

EXHIBIT 4-A

**MONTEREY PENINSULA WATER MANAGEMENT DISTRICT
INVESTMENT REPORT AS OF SEPTEMBER 30, 2014**

MPWMD

Issuing Institution Security Description	Purchase Date	Maturity Date	Cost Basis	Par Value	Market Value	Annual Rate of Return	Portfolio Distribution
Local Agency Investment Fund	09/30/14	10/01/14	\$1,391,680	\$1,391,680	\$1,391,680	0.240%	31.36%
Bank of America:							
Money Market	09/30/14	10/01/14	566,631	566,631	566,631	0.030%	
Checking	09/30/14	10/01/14	(34,157)	(34,157)	(34,157)	0.000%	
			<u>\$532,473</u>	<u>\$532,473</u>	<u>\$532,473</u>	<u>0.032%</u>	12.00%
Wells Fargo Money Market	09/30/14	10/01/14	263,854	263,854	263,854	0.010%	
Wells Fargo Institutional Securities:							
Interest Bearing Certificate of Deposit	08/30/13	03/02/15	\$250,000	\$250,000	\$250,134	0.600%	
Interest Bearing Certificate of Deposit	09/05/13	03/05/15	\$250,000	\$250,000	\$250,132	0.600%	
Interest Bearing Certificate of Deposit	09/04/13	09/04/15	\$250,000	\$250,000	\$250,287	0.750%	
Interest Bearing Certificate of Deposit	10/30/13	10/30/15	\$250,000	\$250,000	\$250,449	0.850%	
Interest Bearing Certificate of Deposit	08/30/13	03/01/16	\$250,000	\$250,000	\$250,290	0.900%	
Interest Bearing Certificate of Deposit	09/08/14	03/08/16	\$250,000	\$250,000	\$249,556	0.700%	
Interest Bearing Certificate of Deposit	09/05/13	09/06/16	\$250,000	\$250,000	\$250,596	1.150%	
Interest Bearing Certificate of Deposit	04/15/14	04/18/17	\$250,000	\$250,000	\$249,378	1.050%	
Interest Bearing Certificate of Deposit	07/09/14	07/10/17	\$250,000	\$250,000	\$248,943	1.150%	
			<u>\$2,513,854</u>	<u>\$2,513,854</u>	<u>\$2,513,618</u>	<u>0.772%</u>	56.64%
TOTAL MPWMD			<u>\$4,438,007</u>	<u>\$4,438,007</u>	<u>\$4,437,771</u>	<u>0.516%</u>	

CAWD/PBCSD WASTEWATER RECLAMATION PROJECT

Issuing Institution Security Description	Purchase Date	Maturity Date	Cost Basis	Par Value	Market Value	Annual Rate of Return	Portfolio Distribution
US Bank Corp Trust Services:							0.20%
Certificate Payment Fund	09/30/14	10/01/14	791	791	791	0.000%	
Interest Fund	09/30/14	10/01/14	327	327	327	0.000%	
Rebate Fund	09/30/14	10/01/14	19	19	19	0.000%	
			<u>\$1,136</u>	<u>\$1,136</u>	<u>\$1,136</u>	<u>0.000%</u>	
Bank of America:							99.80%
Money Market Fund	09/30/14	10/01/14	580,302	580,302	\$580,302	0.030%	
TOTAL WASTEWATER RECLAMATION PROJECT			<u>\$581,438</u>	<u>\$581,438</u>	<u>\$581,438</u>	<u>0.030%</u>	

These investments do include sufficient liquid funds to meet anticipated expenditures for the next six months as reflected in the FY 2014-2015 annual budget adopted on June 23, 2014.