

January 13, 2011

Ms. Monica Na Manager of Water Conservation and Efficiency California American Water 1033 B Avenue, Suite 200 Coronado, CA 92118

Subject: Request for Additional Monterey County District Rebate Funds

Dear Monica:

The Monterey Peninsula Water Management District (MPWMD) requests California American Water (CAW) to file an Advice Letter with the California Public Utilities Commission (CPUC) to secure additional funding in the amount of \$250,000 for water conservation Rebates in the Monterey County District. MPWMD, which administers the Monterey District Rebate Program, has exhausted the funds available from the 2009-2011 Conservation Program Budget (A.07-12-010).

The CPUC approved \$924,925 for rebates in the 2009-2011 Conservation Budget. As of January 12, 2011, CAW has paid \$726,826 for rebates invoiced by MPWMD. This amount covers rebates issued by MPWMD through October 2010. MPWMD processed an additional \$165,907 through December 2010 that has not yet been invoiced to CAW. In addition, MPWMD is in receipt of rebate applications for the first eleven days of January 2011 that are estimated at more than \$40,000, although not all applications will qualify for a rebate. At such time as the total budgeted amount is expended, MPWMD will hold the remaining applications until funding is reinstated.

The Settlement Agreement for the Conservation Program Budget (Section 5-C) allows CAW to "file an advice letter to increase the budget by the rate of overspending, or \$250,000, which ever is smaller." Please request the full \$250,000 immediately. At the current participation level (approximately \$60,000-\$80,000 per month), \$250,000 will support the Rebate Program for approximately four months, through April 2011. Funding for the Rebate Program is included in the pending General Rate Case for 2012-2014.

The Rebate Program is a successful conservation project that results in significant water savings and contributes to the community's compliance with regulatory restrictions. Continued CAW support for the program ensures ongoing community participation. Thank you for your immediate attention to this matter.

Sincerely,

Stephanie Pintar

Water Demand Manager

cc:

Craig Anthony, CAW Darby Fuerst, MPWMD Monica Na January 14, 2011 Page 2 of 2

> Suzette Halterman, CAW David Stephenson, CAW Eric Sabolsice, CAW Joe DiMaggio, CAW



PUBLIC UTILITIES COMMISSION

505 VAN NESS AVENUE SAN FRANCISCO, CA 94102-3298



February 4, 2011

File No. 602-19

David P. Stephenson Manager - Rates & Regulation California-American Water Company 4701 Beloit Dr. Sacramento, CA 95838-2434

RECEIVED FEB 0 7 2011

Dear Mr. Stephenson:

The Commission has received and filed the utility's Advice Letter No. 878, together with the following revised Cal. P.U.C. tariff sheets applicable to its Monterey District, that were submitted:

| Cal. P.U.C. Sheet No. | Title of Sheet |
|-----------------------|--|
| 5985-W | Schedule No. MO-1, General Metered Service (continued) |
| 5986-W | Schedule No. MO-1-LIRA, Low Income Ratepayer Assistance Program (continued) |
| 5987-W | Schedule No. MO-4, Private Fire Protection Service (continued) |
| 5988-W | Schedule No. MO-4B, Private Fire Protection Service (continued) |
| 5989-W | Schedule No. MO-4H, Private Fire Hydrant Service (continued) |
| 5990-W | Schedule No. MO-1A, General Metered Service (continued) |
| 5991-W | Schedule No. MO-1A-LIRA, Low Income Ratepayer Assistance Program (continued) |
| 5992-W | Schedule No. MO-4A, Private Fire Protection Service (continued) |
| 5993-W | Schedule No. MO-CO-1, General Metered Service (continued) |
| 5994-W | Schedule No. MO-CO-1-LIRA, Low Income Ratepayer Assistance Program (continued) |
| 5995-W | Schedule No. MO-TO-1, General Metered Service (continued) |
| 5996-W | Preliminary Statement (continued) |
| 5997-W | Table of Contents |
| 5998-W | Table of Contents (continued) |
| 5999-W | Table of Contents (continued) |
| | |

Enclosed is a copy of the advice letter and tariff sheets, with the filing and effective dates shown, for the utility's files.

Please contact Ravi Kumra at (415) 703-2571 if you have any questions.

Very truly yours,

JOSIE R. BABARAN Staff Service Analyst

Water & Sewer Advisory Branch

Enclosures

CALIFORNIA PUBLIC UTILITIES COMMISSION DIVISION OF WATER AND AUDITS

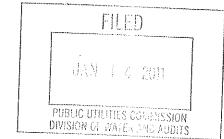
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| JAN | 1 4 2011 | |
| PUBLIC UTILITY DIVISION OF W | TIES COMMISSION ATER AND AUDITS | |

| | Advio | ce Letter Cover | Shee | t | | | | PUBLIC UTILITIES COMMISSION DIVISION OF WATER AND AUDITS | |
|---|---------------------------|-----------------------|--|---|----------------|---------------------------------------|-----------|---|--|
| AL# Date Mailed to Service List: Requested | | d Effective D | ate: | Re | equested Tier: | | | | |
| 878 | } | January 14, 2011 | | January 1 | 4, 2011 | | E | ☑Tier 1 □Tier 2 □Tier 3 | |
| Rep | olacing AL#: | Authorized by: | | Complian | ice Filing? | Rate | \$ | \$250,661 | |
| | | D. 09-05-029 | | ⊠Yes | □No | Impact | % | 0.58% | |
| The public has 20 days from Date Mailed (above) to protest this advice letter. If you chose to protest or respond to the advice letter, send Protest and/or Correspondence within 20 days to: | | | 505 Van N | Water and A ess Ave. sco, CA 9410 | | S | | | |
| and | l if you have o | email capability, als | o email | to: | water division | on@cpuc.ca.g | <u>vc</u> | | |
| Yo | ur protest als | o must be served on | the Util | <u>ity</u> | (see attac | | ter fo | or more information and grounds | |
| Co | mpany Name | : CALIFORNIA AM | ERICAN | WATER | | | | CPUC Utility Number: WTA | |
| Ad | dress: 1033 B | AVENUE, SUITE 200 | | | | | | WTB | |
| City, State, Zip: CORONADO, CA 92118 | | | | | | WTC WTD SWR | | | |
| | Conta | ct Name: | P | hone No. | F | 'ax No. | | Email Address: | |
| Filer | Suzette Halte | rman | 916-56 | 8-4255 | 916-568 | -4260 | Su | zette.halterman@amwater.com | |
| Alternate | Stacey Fulter | | 619-43 | | 619-435 | -7434 | Sta | Stacey.fulter@amwater.com | |
| Des | scription: (Ir | this space or on th | e back o | of this form | n) | | | 8 | |
| | 1. Expla | in justification for | reques | ted Tier – | Per D. 09-0 | 5-029 | | | |
| | 2. Descr | ibe service affected | l and h | ow it is af | fected — Incr | ease to surch | arge | for all services | |
| | 3. Descr AL 761 | ibe differences from | m relate | ed Advice | Letters (Si | milar service | e, rej | placement filing) – Similar to | |
| | | | | (FOR CI | PUC USE ONLY | · · · · · · · · · · · · · · · · · · · | | | |
| WT | S Budget/Activ | ty/Type | | | Process as: | □Tier 1 □ | Tier | 2 □ Tier 3 | |
| | | / | | | 20th Day | | | 30th Day | |
| Proj | ect Manager: | | | | Suspended on | : | | 2 2 | |
| Ana | lyst: | | ,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,, | | Extended on: | | | | |
| | Date: | | | | Resolution No |).: | | | |
| Completion Date: | | AL/Tariff Eff | ective Date: | | | | | | |



4701 Beloit Drive Sacramento, CA 95838 P (916)-568-4251 F (916) 568-4260

www.amwater.com



January 14, 2011

ADVICE LETTER NO. 878

TO THE PUBLIC UTILITIES COMMISSION OF THE STATE OF CALIFORNIA

California American Water Company (CAW) (U210W) hereby submits for filing the following tariff sheets applicable to its Monterey District which are attached hereto:

| C.P.U.C. Sheet No. | Title of Sheet | Canceling Sheet No. |
|-----------------------|--|------------------------|
| 5985-W | Schedule No. MO – 1 (continued) Monterey District Tariff Area GENERAL METERED SERVICE | 5632-W |
| 5986-W | Schedule No. MO - 1 - LIRA (Continued) Monterey District Tariff Area LOW INCOME RATEPAYER ASSISTANCE PROGRAM | 5941-W |
| 5987-W | Schedule No. MO – 4 (continued) Monterey District Tariff Area PRIVATE FIRE PROTECTION SERVICE | 5644-W |
| 5988-W | Schedule No. MO-4B Monterey Peninsula District Tariff Area PRIVATE FIRE PROTECTION SERVICE BISHOP SERVICE AREA (continued) | 5649-W |
| 5989-W | Schedule No. MO – 4H (continued) Monterey District Tariff Area PRIVATE FIRE HYDRANT SERVICE | 5651-W |
| 5990-W | Schedule No. MO-1A (continued) Monterey Peninsula District Tariff Area GENERAL METERED SERVICE AMBLER PARK SERVICE AREA | 5672-W |
| 5991-W | Schedule No. MO-1A-LIRA (continued) Monterey Peninsula District Tariff Area LOW-INCOME RATEPAYER ASSISTANCE PROGRAM AMBLER PARK SERVICE AREA | 5674-W |

| 5992-W | Schedule No. MO – 4A Monterey District Tariff Area PRIVATE FIRE PROTECTION SERVICE AMBLER PARK SERVICE AREA (Continued) | 5721-W |
|--------|--|--------|
| 5993-W | Schedule No. MO-CO-1 (continued) Monterey District Tariff Area Ralph Lane and Chular Service Areas GENERAL METERED SERVICE | 5676-W |
| 5994-W | Schedule No. MO-CO-1-LIRA (continued) Monterey District Tariff Area Ralph Lane Servivce Area LOW-INCOME RATEPAYER ASSISTANCE PROGRAM | 5678-W |
| 5995-W | Schedule No. MO-TO-1 (continued) Monterey District Tariff Area Toro Service Area GENERAL METERED SERVICE | 5680-W |
| 5996-W | PRELIMINARY STATEMENT (Continued) | 5184-W |
| 5997-W | TABLE OF CONTENTS | 5984-W |
| 5998-W | TABLE OF CONTENTS (Continued) | 5983-W |
| 5999-W | TABLE OF CONTENTS (Continued) | 5976-W |

Background:

On May 21, 2009, The California Public Utilities Commission (CPUC) issued Decision No. 09-05-029. Submission of these tariffs is made per page Ordering Paragraph (1. d.) of this Decision which states:

"One hundred percent of the \$924,925 Rebate budget and the associated \$50,000 for the Weather Based Irrigation Controller pilot study shall be used for rebate dollars given to customers. If after one year California-American Water Company has spent more than one third of this amount, or after two years California-American Water Company has spent more than two-thirds of this amount, and projects that it will overspend in this category, California-American Water Company may file an advice letter to increase the budget by the rate of overspending, or \$250,000, whichever is smaller."

As stated in a letter dated January 13, 2011 from the Monterey Peninsula Water Management District (MPWMD), see Workpapers 7-1, the CPUC approved conservation budget for Rebates of \$924,925 has been exhausted as of January 2011. As of November 2010, California American Water has paid MPWMD a total of \$726,826 and as of December 2010, MPWMD has not yet invoiced California American Water for \$165,907 for a total budget spend by the end of 2010 of \$892,733 leaving a remaining budget for January 2011 of \$32,192. MPWMD currently holds receipts for January 2011 which total about \$40,000 which exceeds the budget by about \$8,000.

At the current participation levels as outlined in MPWMD's letter of approximately \$60,000 to \$80,000 each month, increasing the budget by \$250,000 will support the Rebate Program for approximately 4 months or through April 2011.

California American Water is currently billing customers a surcharge of \$0.0147 as approved in Advice Letter 761. The surcharge is assessed to all Monterey water customers, including the Monterey main system and the subsystems of Ambler, Bishop, Hidden Hills, Ryan Ranch, Ralph Lane, Chualar and Toro.

Request:

California American Water is requesting authorization to increase the conservation budget by \$250,000 as authorized by the CPUC in Decision No. 09-05-029 for California American Water's Monterey District.

California American Water proposes to increase the current volumetric surcharge of \$0.0147 per 10 cf to \$0.0191 per 10 cf, an increase of \$0.0044 per 10 cf. This increase is being requested to collect the \$250,000 increase as authorized for its conservation efforts directly related with the Rebate Program, plus \$661 in uncollectibles expense for a total of \$250,661. The annual increase is \$250,661, which is 0.58% above Monterey's current authorized operating revenues per Advice Letter 868. This proposed increase would increase Monterey's current adopted operating revenues of \$43,447,492 to \$43,698,153.

Tier Designation:

These tariffs are submitted pursuant to General Order No. 96-B and this advice letter is designated as a Tier 1 filing.

Effective Date:

California American Water requests that the tariffs discussed above, be made effective January 14, 2011

Service List:

In accordance with Section 4.3 of General Order 96-B, a copy of this advice letter has been served upon all interested and affected parties as shown in Exhibit A.

Protest and Responses:

Anyone may respond to or protest this advice letter. A response supports the filing and may contain information that proves useful to the Commission in evaluating the advice letter. A protest objects to the advice letter in whole or in part and must set forth the specific grounds on which it is based. These grounds may include the following:

- (1) The utility did not properly serve or give notice of the advice letter;
- (2) The relief requested in the advice letter would violate statute or Commission order, or is not authorized by statute or Commission order on which the utility relies;
- (3) The analysis, calculations, or data in the advice letter contain material error or omissions;
- (4) The relief requested in the advice letter is pending before the Commission in a formal proceeding; or
- (5) The relief requested in the advice letter requires consideration in a formal hearing, or is otherwise inappropriate for the advice letter process; or
- (6) The relief requested in the advice letter is unjust, unreasonable, or discriminatory (provided that such a protest may not be made where it would require relitigating a prior order of the Commission.)

A protest shall provide citations or proofs where available to allow staff to properly consider the protest.

A response or protest must be made in writing or by electronic mail and must be received by the Water Division within 20 days of the date this advice letter is filed. The address for mailing or delivering a protest is:

Tariff Unit, Water Division, 3rd floor California Public Utilities Commission, 505 Van Ness Avenue, San Francisco, CA 94102 water_division@cpuc.ca.gov

On the same date the response or protest is submitted to the Water Division, the respondent or protestant shall send a copy by mail (or e-mail) to us, addressed to:

| Recipients: David P. Stephenson Director – Rates & Regulation | E-Mail: dstephen@amwater.com | Mailing Address: 4701 Beloit Drive Sacramento, CA 95838 Fax: (916) 568-4260 |
|---|---------------------------------|--|
| Olivia Para Corporate Counsel | olivia.para@amwater.com | 333 Hayes Street San Francisco, CA 94102 Fax: (415) 863-0615 |
| Suzette Halterman Financial Analyst I | suzette.halterman@amwater.com | 4701 Beloit Drive Sacramento, CA 95838 Fax: (916) 568-4260 |
| Shirley McCalebb Executive Admin. Asst. | shirley mccalebb@amwater.com | 4701 Beloit Drive Sacramento, CA 95838 Fax: (916) 568-4260 |

Cities and counties that need Board of Supervisors or Board of Commissioners approval to protest should inform the Water Division, within the 20 day protest period, so that a late filed protest can be entertained. The informing document should include an estimate of the date the proposed protest might be voted on.

The actions requested in this advice letter are not now the subject of any formal filings with the California Public Utilities Commission, including a formal complaint, nor action in any court of law.

This filing will not cause the withdrawal of service, nor conflict with other schedules or rules.

If you have not received a reply to your protest within 10 business days, please contact me at (916) 568-4222.

CALIFORNIA AMERICAN WATER

David P. Stephenson Director - Rates & Regulation

1033 B. AVENUE, SUITE 200 CORONADO, CA 92118

Revised

C.P.U.C. SHEET NO.

5985-W

CANCELLING

Revised

C.P.U.C. SHEET NO.

5632-W

(C)

(C)

Schedule No. MO-1 (continued) Monterey District Tariff Area

GENERAL METERED SERVICE

SPECIAL CONDITIONS (continued):

17. Per Advice Letter 878 and D. 09-05-029, a volumetric surcharge will be applied to each customer's bill to fund conservation programs by California American Water. This surcharge is applicable to customers in the Monterey main, Bishop, Hidden Hills and Ryan Ranch areas and will collect a total of \$2,674,678 and remain in effect through December 31, 2011 as follows;

| Effective Dates | Surcharge |
|-----------------------------|--------------------|
| Jan 1, 2011 – Jan 13, 2011 | \$0.0147 per 10 cf |
| Jan 14, 2011 - Dec 31, 2011 | \$0.0191 per 10 cf |

18. Per Advice Letter 761 and D. 09-05-029, a volumetric surcharge will be applied to each customer's bill to collect \$1,118,141 in conservation funds for the Monterey Peninsula Water Management District (MPWMD). This amount was reached by offsetting the \$1,156,000 granted in D. 09-05-029 with \$37,859 already collected in 2009 under the prior 1% MPWMD conservation surcharge granted in D. 06-11-050. This surcharge is applicable to customers in the Monterey main, Bishop, Hidden Hills and Ryan Ranch areas and will remain in effect through December 31, 2011 as follows;

| Effective Dates | Surcharge |
|----------------------------|--------------------|
| Jul 1, 2009 - Dec 31, 2009 | \$0.0136 per 10 cf |
| Jan 1, 2010 - Dec 31, 2011 | \$0.0068 per 10 cf |

19. In accordance with the final decision issued in Monterey General Rate Case Application 08-01-027, costs associated with securing Seaside Basin water rights shall be placed in a balancing account and collected through a meter charge over the next ten years. The total amount to be recovered is \$2,755,960. The balancing account and surcharge is applicable to customers in the Monterey main, Bishop, Hidden Hills and Ryan Ranch areas and will earn interest at the 90-day commercial rate.

| Meter Size | Meter Surcharge |
|-------------------------|-----------------|
| For 5 / 8 x 3 / 4 meter | \$0.37 |
| For 3 / 4 meter | \$0.55 |
| For 1 meter | \$0.91 |
| For 1 1 / 2 meter | \$1.83 |
| For 2 meter | \$2.93 |
| For 3 meter | \$5.49 |
| For 4 meter | \$9.15 |
| For 6 meter | \$18.29 |
| For 8 meter | \$29.27 |

(continued)

| (TO BE INSERTED B | Y UTILITY) | ISSUED BY | (TO BE INSERTED BY | | | |
|-------------------|---------------------------------------|------------------|--------------------|---------|----|-------|
| ADVICE LETTER NO. | 878 | D. P. STEPHENSON | DATE FILED | '.U.SAN | 14 | 2011 |
| | | NAME | EFFECTIVE | JAN | 14 | - 201 |
| DECISION NO. | 09-05-029 DIRECTOR RATES & REGULATION | | RESOLUTION | NO. | | |
| | | ידי ידידי די | | | | |

TITLE

1033 B. AVENUE, SUITE 200 CORONADO, CA 92118

CANCELLING

Revised

Revised

C.P.U.C. SHEET NO.

C.P.U.C. SHEET NO.

5986-W 5641-W

Schedule No. MO-1-LIRA (continued)

Monterey District Tariff Area

LOW-INCOME RATEPAYER ASSISTANCE PROGRAM

SPECIAL CONDITIONS APPLICABLE TO ALL CUSTOMERS (continued):

- 8. Per Decision 06-11-050, costs associated with the Carmel River Dam shall be placed in a balancing account and collected through a meter charge over the next four years. The total amount to be recovered is \$3,601,239. A monthly surcharge of \$0.67 will apply to LIRA customers. The balancing account will earn interest at the 90-day commercial rate.
- 9. The rates approved by Advice Letter No. 750-B are subject to refund and may be adjusted upward or downward depending on the final rates authorized in A. 08-01-027 back to May 11, 2009.

(T)

10. In accordance with Section 2714 of the Public Utilities Code, if a tenant in a rental unit leaves owing the Company, service to subsequent tenants in that unit will, at the Company's option, be furnished on the account of the landlord or property owner.

(T)

11. Per Advice Letter 878 and D. 09-05-029, a volumetric surcharge will be applied to each customer's bill to fund conservation programs by California American Water. This surcharge is applicable to customers in the Monterey main, Bishop, Hidden Hills and Ryan Ranch areas and will collect a total of \$2,674,678 and remain in effect through December 31, 2011 as follows;

(C)

| Effective Dates | Surcharge |
|-----------------------------|--------------------|
| Jan 1, 2011 – Jan 13, 2011 | \$0.0147 per 10 cf |
| Jan 14, 2011 - Dec 31, 2011 | \$0.0191 per 10 cf |

(b)

12. Per Advice Letter 761 and D. 09-05-029, a volumetric surcharge will be applied to each customer's bill to collect \$1,118,141 in conservation funds for the Monterey Peninsula Water Management District (MPWMD). This amount was reached by offsetting the \$1,156,000 granted in D. 09-05-029 with \$37,859 already collected in 2009 under the prior 1% MPWMD conservation surcharge granted in D. 06-11-050. This surcharge is applicable to customers in the Monterey main, Bishop, Hidden Hills and Ryan Ranch areas and will remain in effect through December 31, 2011 as follows;

(T)

| Effective Dates | Surcharge |
|----------------------------|--------------------|
| Jul 1, 2009 - Dec 31, 2009 | \$0.0136 per 10 cf |
| Jan 1, 2010 – Dec 31, 2011 | \$0.0068 per 10 cf |

(continued)

(TO BE INSERTED BY UTILITY)

ISSUED BY

(TO BE INSERTED BY C.P.U.C.)

ADVICE LETTER NO.

878

D. P. STEPHENSON

DATE FILED EFFECTIVE

1 4 2011

ADVICE DELIZER NO.

DECISION NO.

09-05-029

NAME
DIRECTOR -- RATES & REGULATION

RESOLUTION NO.

1033 B. AVENUE, SUITE 200 CORONADO, CA 92118

Revised

C.P.U.C. SHEET NO.

5987-W

CANCELLING

Revised

C.P.U.C. SHEET NO.

5644-W

(C)

(C) (T)

Schedule No. MO -4 (continued) Monterey Peninsula District Tariff Area PRIVATE FIRE PROTECTION SERVICE

SPECIAL CONDITIONS (continued):

- 13. Coastal Water Project (CWP) Surcharge #1: Per Decision 06-12-040, a surcharge will be applied to customer bills to collect for pre-construction costs associated with the CWP. Costs to be recovered through CWP Surcharge #1 include \$1,353,831 in public outreach costs and \$1,639,429 in other costs, for a total of \$2,993,260. A surcharge of 4% will be added to all bills rendered from January 1, 2007 through June 30, 2007. The surcharge will be increased to 7% from July 1, 2007 through December 31, 2007, and will increase to 10% from January 1, 2008 through a time when the outstanding approved amounts, with interest, are recovered. This surcharge is applicable to customers in the Monterey main system.
- 14. A surcharge is included on each bill to collect franchise taxes and/or business license fees paid to various municipalities. The amount collected is based on a percentage of the gross revenues of each bill. The percentages are as follows: Ryan Ranch 2.05%, City of Pacific Grove 2.10%, City of Carmel-by-the-Sea 2.15%, City of Seaside 1.00%, City of Del Rey Oaks Business License Fee 0.11%, City of Del Rey Oaks Franchise Fee 2.00%, City of Sand City 0.14%, City of Monterey 1.05%, and unincorporated areas of Monterey County 1.00%.
- 15. Per Advice Letter 878 and D. 09-05-029, a volumetric surcharge will be applied to each customer's bill to fund conservation programs by California American Water. This surcharge is applicable to customers in the Monterey main, Hidden Hills and Ryan Ranch areas and will collect a total of \$2,674,678 and remain in effect through December 31, 2011 as follows;

| Effective Dates | Surcharge |
|-----------------------------|--------------------|
| Jan 1, 2011 – Jan 13, 2011 | \$0.0147 per 10 cf |
| Jan 14, 2011 - Dec 31, 2011 | \$0.0191 per 10 cf |

16. Per Advice Letter 761 and D. 09-05-029, a volumetric surcharge will be applied to each customer's bill to collect \$1,118,141 in conservation funds for the Monterey Peninsula Water Management District (MPWMD). This amount was reached by offsetting the \$1,156,000 granted in D. 09-05-029 with \$37,859 already collected in 2009 under the prior 1% MPWMD conservation surcharge granted in D. 06-11-050. This surcharge is applicable to customers in the Monterey main, Hidden Hills and Ryan Ranch areas and will remain in effect through December 31, 2011 as follows:

| Effective Dates | Surcharge |
|----------------------------|--------------------|
| Jul 1, 2009 - Dec 31, 2009 | \$0.0136 per 10 cf |
| Jan 1, 2010 - Dec 31, 2011 | \$0.0068 per 10 cf |

(Continued)

(TO BE INSERTED BY **ISSUED BY** (TO BE INSERTED BY UTILITY) C.P.U.C.) D. P. STEPHENSON DATE FILED ADVICE LETTER NO. 878 **EFFECTIVE** NAME RESOLUTION NO. DIRECTOR -- RATES & REGULATION DECISION NO. 09-05-029 TITLE

1033 B. AVENUE, SUITE 200 CORONADO, CA 92118

Revised

C.P.U.C. SHEET NO.

5988-W

CANCELLING

Original

C.P.U.C. SHEET NO.

5649-W

Schedule No. MO-4B Monterey Peninsula District Tariff Area PRIVATE FIRE PROTECTION SERVICE BISHOP SERVICE AREA (continued)

SPECIAL CONDITIONS (continued)

- 4. For water delivered for other than fire protection purposes, charges shall be made therefore under Schedule No. 1, General Metered Service.
- 5. The utility will supply only such water at such pressure as may be available from time to time as a result of its normal operation of the system.
- 6. All bills are subject to the reimbursement fee as set forth in Schedule No. UF.
- 7. Per Advice Letter 878 and D. 09-05-029, a volumetric surcharge will be applied to each customer's bill to fund conservation programs by California American Water. This surcharge will collect a total of \$2,674,678 and remain in effect through December 31, 2011 as follows;

| Effective Dates | Surcharge |
|-----------------------------|--------------------|
| Jan 1, 2011 – Jan 13, 2011 | \$0.0147 per 10 cf |
| Jan 14, 2011 - Dec 31, 2011 | \$0.0191 per 10 cf |

8. Per Advice Letter 761 and D. 09-05-029, a volumetric surcharge will be applied to each customer's bill to collect \$1,118,141 in conservation funds for the Monterey Peninsula Water Management District (MPWMD). This amount was reached by offsetting the \$1,156,000 granted in D. 09-05-029 with \$37,859 already collected in 2009 under the prior 1% MPWMD conservation surcharge granted in D. 06-11-050. This surcharge will remain in effect through December 31, 2011 as follows;

| Effective Dates | Surcharge |
|----------------------------|--------------------|
| Jul 1, 2009 – Dec 31, 2009 | \$0.0136 per 10 cf |
| Jan 1, 2010 - Dec 31, 2011 | \$0.0068 per 10 cf |

9. The rates approved by Advice Letter No. 750-B are subject to refund and may be adjusted upward or downward depending on the final rates authorized in A.08-01-027 back to May 11, 2009. The 2010 step rates approved by Advice Letter 818-A will be tracked as part of the interim rate true-up memorandum account.

(Continued)

(TO BE INSERTED BY UTILITY) ISSUED BY (TO BE INSERTED BY C.P.U.C.)

ADVICE LETTER NO. 878 D. P. STEPHENSON DATE FILED 14 2011

NAME EFFECTIVE $\sqrt{14}$ $\sqrt{2011}$ DECISION NO. 09-05-029 DIRECTOR -- RATES & REGULATION RESOLUTION NO.

(C)

(C)

1033 B. AVENUE, SUITE 200 CORONADO, CA 92118

CANCELLING

Revised

Revised

C.P.U.C. SHEET NO.

C.P.U.C. SHEET NO.

5989-W

5651-W

Schedule No. MO - 4H (continued)
Monterey Peninsula District Tariff Area
PRIVATE FIRE HYDRANT SERVICE

SPECIAL CONDITIONS (continued):

- 13. Per Decision 06-11-050, costs associated with the Carmel River Dam shall be placed in an an interest free balancing account and collected through a meter surcharge over the next four years. The total amount to be recovered is \$3,601,239. A surcharge of \$0.85 will be applied to all fire hydrant services. This surcharge is applicable to customers in the Monterey main and Ryan Ranch areas.
- 14. Coastal Water Project (CWP) Surcharge #1: Per Decision 06-12-040, a surcharge will be applied to customer bills to collect for pre-construction costs associated with the CWP. Costs to be recovered through CWP Surcharge #1 include \$1,353,831 in public outreach costs and \$1,639,429 in other costs, for a total of \$2,993,260. A surcharge of 4% will be added to all bills rendered from January 1, 2007 through June 30, 2007. The surcharge will be increased to 7% from July 1, 2007 through December 31, 2007, and will increase to 10% from January 1, 2008 through a time when the outstanding approved amounts, with interest, are recovered. This surcharge is applicable to customers in the Monterey main area.
- 15. A surcharge is included on each bill to collect franchise taxes and/or business license fees paid to various municipalities. The amount collected is based on a percentage of the gross revenues of each bill. The percentages are as follows: Ryan Ranch 2.05%, City of Pacific Grove 2.10%, City of Carmel-by-the-Sea 2.15%, City of Seaside 1.00%, City of Del Rey Oaks Business License Fee 0.11%, City of Del Rey Oaks Franchise Fee 2.00%, City of Sand City 0.14%, City of Monterey 1.05%, and unincorporated areas of Monterey County 1.00%.
- 16. Per Advice Letter 878 and D. 09-05-029, a volumetric surcharge will be applied to each customer's bill to fund conservation programs by California American Water. This surcharge is applicable to customers in the Monterey main, Bishop, Hidden Hills and Ryan Ranch areas and will collect a total of \$2,674,678 and remain in effect through December 31, 2011 as follows;

| Effective Dates | Surcharge |
|-----------------------------|--------------------|
| Jan 1, 2011 – Jan 13, 2011 | \$0.0147 per 10 cf |
| Jan 14, 2011 - Dec 31, 2011 | \$0.0191 per 10 cf |

17. Per Advice Letter 761 and D. 09-05-029, a volumetric surcharge will be applied to each customer's bill to collect \$1,118,141 in conservation funds for the Monterey Peninsula Water Management District MPWMD). This amount was reached by offsetting the \$1,156,000 granted in D. 09-05-029 with \$37,859 already collected in 2009 under the prior 1% MPWMD conservation surcharge granted in D. 06-11-050. This surcharge is applicable to customers in the Monterey main, Bishop, Hidden Hills and Ryan Ranch areas and will remain in effect through December 31, 2011 as follows;

| Effective Dates | Surcharge |
|----------------------------|---------------------|
| Jul 1, 2009 - Dec 31, 2009 | \$0. 0136 per 10 cf |
| Jan 1, 2010 - Dec 31, 2011 | \$0.0068 per 10 cf |

(Continued)

(TO BE INSERTED BY UTILITY) ISSUED BY (TO BE INSERTED BY C.P.U.C.)

ADVICE LETTER NO. 878 D. P. STEPHENSON DATE FILED $\frac{1}{2}$ $\frac{1}{2}$ DECISION NO. 09-05-029 DIRECTOR -- RATES & REGULATION RESOLUTION NO.

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1033 B. AVENUE, SUITE 200 CORONADO, CA 92118

Revised C.P.U.C

C.P.U.C. SHEET NO.

5990-W

CANCELLING

Revised

C.P.U.C. SHEET NO.

5672-W

Schedule No. MO-1A Monterey Peninsula District Tariff Area GENERAL METERED SERVICE AMBLER PARK SERVICE AREA (continued)

SPECIAL CONDITIONS (continued)

- 2. Any residential customer who has been required to install a Residential Fire Sprinkler System (R.F.S.S.) at their place of residence by local fire ordinances will be allowed to have their monthly service charge reduced by one meter size for 3/4" and 1" meters and a reduction of two meter sizes for all 1-1/2" meters, provided it has been requested by the customer and verified by the Company that the lower size of meter would be large enough to provide adequate service to the residence. The R.F.S.S. Service is not considered a fire service by the Company, but is considered an oversized general metered service and therefore, only the rules and conditions of service for general metered service apply.
- 3. In accordance with Section 2714 of the Public Utilities Code, if a tenant in a rental unit leaves owing the company, service to subsequent tenants in that unit will, at the company's option, be furnished on the account of the landlord or property owner.
- 4. The rates approved by Advice Letter 750-B are subject to refund and may be adjusted upward or Downward depending on the final rates authorized in A. 08-01-027 back to May 11, 2009.
- Customers must apply with the Company for acceptance into the Low-Income Ratepayer
 Assistance Program (LIRA). The Company will analyze applications based upon proof of
 acceptance into the California Alternate Rates for Energy Program of Pacific Gas and Electric.
- Per Advice Letter 878 and D. 09-05-029, a volumetric surcharge will be applied to each customer's bill to fund conservation programs by California American Water. This surcharge will collect a total of \$2,674,678 and remain in effect through December 31, 2011 as follows;

| Effective Dates | Surcharge |
|-----------------------------|--------------------|
| Jan 1, 2011 – Jan 13, 2011 | \$0.0147 per 10 cf |
| Jan 14, 2011 - Dec 31, 2011 | \$0.0191 per 10 cf |

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(TO BE INSERTED BY **ISSUED BY** (TO BE INSERTED BY UTILITY) C.P.U.C.) DATE FILED D. P. STEPHENSON ADVICE LETTER NO. 878 **EFFECTIVE** JAN NAME RESOLUTION NO. DIRECTOR -- RATES & REGULATION DECISION NO. 09-05-029 TITLE

1033 B. AVENUE, SUITE 200 CORONADO, CA 92118 Revised

C.P.U.C. SHEET NO.

5991-W

CANCELLING

Revised

C.P.U.C. SHEET NO.

5674-W

Schedule No. MO-1A-LIRA Monterey Peninsula District Tariff Area LOW-INCOME RATEPAYER ASSISTANCE PROGRAM AMBLER PARK SERVICE AREA (continued)

SPECIAL CONDITIONS APPLICABLE TO ALL CUSTOMERS

- 1. All bills are subject to the reimbursement fee as set forth in Schedule No. UF.
- 2. Any residential customer who has been required to install a Residential Fire Sprinkler System (R.F.S.S.) at their place of residence by local fire ordinances will be allowed to have their monthly service charge reduced by one meter size for 3/4" and 1" meters and a reduction of two meter sizes for all 1-1/2" meters, provided it has been requested by the customer and verified by the Company that the lower size of meter would be large enough to provide adequate service to the residence. The R.F.S.S. Service is not considered a fire service by the Company, but is considered an oversized general metered service and therefore, only the rules and conditions of service for general metered service apply.
- 3. In accordance with Section 2714 of the Public Utilities Code, if a tenant in a rental unit leaves owing the company, service to subsequent tenants in that unit will, at the company's option, be furnished on the account of the landlord or property owner.
- 4. The rates approved by Advice Letter 750-B are subject to refund and may be adjusted upward or Downward depending on the final rates authorized in A. 08-01-027 back to May 11, 2009.
- 5. Per Advice Letter 878 and D. 09-05-029, a volumetric surcharge will be applied to each customer's bill to fund conservation programs by California American Water. This surcharge will collect a total of \$2,674,678 and remain in effect through December 31, 2011 as follows;

| Effective Dates | Surcharge |
|-----------------------------|--------------------|
| Jan 1, 2011 – Jan 13, 2011 | \$0.0147 per 10 cf |
| Jan 14, 2011 - Dec 31, 2011 | \$0.0191 per 10 cf |

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ADVICE LETTER NO. 878

DECISION NO. 09-05-029

DIRECTOR -- RATES & REGULATION

TITLE

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1033 B. AVENUE, SUITE 200 CORONADO, CA 92118

Revised

Revised

C.P.U.C. SHEET NO.

5992-W

CANCELLING

C.P.U.C. SHEET NO.

5721-W

Schedule No. MO-4A Monterey Peninsula District Tariff Area PRIVATE FIRE PROTECTION SERVICE AMBLER PARK SERVICE AREA (continued)

SPECIAL CONDITIONS (continued)

- For water delivered for other than fire protection purposes, charges shall be made therefore 4. under Schedule No. 1, General Metered Service.
- The utility will supply only such water at such pressure as may be available from time to time 5. as a result of its normal operation of the system.
- All bills are subject to the reimbursement fee as set forth in Schedule No. UF. 6.
- Per Advice Letter 878 and D. 09-05-029, a volumetric surcharge will be applied to each 7. customer's bill to fund conservation programs by California American Water. This surcharge will collect a total of \$2,674,678 and remain in effect through December 31, 2011 as follows;

| Effective Dates | Surcharge |
|-----------------------------|--------------------|
| Jan 1, 2011 – Jan 13, 2011 | \$0.0147 per 10 cf |
| Jan 14, 2011 - Dec 31, 2011 | \$0.0191 per 10 cf |

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- The rates approved by Advice Letter No. 750-B are subject to refund and may be adjusted upward or downward depending on the final rates authorized in A.08-01-027 back to May 11, 2009. The 2010 step rates approved by Advice Letter 818-A will be tracked as part of the interim rate true-up memorandum account.
- Per Advice Letter 839, intervener compensation paid to the Hidden Hills Subunit Ratepayers 9. Association (HHSRA) will be recovered through a connection surcharge for two months effective July 1, 2010. HHSRA was awarded \$56,909 and \$37,356 in D.10-02-029 and 10-05-011. The connection surcharge will be collected from customers in the Monterey, Bishop, Ambler, Hidden Hills and Ryan Ranch systems.

| Connection Size | Connection Surcharge |
|----------------------|----------------------|
| < or equal to 4-inch | \$0.07 |
| 6-inch | \$0.15 |
| 8-inch | \$0.23 |
| 10-inch | \$0.33 |

(TO BE INSERTED BY **ISSUED BY** (TO BE INSERTED BY UTILITY) C.P.U.C.) DATE FILED D. P. STEPHENSON ADVICE LETTER NO. 878 **EFFECTIVE** NAME RESOLUTION NO. DIRECTOR -- RATES & REGULATION DECISION NO. 09-05-029 TITLE

1033 B. AVENUE, SUITE 200 CORONADO, CA 92118

Revised

C.P.U.C. SHEET NO.

5993-W

CANCELLING

Revised

C.P.U.C. SHEET NO.

5676-W

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Schedule No. MO CO-1 (continued)

Monterey District Tariff Area

Ralph Lane and Chular Service Areas

GENERAL METERED SERVICE

SPECIAL CONDITIONS

- 1. Any residential customer who has been required to install a Residential Fire Sprinkler System (R.F.S.S.) at their place of residence by local fire ordinances will be allowed to have their monthly service charge reduced by one meter size, provided it has been requested by the customer and verified by the Company that the lower size of meter would be large enough to provide adequate service to the residence. The R.F.S.S. Service is not considered a fire service by the Company, but is considered an oversized general metered service and therefore, only the rules and conditions of service for general metered service apply.
- A 1.00% surcharge is included on each bill to collect franchise taxes and/or business license
 fees paid to Monterey County. The amount collected is based on a percentage of the gross
 revenues of each bill.
- Customers must apply with the Company for acceptance into the Program for Alternate Rates (PAR). The Company will analyze applications based upon proof of acceptance into the California Alternate Rates for Energy Program of Pacific Gas and Electric.
- 4. All bills are subject to the reimbursement fee set forth on Schedule No. UF.
- 5. Per Advice Letter 878 and D. 09-05-029, a volumetric surcharge will be applied to each customer's bill to fund conservation programs by California American Water. This surcharge will collect a total of \$2,674,678 and remain in effect through December 31, 2011 as follows;

| Effective Dates | Surcharge |
|-----------------------------|--------------------|
| Jan 1, 2011 - Jan 13, 2011 | \$0.0147 per 10 cf |
| Jan 14, 2011 - Dec 31, 2011 | \$0.0191 per 10 cf |

 The rates approved by Advice Letter No. 750-B are subject to refund and may be adjusted upward or downward depending on the final rates authorized in A. 08-01-027 back to May 11, 2009.

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DECISION NO.

ADVICE LETTER NO.

09-05-029

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NAME DIRECTOR -- RATES & REGULATION

RESOLUTION NO.

1033 B. AVENUE, SUITE 200 CORONADO, CA 92118

Revised

C.P.U.C. SHEET NO.

5994-W

CANCELLING

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C.P.U.C. SHEET NO.

5678-W

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Schedule No. MO CO-1-LIRA

Monterey District Tariff Area

Ralph Lane Service Area

LOW-INCOME RATEPAYER ASSISTANCE PROGRAM

(continued)

SPECIAL CONDITIONS APPLICABLE TO ALL CUSTOMERS

- 1. Any residential customer who has been required to install a Residential Fire Sprinkler System (R.F.S.S.) at their place of residence by local fire ordinances will be allowed to have their monthly service charge reduced by one meter size, provided it has been requested by the customer and verified by the Company that the lower size of meter would be large enough to provide adequate service to the residence. The R.F.S.S. Service is not considered a fire service by the Company, but is considered an oversized general metered service and therefore, only the rules and conditions of service for general metered service apply.
- 2. A 1.00% surcharge is included on each bill to collect franchise taxes and/or business license fees paid to Monterey County. The amount collected is based on a percentage of the gross revenues of each bill.
- 3. All bills are subject to the reimbursement fee set forth on Schedule No. UF.
- 4. Per Advice Letter 878 and D. 09-05-029, a volumetric surcharge will be applied to each customer's bill to fund conservation programs by California American Water. This surcharge will collect a total of \$2,674,678 and remain in effect through December 31, 2011 as follows;

| Effective Dates | Surcharge |
|-----------------------------|--------------------|
| Jan 1, 2011 – Jan 13, 2011 | \$0.0147 per 10 cf |
| Jan 14, 2011 - Dec 31, 2011 | \$0.0191 per 10 cf |

5. The rates approved by Advice Letter No. 750-B are subject to refund and may be adjusted upward or downward depending on the final rates authorized in A. 08-01-027 back to May 11, 2009.

(TO BE INSERTED BY UTILITY)

ADVICE LETTER NO. 878

D. P. STEPHENSON

NAME

DECISION NO. 09-05-029

DIRECTOR -- RATES & REGULATION RESOLUTION NO.

TITLE

1033 B. AVENUE, SUITE 200 CORONADO, CA 92118 Revised

C.P.U.C. SHEET NO.

5995-W

Revised

C.P.U.C. SHEET NO.

5680-W

Schedule No. MO-TO-1 (continued)

CANCELLING

Monterey District Tariff Area Toro Service Area

GENERAL METERED SERVICE

SPECIAL CONDITIONS (continued)

3. Per Advice Letter 878 and D. 09-05-029, a volumetric surcharge will be applied to each customer's bill to fund conservation programs by California American Water. This surcharge will collect a total of \$2,674,678 and remain in effect through December 31, 2011 as follows;

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| Effective Dates | Surcharge |
|-----------------------------|--------------------|
| Jan 1, 2011 – Jan 13, 2011 | \$0.0147 per 10 cf |
| Jan 14, 2011 - Dec 31, 2011 | \$0.0191 per 10 cf |

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ADVICE LETTER NO. 878

D. P. STEPHENSON

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DECISION NO. 09-05-029 DIRECTOR -- RATES & REGULATION

RESOLUTION NO.

1033 B. AVENUE, SUITE 200 CORONADO, CA 92118 Revised

C.P.U.C. SHEET NO.

5996-W

CANCELLING

Revised

C.P.U.C. SHEET NO.

5184-W

PRELIMINARY STATEMENT (continued)

- L. California American Water (CAW) Conservation Surcharge Balancing Account
 - 1. PURPOSE: The CAW Conservation Surcharge Balancing account is a one-way balancing account designed to track conservation related expenses and surcharges associated with CAW's internal conservation efforts. This balancing account shall be capped at \$2,424,678 for the three year period from January 1, 2009 through December 31, 2011 and increased \$250,000 for the period from January 14, 2011 through December 31, 2011 for a total cap at the end of 2011 of \$2,674,678.

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2. APPLICABILITY: All systems in California American Water's Monterey Water District.

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3. ACCOUNTING PROCEDURE: California American Water was granted a one-way balancing account for these costs in Decision No. 09-05-029.

a. A credit entry equal to the amounts collected through the CAW surcharge as part of conservation efforts.

b. A debit entry equal to the amounts spent as part of conservation efforts.

- c. The one-way balancing account will have two internal spending caps; one on the public outreach and education budget of \$901,488 for three years and one on the rebate budget of \$974,925 for three years, with an additional \$250,000 for 2011 per Advice Letter 878. For the remaining \$548,265, CAW shall make reasonable efforts to apply these funds toward the conservation budget approved in D.09-05-029. CAW shall have discretion to move the \$548,265 between its conservation programs, excluding public outreach and rebates.
- M. Employee Retirement Income Security Act ("ERISA") Surcharge Balancing Account
 - 1. PURPOSE: The purpose of the ERISA Surcharge Balancing account is to track all surcharges collected and retirement contributions made by Cal-Am.
 - 2. APPLICABILITY: Applicable districts served by California American Water.
 - ACCOUNTING PROCEDURE: California American Water has been granted balancing accounts for ERISA tracking of over collection and under collection of pension accounts as part of Decision No. 06-11-050.
 - a. A credit entry equal to the amounts collected as part of the pension surcharge efforts
 - b. A debit entry equal to the amounts spent as part of funding the pension
 - c. The surcharge is 3% of customer bills
- N. American Job Creation Act Tax Credits Memorandum Account.
 - 1. PURPOSE: The purpose of the American Job Creation Act Tax Credits Memorandum account is to track all tax benefits associated with the law.
 - 2. APPLICABILITY: Applicable districts served by California American Water.
 - 3. ACCOUNTING PROCEDURE: California American Water has been granted balancing accounts for each district from various CPUC decisions. Each general rate case will determine how much is in the accounts and how the amount should be treated from a rate making perspective.

a. A credit entry equal to the savings realized by Cal-Am.

b. A debit entry equal to the amounts spent on efforts to utilize AJCA

(continued)

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|-------------------|------------|-----------------------------|------------------------------|-------------|
| ADVICE LETTER NO. | 878 | D. P. STEPHENSON | DATE FILED | JAN 14 2011 |
| | | NAME | EFFECTIVE | 1 4 2011 |
| DECISION NO. | 09-05-029 | DIRECTOR RATES & REGULATION | RESOLUTION NO |), |

TITLE

1033 B, AVENUE, SUITE 200 CORONADO, CA 92118

Revised

C.P.U.C. SHEET NO.

5997-W

CANCELLING

Revised

C.P.U.C. SHEET NO.

5983-W

(C)

TABLE OF CONTENTS (Page 1)

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ISSUED BY (TO BE INSERTED BY UTILITY)

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C.P.U.C.

ADVICE LETTER NO.

878

D. P. STEPHENSON

DATE FILED **EFFECTIVE**

DECISION NO.

09-05-029

NAME DIRECTOR -- RATES & REGULATION

RESOLUTION NO.

TITLE

1033 B. AVENUE, SUITE 200 CORONADO, CA 92118

CANCELLING

Revised

Revised

C.P.U.C. SHEET NO.

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C.P.U.C. SHEET NO.

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(Continued)

TITLE

(TO BE INSERTED BY **ISSUED BY** (TO BE INSERTED BY UTILITY) C.P.U.C.) DATE FILED D. P. STEPHENSON ADVICE LETTER NO. 878 **EFFECTIVE** NAME RESOLUTION NO. DIRECTOR -- RATES & REGULATION DECISION NO. 09-05-029

Revised

C.P.U.C. SHEET NO.

5999-W

1033 B. AVENUE, SUITE 200 CORONADO, CA 92118

CANCELLING

Revised

C.P.U.C. SHEET NO.

5976-W

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Applicable to all Districts

UF Surcharge to Fund Public

Utilities Commission

Reimbursement Fee 4559-W

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(Continued)

(TO BE INSERTED BY UTILITY) ISSUED BY C.P.U.C.)

ADVICE LETTER NO. 878 D. P. STEPHENSON DATE FILED

DECISION NO. 09-05-029 DIRECTOR -- RATES & REGULATION RESOLUTION NO.

EXHIBIT A CALIFORNIA-AMERICAN WATER COMPANY MONTEREY DISTRICT – SERVICE LIST ADVICE LETTER 878

BY MAIL:

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