39445
21268	Billie Hunter	06/30/2021	Regular	0.00	500.00	39446
21280	Brenda DiPietro	06/30/2021	Regular	0.00	625.00	39447
21309	Bruce Teigen	06/30/2021	Regular	0.00	89.00	39448
21275	Camilla Route	06/30/2021	Regular	0.00	500.00	39449
21288	Carol White	06/30/2021	Regular	0.00	500.00	39450
21301	Carolyn Rianda	06/30/2021	Regular	0.00	125.00	39451
21297	Christopher and Kathleen Grzanowski	06/30/2021	Regular	0.00	500.00	39452
21269	David Tucker	06/30/2021	Regular	0.00	500.00	39453
21289	Donald S Dickson	06/30/2021	Regular	0.00	500.00	39454
21266	Donna Simmons Noonan	06/30/2021	Regular	0.00	500.00	39455
21311	Elizabeth Kane-Carpenter	06/30/2021	Regular	0.00	75.00	39456
21316	Eugene R. Van Hootegem	06/30/2021	Regular	0.00	75.00	39457
21267	Evan Heath	06/30/2021	Regular	0.00	500.00	39458
21273	Farley and Susan Gouner	06/30/2021	Regular	0.00	500.00	39459
21282	Felix Heidrick	06/30/2021	Regular	0.00	500.00	39460
21298	Gail Bartow	06/30/2021	Regular	0.00	500.00	39461
21278	Gary Logan	06/30/2021	Regular	0.00	500.00	39462
21197	George DiGirolamo	06/30/2021	Regular	0.00	75.00	39463
21304	Glenna Wright	06/30/2021	Regular	0.00	125.00	39464
21308	James Graham	06/30/2021	Regular	0.00	125.00	39465
21286	Janet Gilloway	06/30/2021	Regular	0.00	500.00	39466
21271	Jean Rasch	06/30/2021	Regular	0.00	625.00	39467
21291	Jeff Robinson	06/30/2021	Regular	0.00	500.00	39468
21279	Jeffrey Conneau	06/30/2021	Regular	0.00	500.00	39469
21276	Jeffrey Ryan Lehr	06/30/2021	Regular	0.00	500.00	39470
21285	Joe Tarantino	06/30/2021	Regular	0.00	500.00	39471
21270	John Lambros	06/30/2021	Regular	0.00	500.00	39472
19462	John Shella	06/30/2021	Regular	0.00	75.00	39473
21313	Jose Velasquez	06/30/2021	Regular	0.00	75.00	39474
21307	Joyce Moffatt	06/30/2021	Regular	0.00	200.00	39475
21290	Judith Williamson	06/30/2021	Regular	0.00	500.00	39476
21281	Justin Shaw	06/30/2021	Regular	0.00	500.00	39477
19920	Kathryn Prochaska	06/30/2021	Regular	0.00	500.00	39478
21294	Kelley Graham	06/30/2021	Regular	0.00	500.00	39479
21287	Kelly Schwisow	06/30/2021	Regular	0.00	500.00	39480
21284	Kristina Poulter	06/30/2021	Regular	0.00	500.00	39481
20637	Larry Kerkoff	06/30/2021	Regular	0.00	200.00	39482
21296	Lee Warner	06/30/2021	Regular	0.00	500.00	39483
21300	Lisa Jensen	06/30/2021	Regular	0.00	500.00	39484
21277	Mark Rivera	06/30/2021	Regular	0.00	500.00	39485
21317	Mark Talbott	06/30/2021	Regular	0.00	375.00	39486
20996	Michael Seltzer	06/30/2021	Regular	0.00	500.00	39487
21293	Micheal Nicasio	06/30/2021	Regular	0.00	500.00	39488
21272	Paula Tarantino	06/30/2021	Regular	0.00	500.00	39489
21292	Phyllis Hilton	06/30/2021	Regular	0.00	575.00	39490
21299	R. C. Johnstone Jr.	06/30/2021	Regular	0.00	500.00	39491
21318	Rachel Schmidt	06/30/2021	Regular	0.00	125.00	39492
21263	Raman Patel	06/30/2021	Regular	0.00	500.00	39493
21295	Reenah Kang	06/30/2021	Regular	0.00	500.00	39494
21305	Renee Campbell	06/30/2021	Regular	0.00	125.00	39495
21306	Robert Kurtz	06/30/2021	Regular	0.00	125.00	39496
21312	Roseanna Helm	06/30/2021	Regular	0.00	150.00	39497

EXHIBIT 9-B

Check Report

Date Range: 06/01/2021 - 06/30/2021

Vendor Number	Vendor Name	Payment Date	Payment Type	Discount Amount	Payment Amount	Number
21274	Sally Kirkwood	06/30/2021	Regular	0.00	500.00	39498
21319	Samuel Davis Jr	06/30/2021	Regular	0.00	116.10	39499
21264	Sara Myers	06/30/2021	Regular	0.00	500.00	39500
21016	Sharon Crescente	06/30/2021	Regular	0.00	75.00	39501
21283	Shawn Worsell	06/30/2021	Regular	0.00	500.00	39502
21314	Srividya Shankar	06/30/2021	Regular	0.00	150.00	39503
21320	Sung Cha Searle	06/30/2021	Regular	0.00	125.00	39504
21310	Theodore Kier	06/30/2021	Regular	0.00	75.00	39505
21321	Toke Jayachandran	06/30/2021	Regular	0.00	500.00	39506
Total Regular:				0.00	24,880.10	

Bank Code REBATES-02 Summary

Payment Type	Payable Count	Payment Count	Discount	Payment
Regular Checks	69	68	0.00	24,880.10
Manual Checks	0	0	0.00	0.00
Voided Checks	0	0	0.00	0.00
Bank Drafts	0	0	0.00	0.00
EFT's	0	0	0.00	0.00
	69	68	0.00	24,880.10

All Bank Codes Check Summary

Payment Type	Payable Count	Payment Count	Discount	Payment
Regular Checks	190	151	0.00	1,834,949.17
Manual Checks	0	0	0.00	0.00
Voided Checks	0	1	0.00	0.00
Bank Drafts	39	27	0.00	137,929.82
EFT's	0	0	0.00	0.00
	229	179	0.00	1,972,878.99

Fund Summary

Fund	Name	Period	Amount
99	POOL CASH FUND	6/2021	1,972,878.99
			1,972,878.99

EXHIBIT 9-C



Monterey Peninsula Water Management Dist

Payroll Bank Transaction Report

By Payment Number

Date: 6/1/2021 - 6/30/2021

Payroll Set: 01 - Monterey Peninsula Water Management District

Payment Number	Payment Date	Payment Type	Employee Number	Employee Name	Check Amount	Direct Deposit Amount	Total Payment
5820	06/04/2021	Regular	1077	Pablo, Joel G	0.00	1,924.51	1,924.51
5821	06/04/2021	Regular	1024	Stoldt, David J	0.00	6,017.64	6,017.64
5822	06/04/2021	Regular	6075	Tavani, Arlene	0.00	131.14	131.14
5823	06/04/2021	Regular	1044	Bennett, Corryn D	0.00	1,928.85	1,928.85
5824	06/04/2021	Regular	1078	Mossbacher, Simona F	0.00	1,705.14	1,705.14
5825	06/04/2021	Regular	1018	Prasad, Suresh	0.00	4,067.78	4,067.78
5826	06/04/2021	Regular	1019	Reyes, Sara C	0.00	1,868.66	1,868.66
5827	06/04/2021	Regular	1042	Hamilton, Maureen C.	0.00	3,297.82	3,297.82
5828	06/04/2021	Regular	6063	Hampson, Larry M	0.00	1,886.42	1,886.42
5829	06/04/2021	Regular	1009	James, Gregory W	0.00	3,274.90	3,274.90
5830	06/04/2021	Regular	1011	Lear, Jonathan P	0.00	4,234.60	4,234.60
5831	06/04/2021	Regular	1012	Lindberg, Thomas L	0.00	2,671.08	2,671.08
5832	06/04/2021	Regular	1045	Atkins, Daniel N	0.00	2,013.04	2,013.04
5833	06/04/2021	Regular	1004	Chaney, Beverly M	0.00	2,672.45	2,672.45
5834	06/04/2021	Regular	1005	Christensen, Thomas T	0.00	3,693.21	3,693.21
5835	06/04/2021	Regular	6071	Foster, Ivie M	0.00	435.90	435.90
5836	06/04/2021	Regular	1079	Gallagher, Riley M	0.00	1,589.77	1,589.77
5837	06/04/2021	Regular	1007	Hamilton, Cory R	0.00	2,377.99	2,377.99
5838	06/04/2021	Regular	6069	Herrmann, Katrina F	0.00	1,201.33	1,201.33
5839	06/04/2021	Regular	1048	Lumas, Eric M	0.00	1,865.38	1,865.38
5840	06/04/2021	Regular	1001	Bravo, Gabriela D	0.00	2,611.53	2,611.53
5841	06/04/2021	Regular	1076	Jakic, Tricia	0.00	2,559.20	2,559.20
5842	06/04/2021	Regular	1010	Kister, Stephanie L	0.00	2,588.40	2,588.40
5843	06/04/2021	Regular	1017	Locke, Stephanie L	0.00	3,489.92	3,489.92
5844	06/04/2021	Regular	1040	Smith, Kyle	0.00	2,394.33	2,394.33
5845	06/04/2021	Regular	1047	Timmer, Christopher	0.00	2,290.61	2,290.61
5846	06/11/2021	Regular	7015	Adams, Mary L	0.00	348.71	348.71
5847	06/11/2021	Regular	7020	Anderson, Amy E	0.00	498.69	498.69
5848	06/11/2021	Regular	7021	Malek, Safwat	0.00	249.34	249.34
5849	06/11/2021	Regular	7019	Paull, Karen P	0.00	623.36	623.36
5850	06/11/2021	Regular	7018	Riley, George T	0.00	374.02	374.02
5851	06/18/2021	Regular	1077	Pablo, Joel G	0.00	1,993.55	1,993.55
5852	06/18/2021	Regular	1024	Stoldt, David J	0.00	6,017.65	6,017.65
5853	06/18/2021	Regular	6075	Tavani, Arlene	0.00	262.26	262.26
5854	06/18/2021	Regular	1044	Bennett, Corryn D	0.00	1,928.87	1,928.87
5855	06/18/2021	Regular	1078	Mossbacher, Simona F	0.00	1,705.15	1,705.15
5856	06/18/2021	Regular	1018	Prasad, Suresh	0.00	4,067.79	4,067.79
5857	06/18/2021	Regular	1019	Reyes, Sara C	0.00	1,868.68	1,868.68
5858	06/18/2021	Regular	1042	Hamilton, Maureen C.	0.00	3,297.82	3,297.82
5859	06/18/2021	Regular	6063	Hampson, Larry M	0.00	2,055.05	2,055.05
5860	06/18/2021	Regular	1009	James, Gregory W	0.00	3,274.90	3,274.90
5861	06/18/2021	Regular	1011	Lear, Jonathan P	0.00	4,234.61	4,234.61
5862	06/18/2021	Regular	1012	Lindberg, Thomas L	0.00	2,671.08	2,671.08
5863	06/18/2021	Regular	1045	Atkins, Daniel N	0.00	2,013.04	2,013.04
5864	06/18/2021	Regular	1004	Chaney, Beverly M	0.00	2,672.45	2,672.45
5865	06/18/2021	Regular	1005	Christensen, Thomas T	0.00	3,693.22	3,693.22
5866	06/18/2021	Regular	6071	Foster, Ivie M	0.00	599.75	599.75
5867	06/18/2021	Regular	1079	Gallagher, Riley M	0.00	1,589.78	1,589.78
5868	06/18/2021	Regular	1007	Hamilton, Cory R	0.00	2,377.98	2,377.98
5869	06/18/2021	Regular	6069	Herrmann, Katrina F	0.00	834.03	834.03
5870	06/18/2021	Regular	1048	Lumas, Eric M	0.00	1,865.41	1,865.41
5871	06/18/2021	Regular	1001	Bravo, Gabriela D	0.00	2,611.54	2,611.54
5872	06/18/2021	Regular	1076	Jakic, Tricia	0.00	2,559.20	2,559.20
5873	06/18/2021	Regular	1010	Kister, Stephanie L	0.00	2,588.41	2,588.41
5874	06/18/2021	Regular	1017	Locke, Stephanie L	0.00	3,489.94	3,489.94
5875	06/18/2021	Regular	1040	Smith, Kyle	0.00	2,394.33	2,394.33
5876	06/18/2021	Regular	1047	Timmer, Christopher	0.00	2,290.62	2,290.62

EXHIBIT 9-C

Payment Number	Payment Date	Payment Type	Employee Number	Employee Name	Check Amount	Direct Deposit Amount	Total Payment
39415	06/11/2021	Regular	7009	Edwards, Alvin	588.78	0.00	588.78
Total:					588.78	131,842.83	132,431.61



MONTEREY PENINSULA WATER MANAGEMENT DISTRICT
STATEMENT OF REVENUES AND EXPENDITURES
FOR THE MONTH JUNE 30, 2021

	Mitigation	Conservation	Water Supply	Current Period Activity	FY 2020/2021 Year-to-Date Actual	FY 2020/2021 Annual Budget	Prior FY Year-to-Date Actual
REVENUES							
Property taxes	\$ -	\$ 10,979	\$ 101,554	\$ 112,533	\$ 2,311,197	\$ 2,050,000	\$ 2,210,330
Water supply charge			58,934	58,934	3,422,117	3,300,000	3,355,193
User fees	950,468	366,497	217,533	1,534,498	5,908,495	5,000,000	5,535,385
Mitigation revenue	-			-	-	-	-
PWM Water Sales			714,544	714,544	5,908,182	4,800,000	-
Capacity fees			32,238	32,238	474,040	400,000	575,511
Permit fees	-	20,124		20,124	194,822	198,000	191,902
Investment income	920	658	7,625	9,203	48,439	200,000	393,231
Miscellaneous	44	28	36	108	10,067	15,000	6,585
Sub-total district revenues	951,432	398,286	1,132,464	2,482,182	18,277,360	15,963,000	12,268,136
Project reimbursements	-	26,847	119,196	146,043	1,322,502	2,436,000	1,562,926
Legal fee reimbursements		150		150	2,356	16,000	1,800
Grants	-		-	-	82,253	2,495,400	286,651
Recording fees		4,180		4,180	43,435	6,000	35,040
Sub-total reimbursements	-	31,177	119,196	150,373	1,450,546	4,953,400	1,886,417
From Reserves	-	-	-	-	-	7,651,700	-
Total revenues	951,432	429,463	1,251,660	2,632,556	19,727,905	28,568,100	14,154,553
EXPENDITURES							
Personnel:							
Salaries	116,420	70,692	128,218	315,330	2,517,303	2,651,200	2,549,550
Retirement	11,448	6,939	12,682	31,069	635,897	647,400	557,913
Unemployment Compensation	626	-	-	626	9,503	3,000	3,417
Auto Allowance	152	152	457	762	6,024	6,000	6,046
Deferred Compensation	250	250	749	1,249	9,713	9,400	9,355
Temporary Personnel	-	-	-	-	-	10,000	58,961
Workers Comp. Ins.	5,220	396	3,132	8,748	63,707	85,000	67,191
Employee Insurance	21,751	13,283	19,165	54,200	472,523	505,700	462,213
Medicare & FICA Taxes	2,486	1,078	1,955	5,519	46,690	46,800	45,156
Personnel Recruitment	-	-	-	-	-	3,000	649
Other benefits	41	26	33	100	1,879	1,500	1,577
Staff Development	374	1,142	301	1,817	5,628	5,800	9,993
Sub-total personnel costs	158,768	93,959	166,692	419,419	3,768,866	3,974,800	3,772,021
Services & Supplies:							
Board Member Comp	713	713	734	2,160	36,990	33,900	35,640
Board Expenses	150	95	121	366	5,938	10,000	15,368
Rent	1,021	253	944	2,218	25,648	23,200	25,810
Utilities	974	578	796	2,348	27,722	33,200	29,448
Telephone	1,903	1,303	1,335	4,541	53,552	46,500	42,115
Facility Maintenance	796	504	640	1,940	57,265	56,300	70,299
Bank Charges	1,190	755	1,408	3,353	22,216	15,100	17,457
Office Supplies	130	213	105	448	12,660	18,300	16,332
Courier Expense	197	125	158	480	5,058	6,100	5,782
Postage & Shipping	11	7	9	28	2,736	6,800	3,736
Equipment Lease	518	329	417	1,264	12,553	13,900	12,168
Equip. Repairs & Maintenance	-	-	-	-	2,167	7,000	7,239
Photocopy Expense				-			
Printing/Duplicating/Binding	41	26	33	100	161	500	-
IT Supplies/Services	6,083	3,858	4,896	14,837	224,158	220,000	213,203
Operating Supplies	44	180	-	224	5,024	16,100	14,711
Legal Services	5,395	3,612	6,682	15,689	277,354	400,000	258,601



MONTEREY PENINSULA WATER MANAGEMENT DISTRICT
STATEMENT OF REVENUES AND EXPENDITURES
FOR THE MONTH JUNE 30, 2021

	Mitigation	Conservation	Water Supply	Current Period Activity	FY 2020/2021 Year-to-Date Actual	FY 2020/2021 Annual Budget	Prior FY Year-to-Date Actual
Professional Fees	7,492	4,751	6,030	18,272	299,893	360,200	282,097
Transportation	1,161	45	104	1,311	27,946	33,000	27,269
Travel	43	-	-	43	3,409	8,000	12,907
Meeting Expenses	359	228	289	875	15,750	16,800	12,196
Insurance	4,016	2,547	3,232	9,795	105,107	98,000	70,265
Legal Notices	91	59	77	227	454	3,100	158
Membership Dues	-	-	-	-	31,752	38,300	36,368
Public Outreach	-	52	-	52	302	1,900	3,040
Assessors Administration Fee	-	-	-	-	32,016	20,000	28,562
Miscellaneous	-	-	-	-	386	3,000	379
Sub-total services & supplies costs	32,328	20,231	28,011	80,571	1,288,216	1,489,200	1,241,150
Project expenditures	121,515	52,979	1,074,012	1,248,506	12,265,911	20,850,700	8,805,698
Fixed assets	-	-	-	-	35,981	229,000	45,010
Contingencies	-	-	-	-	-	70,000	-
Election costs	-	-	-	-	119,628	200,000	-
Debt service: Principal	-	-	-	-	-	-	-
Debt service: Interest	-	-	60,501	60,501	122,731	230,000	125,979
Flood drought reserve	-	-	-	-	-	-	-
Capital equipment reserve	-	-	-	-	-	324,400	49,500
General fund balance	-	-	-	-	-	1,000,000	-
Pension reserve	-	-	-	-	-	100,000	100,000
OPEB reserve	-	-	-	-	-	100,000	100,000
Other	-	-	-	-	-	-	-
Sub-total other	121,515	52,979	1,134,513	1,309,006	12,544,251	23,104,100	9,226,187
Total expenditures	312,612	167,169	1,329,216	1,808,997	17,601,333	28,568,100	14,239,358
Excess (Deficiency) of revenues over expenditures	\$ 638,821	\$ 262,294	\$ (77,556)	\$ 823,559	\$ 2,126,572	\$ -	\$ (84,805)

ADMINISTRATIVE COMMITTEE

10. CONSIDER APPROVAL OF FOURTH QUARTER FISCAL YEAR 2020-2021 INVESTMENT REPORT

Meeting Date:	September 13, 2021	Budgeted:	N/A
From:	David J. Stoldt, General Manager	Program/ Line Item No.:	N/A
Prepared By:	Suresh Prasad	Cost Estimate:	N/A

General Counsel Review: N/A

Committee Recommendation: The Administrative Committee considered this item on September 13, 2021 and recommended _____.

CEQA Compliance: This action does not constitute a project as defined by the California Environmental Quality Act Guidelines Section 15378.

SUMMARY: The District's investment policy requires that each quarter the Board of Directors receive and approve a report on investments held by the District. **Exhibit 10-A** is the report for the quarter ending June 30, 2021. District staff has determined that these investments do include sufficient liquid funds to meet anticipated expenditures for the next six months and as a result this portfolio is in compliance with the current District investment policy. This portfolio is also in compliance with the California Government Code, and the permitted investments of Monterey County.

RECOMMENDATION: The Administrative Committee should recommend that the Board approve the Fourth Quarter Investment Report for Fiscal Year 2020-2021.

EXHIBIT

10-A Investment Report as of June 30, 2021

**MONTEREY PENINSULA WATER MANAGEMENT DISTRICT
INVESTMENT REPORT AS OF JUNE 30, 2021**

MPWMD

Issuing Institution Security Description	Purchase Date	Maturity Date	Cost Basis	Par Value	Market Value	Annual Rate of Return	Portfolio Distribution
Local Agency Investment Fund	06/30/21	07/01/21	\$10,618,773	\$10,618,773	\$10,618,773	0.330%	60.88%
Bank of America:							
Money Market	06/30/21	07/01/21	4,490,923	4,490,923	4,490,923	0.000%	
Checking	06/30/21	07/01/21	(808,835)	(808,835)	(808,835)	0.000%	
			\$3,682,088	\$3,682,088	\$3,682,088		21.11%
Multi-Bank Securities Cash Account	06/30/21	07/01/21	23,322	23,322	23,322	0.000%	
Multi-Securities Bank Securities:							
Interest Bearing Certificate of Deposit	07/03/18	07/06/21	\$246,000	\$246,000	\$246,121	3.000%	
Interest Bearing Certificate of Deposit	07/03/18	07/06/21	\$246,000	\$246,000	\$246,121	3.000%	
Interest Bearing Certificate of Deposit	10/05/18	10/05/21	\$249,000	\$249,000	\$251,004	3.100%	
Interest Bearing Certificate of Deposit	11/21/18	11/22/21	\$246,000	\$246,000	\$249,171	3.250%	
Interest Bearing Certificate of Deposit	01/09/19	01/10/22	\$250,000	\$250,000	\$254,023	3.100%	
Interest Bearing Certificate of Deposit	02/06/20	02/06/23	\$247,000	\$247,000	\$253,427	1.800%	
Interest Bearing Certificate of Deposit	09/30/20	09/30/24	\$249,000	\$249,000	\$249,411	0.400%	
Interest Bearing Certificate of Deposit	03/13/20	03/13/25	\$249,000	\$249,000	\$256,622	1.250%	
Interest Bearing Certificate of Deposit	03/30/20	03/31/25	\$248,000	\$248,000	\$258,850	1.600%	
Interest Bearing Certificate of Deposit	09/22/20	09/22/25	\$249,000	\$249,000	\$248,928	0.550%	
Interest Bearing Certificate of Deposit	06/16/21	06/16/26	\$249,000	\$249,000	\$249,493	0.900%	
			\$2,728,000	\$2,728,000	\$2,763,169	1.993%	15.64%
Multi-Securities Bank Securities:							
U.S. Government Bonds	02/25/21	02/25/26	\$390,000	\$390,000	\$385,765	0.700%	
			\$390,000	\$390,000	\$385,765	0.700%	2.24%
TOTAL MPWMD			\$17,442,183	\$17,442,183	\$17,473,117	0.528%	

CAWD/PBCSD WASTEWATER RECLAMATION PROJECT

Issuing Institution Security Description	Purchase Date	Maturity Date	Cost Basis	Par Value	Market Value	Annual Rate of Return	Portfolio Distribution
US Bank Corp Trust Services:							0.14%
Certificate Payment Fund	06/30/21	07/01/21	818	818	818	0.000%	
Interest Fund	06/30/21	07/01/21	338	338	338	0.000%	
Rebate Fund	06/30/21	07/01/21	19	19	19	0.000%	
			\$1,176	\$1,176	\$1,176	0.000%	
Bank of America:							99.86%
Money Market Fund	06/30/21	07/01/21	852,809	852,809	\$852,809	0.000%	
TOTAL WASTEWATER RECLAMATION PROJECT			\$853,985	\$853,985	\$853,985	0.000%	

These investments do include sufficient liquid funds to meet anticipated expenditures for the next six months as reflected in the FY 2020-2021 annual budget adopted on June 15, 2020.

EXHIBIT 11-A

**Monterey Peninsula Water Management District
Status on District Open Contracts (over \$25K)
For The Period June 2021**

Contract	Description	Date Authorized	Contract Amount	Prior Period		Total Expended To Date	Expected Completion	Current Period Activity	P.O. Number
				Expended To Date	Current Period Spending				
1	Monterey One Water	PWM Deep Injection Well #4 Design/Construction	9/21/2020	\$ 4,070,000.00	\$ 718,878.31	\$ 288,288.96	\$ 1,007,167.27	Current period billing related to new DIW #4	PO02604
2	Goodin, MacBride, Squeri & Day, LLP	Legal Fee Related MPWSP	4/1/2021	\$ 50,000.00	\$ 12,717.04		\$ 12,717.04		PO02601
3	Local Agency Formation Commission (LAFCO) of Monterey County	Measure J/Rule 19.8 MPWMD LAFCO Application Procees	5/17/2021	\$ 80,000.00	\$ 39,163.00	\$ 25,000.00	\$ 64,163.00	Current period billing related to Measure J LAFCO process	PO02598
4	FISHBIO	Carmel River Fish Weir	8/17/2020	\$ 130,000.00	\$ 126,799.07		\$ 126,799.07		PO02586
5	Monterey County Elections Department	November 3, 2020 Election Expense	6/15/2020	\$ 200,000.00	\$ 119,628.12		\$ 119,628.12		PO02585
6	Integrated Aqua Systems, Inc	UV unit for RAS building at Sleepy Hollow	7/16/2020	\$ 62,025.08	\$ -	\$ 62,025.08	\$ 62,025.08	Purchase of UV unit for RAS building at Sleepy Hollow Fish Facility	PO02514
7	Shute, Mihaly & Weinberger LLP	Measure J CEQA Litigation Legal Services	12/23/2020	\$ 200,000.00	\$ 114,685.47	\$ 911.00	\$ 115,596.47	Current period billing related to Measure J CEQA litigation legal services	PO02490
8	The Marketing Department	Outreach Consultant Contract FY 2020/2021	9/21/2020	\$ 36,000.00	\$ 28,587.95	\$ 4,000.00	\$ 32,587.95	Current period retainer billing	PO02506
9	De Lay & Laredo	Measure J/Rule 19.8 3rd Party Operations Phase II	12/16/2019	\$ 87,000.00	\$ 18,690.50		\$ 18,690.50		PO02398
10	Weston Solutions, Inc.	UXO Support Services	6/15/2020	\$ 26,378.70	\$ 4,252.35	\$ 545.83	\$ 4,798.18	Current period billing for UXO support services	PO02371
11	Denise Duffy & Assoc. Inc.	CEQA addendum for ASR Parallel Pipeline	4/20/2020	\$ 28,567.00	\$ 25,970.44		\$ 25,970.44		PO02363
12	Lynx Technologies, Inc	Geographic Information Systems contractual services	6/15/2020	\$ 35,000.00	\$ 4,950.00	\$ 2,100.00	\$ 7,050.00	Current period billing for GIS services	PO02357
13	Regional Government Services	Human Resouces contractual services	6/15/2020	\$ 70,000.00	\$ 42,751.65	\$ 1,672.00	\$ 44,423.65	Current period billing for HR services	PO02356
14	DeVeera Inc.	BDR Datto Services Contract FY 2020/2021	9/16/2019	\$ 26,352.00	\$ 24,156.00	\$ 2,196.00	\$ 26,352.00	Current period billing for IT backup services	PO02349
15	DeVeera Inc.	IT Managed Services Contract for FY 2020/2021	6/15/2020	\$ 57,012.00	\$ 52,261.00	\$ 4,751.00	\$ 57,012.00	Current period billing for IT managed services	PO02348
16	The Ferguson Group LLC	2020-21 - Legislative and Administrative Services	6/15/2020	\$ 99,500.00	\$ 88,779.75	\$ 8,000.00	\$ 96,779.75	Current period retainer billing	PO02339
17	JEA & Associates	Contract for Legislative and Administrative Services - FY 20-21	6/15/2020	\$ 35,000.00	\$ 27,500.00	\$ 2,500.00	\$ 30,000.00	Current period retainer billing	PO02338
18	MBAS	ASR Water Quality	6/15/2020	\$ 40,000.00	\$ 39,061.25	\$ 310.00	\$ 39,371.25	Current period billing related to ASR water quality testing	PO02330
19	Pueblo Water Resources, Inc.	ASR Operations Support	6/15/2020	\$ 75,000.00	\$ 43,195.33	\$ 22,195.00	\$ 65,390.33	Current period billing related to ASR Operations Support	PO02320
20	De Lay & Laredo	Measure J/Rule 19.8 Appraisal/MAI Services	6/15/2020	\$ 120,000.00	\$ 76,032.00		\$ 76,032.00		PO02316
21	De Lay & Laredo	Measure J/Rule 19.8 Appraisal/Rate Study Phase II	12/16/2019	\$ 200,000.00	\$ 184,965.00		\$ 184,965.00		PO02282
22	De Lay & Laredo	Measure J/Rule 19.8 Operations Plan - Phase II	12/16/2019	\$ 145,000.00	\$ 62,077.50		\$ 62,077.50		PO02281
23	De Lay & Laredo	Measure J/Rule 19.8 CEQA Services Consultant	12/16/2019	\$ 134,928.00	\$ 134,779.54		\$ 134,779.54		PO02273
24	Rutan & Tucker, LLP	Rule 19.8 Eminent Domain Legal Services Phase II	12/16/2019	\$ 200,000.00	\$ 160,110.44	\$ 4,125.00	\$ 164,235.44	Current period billing related to Measure J Services	PO02236
25	Norton Rose Fulbright	Cal-Am Desal Structuring & Financing Order	4/20/2015	\$ 307,103.13	\$ 38,557.29		\$ 38,557.29		PO02197
26	Pueblo Water Resources, Inc.	ASR SMWTF Engineering Services During Construction	10/21/2019	\$ 148,100.00	\$ 131,034.96	\$ 8,797.28	\$ 139,832.24	Current period billing for ASR SMWTF engineering services	PO02163

Monterey Peninsula Water Management District
Status on District Open Contracts (over \$25K)
For The Period June 2021

Contract	Description	Date Authorized	Contract Amount	Prior Period		Current Period Spending	Total Expended To Date	Expected Completion	Current Period Acitivity	P.O. Number
				Expended To Date	Current Period					
27	U.S. Bank Equipment Finance	Copier machine leasing - 60 months	7/15/2019	\$ 52,300.00	\$ 19,297.45	\$ 871.81	\$ 20,169.26	6/30/2024	Current period billing for photocopy machine lease	PO02108
28	Monterey One Water	Supplemental EIR Costs for PWM Expansion Project	3/18/2019	\$ 750,000.00	\$ 731,336.70		\$ 731,336.70			PO02095
29	Monterey One Water	Pre-Construction Costs for PWM Expansion Project	11/13/2017	\$ 360,000.00	\$ 312,617.94		\$ 312,617.94			PO02094
30	DUDEK	Consulting Services for Prop 1 grant proposal	4/15/2019	\$ 95,600.00	\$ 94,315.05		\$ 94,315.05			PO01986
31	Denise Duffy & Associates	Consulting Services IRWM plan update	12/17/2018	\$ 55,000.00	\$ 53,322.32		\$ 53,322.32			PO01985
32	Tetra Tech, Inc.	Engineering services Sleepy Hollow Facility Upgrade	7/16/2018	\$ 30,000.00	\$ 26,367.76		\$ 26,367.76			PO01880
33	Colantuono, Highsmith, & Whatley, PC	Legal Services for MCWD vs PUC Matter for FY 2018-2019	7/1/2018	\$ 60,000.00	\$ 54,628.80		\$ 54,628.80	6/30/2021		PO01874
34	Ecology Action of Santa Cruz	IRWM HEART Grant	4/16/2018	\$ 152,600.00	\$ 86,362.33		\$ 86,362.33			PO01824
35	Pueblo Water Resources, Inc.	ASR Backflush Basin Expansion, CM services	7/16/2018	\$ 96,034.00	\$ 68,919.39		\$ 68,919.39			PO01778
36	Rural Community Assistance Corporation	IRWM DAC Needs Assessment	4/16/2018	\$ 100,000.00	\$ 99,250.00		\$ 99,250.00			PO01777
37	Mercer-Fraser Company	Sleepy Hollow Intake upgrade project	7/16/2018	\$ 1,802,835.00	\$ 1,786,834.91		\$ 1,786,834.91			PO01726
38	Pueblo Water Resources, Inc.	Seaside Groundwater Basin Geochemical Study	1/24/2018	\$ 68,679.00	\$ 36,795.25		\$ 36,795.25			PO01628
39	Pueblo Water Resources, Inc.	SSAP Water Quality Study	8/21/2017	\$ 94,437.70	\$ 44,318.11		\$ 44,318.11			PO01510
40	Normandeau Associates, Inc.	Assistance with IFIM Study	11/13/2017	\$ 35,000.00	\$ 31,482.50		\$ 31,482.50			PO01509
41	Accela Inc.	Acquisition of Water Demand Database System	11/13/2017	\$ 676,377.00	\$ 669,227.81		\$ 669,227.81	6/30/2021		PO01471
42	Balance Hydrologics, Inc	Design Work for San Carlos Restoration Project	6/19/2017	\$ 51,360.00	\$ 50,894.32		\$ 50,894.32			PO01321
43	AECOM Technical Services, Inc.	Los Padres Dam Alternatives Study	1/25/2017	\$ 700,700.00	\$ 505,766.50	\$ 21,559.00	\$ 527,325.50		Current period billing for Los Padres Alternative Study	PO01268
44	Denise Duffy & Assoc. Inc.	MMRP Services for Monterey Pipeline	1/25/2017	\$ 80,000.00	\$ 73,144.06		\$ 73,144.06			PO01202
45	Goodin,MacBride,Squeri,Day,Lamprey	User Fee PUC Proceedings Legal Fee	7/1/2016	\$ 50,000.00	\$ 49,318.05		\$ 49,318.05	6/30/2021		PO01100
46	Whitson Engineers	Carmel River Thawleg Survey	9/19/2018	\$ 52,727.43	\$ 49,715.00		\$ 49,715.00			PO01076
47	HDR Engineering, Inc.	Los Padres Dam Fish Passage Study	4/18/2016	\$ 320,000.00	\$ 316,085.56		\$ 316,085.56			PO01072
48	Michael Hutnak	GS Flow Modeling for Water Resouces Planning	8/19/2013	\$ 71,800.00	\$ 65,880.00		\$ 65,880.00			PO00123
49	Justin Huntington	GS Flow Modeling for Water Resouces Planning	8/19/2013	\$ 59,480.00	\$ 53,918.98		\$ 53,918.98			PO00122

**Monterey Peninsula Water Management District
Status on Measure J/Rule 19.8 Spending Phase II
Through July 2021**

	Contract	Date Authorized	Contract/Approved Amount	Prior Period Spending	Current Period Spending	Total Expended To Date	Spending Remaining	Project No.
1	Eminent Domain Legal Counsel	12/16/2019	\$ 225,000.00	\$ 164,235.44	\$ 862.50	\$ 165,097.94	\$ 59,902.06	PA00005-01
2	CEQA Work	12/16/2019	\$ 134,928.00	\$ 134,779.54		\$ 134,779.54	\$ 148.46	PA00005-02
3	Appraisal Services	12/16/2019	\$ 200,000.00	\$ 184,965.00	\$ 595.00	\$ 185,560.00	\$ 14,440.00	PA00005-03
4	Operations Plan	12/16/2019	\$ 145,000.00	\$ 94,860.00		\$ 94,860.00	\$ 50,140.00	PA00005-04
5	District Legal Counsel	12/16/2019	\$ 40,000.00	\$ 119,399.06	\$ 5,830.00	\$ 125,229.06	\$ (85,229.06)	PA00005-05
6	MAI Appraiser	12/16/2019	\$ 120,000.00	\$ 76,032.00		\$ 76,032.00	\$ 43,968.00	PA00005-06
7	Jacobs Engineering	12/16/2019	\$ 87,000.00	\$ 86,977.36		\$ 86,977.36	\$ 22.64	PA00005-07
8	LAFCO Process	12/16/2019	\$ 150,000.00	\$ 71,363.00		\$ 71,363.00	\$ 78,637.00	PA00005-08
9	Contingency/Miscellaneous/Uncommitted	12/16/2019	\$ 289,072.00	\$ 26,034.95		\$ 26,034.95	\$ 263,037.05	PA00005-20
	Total		\$ 1,391,000.00	\$ 958,646.35	\$ 7,287.50	\$ 965,933.85	\$ 425,066.15	

1	Measure J CEQA Litigation Legal Services	12/23/2000	\$ 200,000.00	\$ 115,596.47		\$ 115,596.47	\$ 84,403.53	PA00005-15
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**Phase I Costs
Status on Measure J/Rule 19.8 Spending
Through November 2019**

	Contract	Date Authorized	Contract Amount	Prior Period Spending	Current Period Spending	Total Expended To Date	Spending Remaining	Project No.
1	Eminent Domain Legal Counsel	12/17/2018	\$ 100,000.00	\$ 148,802.21	\$ 12,195.95	\$ 160,998.16	\$ (60,998.16)	PA00002-01
2	Investment Banking Services	2/21/2019	\$ 30,000.00	\$ -	\$ 27,000.00	\$ 27,000.00	\$ 3,000.00	PA00002-02
3	Valuation & Cost of Service Study Consulta	2/21/2019	\$ 355,000.00	\$ 247,690.63	\$ 39,274.54	\$ 286,965.17	\$ 68,034.83	PA00002-03

4	Investor Owned Utility Consultant	2/21/2019	\$ 100,000.00	\$ 84,221.69		\$ 84,221.69	\$ 15,778.31	PA00002-04
5	District Legal Counsel		\$ 35,000.00	\$ 33,763.61	\$ 8,133.98	\$ 41,897.59	\$ (6,897.59)	PA00002-05
6	Contingency/Miscellaneous		\$ 30,000.00	\$ 9,931.83	\$ 33,814.12	\$ 43,745.95	\$ (13,745.95)	PA00002-10
	Total		\$ 650,000.00	\$ 524,409.97	\$ 120,418.59	\$ 644,828.56	\$ 5,171.44	

ADMINISTRATIVE COMMITTEE

13. REVIEW FOURTH QUARTER LEGAL SERVICES ACTIVITY REPORT FOR FISCAL YEAR 2020-2021

Meeting Date:	September 13, 2021	Budgeted:	N/A
From:	David J. Stoldt, General Manager	Program/ Line Item No.:	N/A
Prepared By:	Suresh Prasad	Cost Estimate:	N/A

General Counsel Review: N/A

Committee Recommendation: This is an informational item only.

CEQA Compliance: This action does not constitute a project as defined by the California Environmental Quality Act Guidelines Section 15378.

SUMMARY: The fourth quarter Legal Services Activity Report for Fiscal Year 2020-2021 is attached as **Exhibits 13-A** and **13-B**. The information presented are in a table and graph format and compares the actual fourth quarter activity and the year-to-date amount to the overall budget for legal services. The actual costs for the current reporting period were 97% of the total legal budget.

EXHIBITS

13-A Legal Services Costs Update Table

13-B Legal Services Graph by Fiscal Year

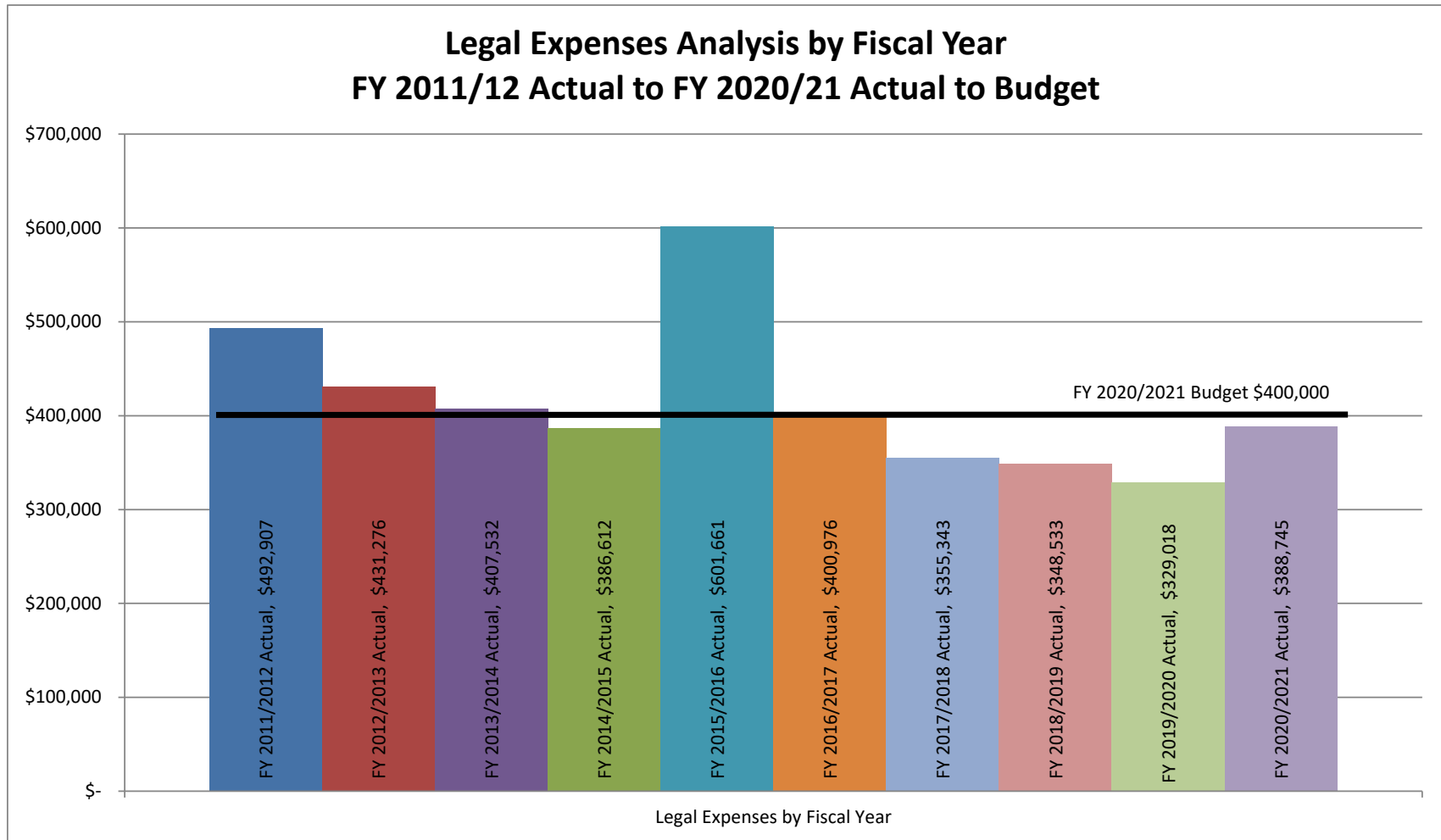
EXHIBIT 13-A

MONTEREY PENINSULA WATER MANAGEMENT DISTRICT
 LEGAL SERVICES COSTS UPDATE
 REPORT FOR QUARTER ENDED June 30, 2021

File No.	Description	Previous Balance	Quarterly Activity			Total	FY 2020/2021 Budget
			April 2021	May 2021	June 2021		
<u>Delay & Laredo</u>							
WMD-001	Retained General Counsel Service	54,819.00	6,251.00	6,251.00	6,251.00	73,572.00	
WMD-002	Non-Retained General Counsel Service	27,894.50	2,385.00	2,544.00	-	32,823.50	
WMD-003	Special Legal Services	7,809.00	-	-	-	7,809.00	
WMD-003-01	Desal A.12-04-019	5,552.50	53.00	79.50	132.50	5,817.50	
WMD-003-03	SCD - A.10-09-019	-	-	-	-	-	
WMD-003-05	218 Fee A.10-01-012	1,650.00	-	-	-	1,650.00	
WMD-003-06	SWRCB Proceedings	6,018.50	212.00	371.00	159.00	6,760.50	
WMD-003-07	CPUC Proceedings (General)	2,880.50	53.00	344.50	159.00	3,437.00	
WMD-003-09	Seaside Basin Watermaster	371.00	-	132.50	-	503.50	
WMD-003-10	Special Counsel Oversight	102.00	-	-	-	102.00	
WMD-003-11	MPWMD vs. SWRCB (CDO)	159.00	238.50	-	-	397.50	
WMD-003-13	Groundwater Replenishment (GWR) Project	4,233.00	-	1,422.50	2,335.50	7,991.00	
WMD-003-14	MPTA vs. MPWMD Case No. M123512	-	-	-	-	-	
WMD-003-18	CPUC A.15 - Modification of Rate Design and Water Ration	612.00	-	-	-	612.00	
WMD-003-20	2016 GRC - A. 16-07-002	486.50	132.50	-	-	619.00	
WMD-003-22	Cal-Am vs MPWMD, SWRCB	-	-	-	-	-	
WMD-003-23	Heuer vs. Valenzuela	-	-	-	-	-	
WMD-003-24	MCWD vs. PUC; City of Marina vs. PUC Case	-	-	-	-	-	
WMD-003-25	A.19-07-004 General Rate Case	67,302.50	4,902.50	-	4,187.00	76,392.00	
WMD-003-26	Laguna Seca Connection Moratorium	739.50	-	-	-	739.50	
WMD-003-27	CPUC Petition to Modify D.18-09-017	4,902.50	5,618.00	1,616.50	2,173.00	14,310.00	
WMD-004	Bond, Audit or Financial Matters - Special Legal Services	637.50	-	-	-	637.50	
WMD-005	3rd Party Reimbursement - Special Legal Services	-	-	-	-	-	
WMD-005-01	Water Demand Permits/Deed Review	5,935.00	265.00	503.50	291.50	6,995.00	
WMD-005-02	Reclamation Matters	76.50	-	-	-	76.50	
WMD-005-03	WDS Permits and Water Rights Review	16,389.50	1,987.50	3,789.50	397.50	22,564.00	
WMD-005-04	ASR	2,509.00	-	-	-	2,509.00	
WMD-005-05	Public Records Request	4,394.00	583.00	-	-	4,977.00	
WMD-005-06	Successor Agency v. Cohen Case No. 34-2016-80002403	-	-	-	-	-	
WMD-005-07	Cal-Am vs MPWMD (LAFCO-CEQA)	11,050.00	1,139.50	2,093.50	318.00	14,601.00	
MPWMD 70	Feasibility Study	48,327.00	6,254.00	8,003.00	6,307.00	68,891.00	
	Sub-total (Delay & Laredo)	274,850.50	30,074.50	27,151.00	22,711.00	354,787.00	
<u>Goodin, MacBride, Squeri, Day & Lamprey, LLP</u>							
3465-002	Measure J/PWM Water Projects	15,906.20	10,517.04	2,200.00	5,335.00	33,958.24	
<u>Colantuono, Highsmith & Whatley, PC</u>							
	Prop 218 Advice	-	-	-	-	-	
	MCWD vs PUC	-	-	-	-	-	
	Measure J Activities	-	-	-	-	-	
	Total	\$ 290,756.70	\$ 40,591.54	\$ 29,351.00	\$ 28,046.00	\$ 388,745.24	\$400,000.00^[1]

97%

[1] Budget column includes legal budget of \$400,000.



This meeting has been noticed according to the Brown Act rules. The Board of Directors meets regularly on the third Monday of each month, except in January and February. The meetings begin at 6:00 PM.



Draft Meeting Agenda: Version C
Regular Meeting
Board of Directors
Monterey Peninsula Water Management District

Monday, September 20, 2021 at 6:00 PM, Virtual Meeting

Pursuant to Governor Newsom's Executive Orders N-29-20 and N-33-20, and to do all we can to help slow the spread of COVID-19 (coronavirus), meetings of the Monterey Peninsula Water Management District Board of Directors and committees will be conducted with virtual (electronic) participation only using Zoom.

Join the meeting at this link:

<https://us06web.zoom.us/j/86240381224?pwd=TGJTVUI5U1gvQzd0dW9uZXpwVXZvZz09>

Or join at: <https://zoom.us/>

Webinar ID: 862 4038 1224

Passcode: 09202021

Participate by phone: (669) 900-9128

For detailed instructions on how to connect to the meeting, please see page 4 of this agenda.

You may also view the live webcast on AMP <https://accessmediaproductions.org/>
 scroll down to the bottom of the page and select the Peninsula Channel

Staff notes will be available on the District web site at

<http://www.mpwmd.net/who-we-are/board-of-directors/bod-meeting-agendas-calendar/>

by 5:00 PM on Friday, September 17, 2021

CALL TO ORDER / ROLL CALL

PLEDGE OF ALLEGIANCE

ADDITIONS AND CORRECTIONS TO AGENDA - *The General Manager will announce agenda corrections and proposed additions, which may be acted on by the Board as provided in Sections 54954.2 of the California Government Code.*

Board of Directors

Alvin Edwards, Chair – Division 1
 Karen Paull, Vice Chair – Division 4
 George Riley – Division 2
 Safwat Malek – Division 3
 Amy Anderson – Division 5
 Mary L. Adams, Monterey County
 Board of Supervisors Representative
 Clyde Roberson – Mayoral Representative

General Manager

David J. Stoldt

This agenda was posted at the District office at 5 Harris Court, Bldg. G Monterey, California on Friday, September 17, 2021. After staff reports have been posted and distributed, if additional documents are produced by the District and provided to a majority of the Board regarding any item on the agenda, they will be posted on the District website. Documents distributed on the afternoon of the meeting will be available upon request, and posted to the web within five days of adjournment of the meeting. The next regularly scheduled meeting of the MPWMD Board of Directors will be on Monday, October 18, 2021 at 6:00 PM.

ORAL COMMUNICATIONS- - *Anyone wishing to address the Board on Consent Calendar, Information Items, Closed Session items, or matters not listed on the agenda may do so only during Oral Communications. Please limit your comment to three (3) minutes. The public may comment on all other items at the time they are presented to the Board.*

CONSENT CALENDAR - The Consent Calendar consists of routine items for which staff has prepared a recommendation. Approval of the Consent Calendar ratifies the staff recommendation. Consent Calendar items may be pulled for separate consideration at the request of a member of the public, or a member of the Board. Following adoption of the remaining Consent Calendar items, staff will give a brief presentation on the pulled item. Members of the public are requested to limit individual comment on pulled Consent Items to three (3) minutes. Unless noted with double asterisks “**”, Consent Calendar items do not constitute a project as defined by CEQA Guidelines section 15378.

1. Consider Adoption of Minutes from the August 16, 2021 Regular Board Meeting, August 26, 2021 Special Board Meeting and September 2, 2021 Special Board Meeting
2. Consider Extension of Cooperative Agreement with the United States Geological Survey for Streamflow Gaging in Water Year 2022
3. Consider Adoption of Treasurer’s Report for June, 2021
4. Consider Approval of Fourth Quarter Fiscal Year 2020-2021 Investment Report

GENERAL MANAGER’S REPORT

5. Status Report on California American Water Compliance with State Water Resources Control Board Order 2016-0016 and Seaside Groundwater Basin Adjudication Decision
6. Update on Development of Water Supply Projects

DIRECTORS’ REPORTS (INCLUDING AB 1234 REPORTS ON TRIPS, CONFERENCE ATTENDANCE AND MEETINGS)

7. Oral Reports on Activities of County, Cities, Other Agencies/Committees/Associations

PUBLIC HEARINGS- *Public Comment will be received. Please limit your comments to three (3) minutes per item.*

8. Consider Adoption of October through December 2021 Quarterly Water Supply Strategy and Budget

Recommended Action: The Board will consider approval of a proposed production strategy for the California American Water Distribution Systems for the three-month period of October through December 2021. The strategy sets monthly goals for surface and groundwater production from various sources within the California American Water systems.

9. Consider Adoption of Resolution No. 2021-12 Modifying Rule 160 – Regulatory Water Production Targets for California American Water System. (Exempt from environmental review per SWRCB Order Nos. 95-10 and 2016-0016, and the Seaside Basin Groundwater Basin adjudication decision, as amended and Section 15268 of the California Environmental Quality Act (CEQA) Guidelines, as a ministerial project; Exempt from Section 15307, Actions by Regulatory Agencies for Protection of Natural Resources.)

Recommended Action: The Board will consider adopting Resolution No. 2021-12 with modifications to the Regulatory Water Production Targets in Tables XV-1, XV-2 and XV-3 of Rule 160. The modifications reflect the anticipated changes in Cal-Am production limits as set by the State Water Resources Control Board orders and Seaside Basin Adjudication decision for Water Year 2022 (Oct. 1, 2021 through Sept. 30, 2022).

ACTION ITEMS – *Public Comment will be received. Please limit your comments to three (3) minutes per item.*

10. Consider recommending to the Board to contract with Maggiora Brothers Drilling for the amount of \$25,000 to destroy Monitor Well Fort Ord 9 Shallow

Recommended Action: The Board will consider authorizing the General Manager to contract with Maggiora Brothers in the amount not to exceed \$25,000 to destroy FO-09 Shallow.

11. Consider Approving and Authorizing the District to Enter into an Amended and Restated Water Purchasing Agreement for the Pure Water Monterey Project Expansion (*Matter Continued from the Board Meeting held on Monday, August 16, 2021*)

Recommended Action: The Board should consider approval of the attached draft Amended and Restated Water Purchase Agreement and authorize the General Manager to sign it once CPUC approval is obtained.

12. Consider Authorization of Expenditure of Funds for Services Related to the Acquisition of the Monterey Water System (Measure J) as follows:

- a. Not to Exceed \$120,000 for Additional Legal Services by Rutan + Tucker
- b. Not to Exceed \$230,000 for Additional Appraisal and Cost of Service Analysis by Raftelis
- c. Not to Exceed \$50,000 for Additional Real Estate Appraisal Services by Chris Carneghi MAI
- d. Not to Exceed \$28,000 for Survey Services by Psomas

Recommended Action: The Board will consider authorization of expenditure of funds for Rutan + Tucker (not to exceed \$120,000), Raftelis (not to exceed \$230,000), Chris Carneghi (not to exceed \$50,000) and Psomas (not to exceed \$28,000) Related to the Acquisition of the Monterey Water System. (Measure J)

INFORMATIONAL ITEMS/STAFF REPORTS - The public may address the Board on Information Items and Staff Reports during the Oral Communications portion of the meeting. Please limit your comments to three minutes.

- 13. Report on Activity/Progress on Contracts Over \$25,000
- 14. Status Report on Measure J/Rule 19.8 Phase II Spending
- 15. Letters Received
- 16. Committee Reports
- 17. Monthly Allocation Report
- 18. Water Conservation Program Report
- 19. Carmel River Fishery Report for August, 2021
- 20. Monthly Water Supply and California American Water Production Report

ADJOURNMENT

Board Meeting Schedule			
Monday, October 18, 2021	Regular Meeting	6:00 pm	Virtual - Zoom
Thursday, October 28, 2021	Special Meeting	6:00 pm	Virtual – Zoom
Monday, November 15, 2021	Regular Meeting	6:00 pm	Virtual -- Zoom

Board Meeting Television and On-Line Broadcast Schedule View Live Webcast at https://accessmediaproductions.org/ scroll to the bottom of the page and select the Peninsula Channel	
Television Broadcast	Viewing Area
Comcast Ch. 25 (Monterey Channel), Mondays view live broadcast on meeting dates, and replays on Mondays, 7 pm through midnight	City of Monterey
Comcast Ch. 28, Mondays, replays only 7 pm	Throughout the Monterey County Government Television viewing area.
For Xfinity subscribers, go to https://www.xfinity.com/support/local-channel-lineup/ or https://www.xfinity.com/stream/listings - enter your address for the listings and channels specific to your city.	Pacific Grove, Pebble Beach, Sand City, Seaside, Monterey
Internet Broadcast	
Replays – Mondays, 4 pm to midnight at https://accessmediaproductions.org/ scroll to Peninsula Channel	
Replays – Mondays, 7 pm and Saturdays, 9 am www.mgtvonline.com	
YouTube – available five days following meeting date - https://www.youtube.com/channel/UCg-2VgzLBmgV8AaSK67BBRg	

Upon request, MPWMD will make a reasonable effort to provide written agenda materials in appropriate alternative formats, or disability-related modification or accommodation, including auxiliary aids or services, to enable individuals with disabilities to participate in public meetings. MPWMD will also make a reasonable effort to provide translation services upon request. Submit requests by noon on Friday, September 17, 2021 to joel@mpwmd.net, or at (831) 658-5652. Alternatively, you may reach Sara Reyes, Admin Services Division at (831) 658-5610.

Instructions for Connecting to the Zoom Meeting

Note: If you have not used Zoom previously, when you begin connecting to the meeting you may be asked to download the app. If you do not have a computer, you can participate by phone.

Begin: Within 10 minutes of the meeting start time from your computer click on this link:

<https://us06web.zoom.us/j/86240381224?pwd=TGJTVUI5U1gvQzd0dW9uZXpwVXZvZz09> or paste the link into your browser.

DETERMINE WHICH DEVICE YOU WILL BE USING
(PROCEED WITH ONE OF THE FOLLOWING INSTRUCTIONS)

USING A DESKTOP COMPUTER OR LAPTOP

1. In a web browser, type: <https://www.zoom.us>
2. Hit the enter key
3. At the top right-hand corner, click on “Join a Meeting”

4. Where it says “Meeting ID”, type in the Meeting ID# above and click “Join Meeting”
5. Your computer will begin downloading the Zoom application. Once downloaded, click “Run” and the application should automatically pop up on your computer. (If you are having trouble downloading, alternatively you can connect through a web browser – the same steps below will apply).
6. You will then be asked to input your name. It is imperative that you put in your first and last name, as participants and attendees should be able to easily identify who is communicating during the meeting.
7. From there, you will be asked to choose either ONE of two audio options: Phone Call or Computer Audio:

COMPUTER AUDIO

1. If you have built in computer audio settings or external video settings – please click “Test Speaker and Microphone”.
2. The client will first ask “Do you hear a ringtone?” •If no, please select “Join Audio by Phone”.
 - If yes, proceed with the next question:
3. The client will then ask “Speak and pause, do you hear a replay?” •If no, please select “Join Audio by Phone”
 - If yes, please proceed by clicking “Join with Computer Audio”

PHONE CALL

1. If you do not have built in computer audio settings or external video settings – please click “Phone Call”
2. Select a phone number based on your current location for better overall call quality.

+1 669-900-9128 (San Jose, CA)	+1 253-215-8782 (Houston, TX)
+1 346-248-7799 (Chicago, IL)	+1 301-715-8592 (New York, NY)
+1 312-626-6799 (Seattle, WA)	+1 646-558-8656 (Maryland)
3. Once connected, it will ask you to enter the Webinar ID No. and press the pound key
4. It will then ask you to enter your participant ID number and press the pound key.
5. You are now connected to the meeting.

USING AN APPLE/ANDROID MOBILE DEVICE OR SMART PHONE

1. Download the Zoom application through the Apple Store or Google Play Store (the application is free).
2. Once download is complete, open the Zoom app.
3. Tap “Join a Meeting”
4. Enter the Meeting ID number
5. Enter your name. It is imperative that you put in your first and last name, as participants and attendees should be able to easily identify who is communicating during the meeting.
6. Tap “Join Meeting”
7. Tap “Join Audio” on the bottom left hand corner of your device
8. You may select either ONE of two options: “Call via Device Audio” or “Dial in”

DIAL IN

1. If you select “Dial in”, you will be prompted to select a toll-free number to call into.
2. Select a phone number based on your current location for better overall call quality.

+1 669-900-9128 (San Jose, CA)	+1 253-215-8782 (Houston, TX)
+1 346-248-7799 (Chicago, IL)	+1 301-715-8592 (New York, NY)
+1 312-626-6799 (Seattle, WA)	+1 646-558-8656 (Maryland)
3. The phone will automatically dial the number, and input the Webinar Meeting ID No. and your Password.
4. Do not hang up the call, and return to the Zoom app
5. You are now connected to the meeting.

Presenting Public Comment

Receipt of Public Comment – the Chair will ask for comments from the public on all items. Limit your comment to 3 minutes but the Chair could decide to set the time for 2 minutes.

- (a) Computer Audio Connection: Select the “raised hand” icon. When you are called on to speak, please identify yourself.
- (b) Phone audio connection **with** computer to view meeting: Select the “raised hand” icon. When you are called on to speak, dial *6 to unmute and please identify yourself.
- (c) Phone audio connection only: Press *9. Wait for the clerk to unmute your phone and then identify yourself and provide your comment. Press *9 to end the call.

Submit Written Comments

If you are unable to participate via telephone or computer to present oral comments, you may also submit your comments by e-mailing them to comments@mpwmd.net with one of the following subject lines "PUBLIC COMMENT ITEM #" (insert the item number relevant to your comment) or "PUBLIC COMMENT – ORAL COMMUNICATIONS". Comments must be received by 12:00 p.m. on September 20, 2021. Comments submitted by noon will be provided to the Board of Directors and compiled as part of the record of the meeting.

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